



PROTOCOL =STEP-IPF
STUDYBOOK=DATA_FORMS
FORM=SCREENING

NODATA<XYES>

Screening/History

Autoenroll SUBJNO = CTSITEPROC.RANDO_ENROLL.STUDYNO with '-' between 2nd and 3rd char and between 5th and 6th where KIT_NUMBER='3'

Subject ID: 02 - INVSITE INITIALS
Subject Initials:
Note: INITIALS is not populated in RANDO_ENROLL. Set to enter on first page, and then populate through all pages/panels. Not verified.

Informed Consent

1 Date informed consent signed: CONSNTDT
2 Did subject grant permission to have his/her biological samples stored in the biospecimen repository? BLDSAMPL<XYESNO> ICONSENT (TYPE 1)

If Yes: Date research sample consent signed: BLDSAMDT

If Yes: Answer all questions below (If not included in Consent, mark NA):

ii Blood and biologic specimens for future use by the approved investigators in studies of pulmonary fibrosis? SPEC2I<IPFYNA>
iii Blood and biologic specimens to be used for other approved research? SPEC2II<IPFYNA>

See Next Page

Demographics

1 Date of birth: DOBDT DEMOG (TYPE 1)

2 Sex: SEX<XGENDR>

3 Ethnicity (check only one): ETHNIC<XETHN>

4 Race (check all that apply): AMERIND<XYES> ASIAN<XYES> BLACK<XYES> NATHWN<XYES> WHITE<XYES> OTHRACE<XYES> RACESP<V:50>

Childbearing Potential (Complete for female subjects only)

Female of childbearing potential? NOCBPOT<IPFNCH> CHILDROT (TYPE 1)
CBPOT<XYESNO> NOCBSP<V:50>

Smoking History

1 Does the subject have any history of smoking? SMOKING<XYESNO> SMKHIST (TYPE1)

2 Is the subject a current smoker? PASTCURE<IPFSMK> SMOKEY<I:4>

3 How many total years has the subject smoked? SMKYEARS<F:9:3>

4 On average during that time, how many packs (or equivalents) per day of cigarettes did the subject smoke? SMOKPK<F:9:3>

Site Personnel's Initials: _____

WHITE and YELLOW—Duke Clinical Research Institute • PINK—retain at site

Note on CRF page 1:

Version 2 of the CRF changes the response set for Informed Consent, question 2. The original response set must be maintained. Screen should allow both sets of responses:

For CRF version 2.0 16NOV2007:

(Question 2 i, ii as on annotation page 1)

For CRF version 1.0 24JUL2007

(Question 2 a,b,c,d,e as below)

- a. Blood and biologic specimens for future use in pulmonary fibrosis studies SPEC2A<IPFYNA>**
- b. Blood and biologic specimens to be examined for inherited IPF factors SPEC2B<IPFYNA>**
- c. Blood and biologic specimens for use in developing improved IPF treatments SPEC2C<IPFYNA>**
- d. Blood and biologic specimens for use in research about other health problems SPEC2D<IPFYNA>**
- e. Permission to use these biologic samples in research, even after subject's death SPEC2E<IPFYNA>**

Disease History

IPFCT<XYESNO>

1 Did subject have a CT consistent with diagnosis of IPF prior to consent?

DISHIST (TYPE 1)

₀ No **DCTDT**
₁ Yes → If Yes: Date (If multiple, provide earliest): ____/____/____
day month year

2 Did subject have a surgical lung biopsy consistent with diagnosis of IPF prior to consent?

₀ No **PFBIOP<XYESNO>**
₁ Yes → If Yes: Date (If multiple, provide earliest): ____/____/____
day month year **DBIOPDT**

Screening Spirometry

Date of assessment: ____/____/____
day month year **SPIRDT**

SPIROMET (TYPE 4)

Pre bronchodilator:

Post bronchodilator:

1 FEV₁: Actual: ____ . ____ liters **FEV1 <F:9:3>**

4 FEV₁: Actual: ____ . ____ liters **PSTFEV1 <F:9:3>**

2 FEV₆: Actual: ____ . ____ liters **FEV6 <F:9:3>**

5 FEV₆: Actual: ____ . ____ liters **PSTFEV6 <F:9:3>**

3 FVC: Actual: ____ . ____ liters **FVC <F:9:3>**

6 FVC: Actual: ____ . ____ liters **PSTFVC <F:9:3>**

Screening Echo

1 Date of assessment: ____/____/____
day month year **ECHODT**

ECHO (TYPE 1)

2 Ejection fraction: ____ % **EF<F9:3>**

3 Right ventricular systolic pressure: ____ . ____ mm Hg **RVSP<F9:3>**

4 Right ventricular enlargement? ₀ No ₁ Yes ₉₆ NA **RVENLRG<IPFYNA>**

5 Right atrial enlargement? ₀ No ₁ Yes ₉₆ NA **RAENLRGL<IPFYNA>**

Lung Volume

Date of assessment: ____/____/____
day month year **LUNGVLDT**

LGVOLUME (TYPE 1)

1 Total lung capacity (TLC): ____ . ____ liters **TLC<F:9:3>**

2 Functional residual capacity (FRC): ____ . ____ liters **FRC<F:9:3>**

3 Slow vital capacity (SVC): ____ . ____ liters **SVC<F:9:3>**

Subject ID: 02 - _____ - _____ Site # _____ Subject # _____ Subject Initials: _____

Screening 6-Minute Walk Test (6MWT)

Date of assessment: ____/____/____ **WALKDT** **WALKTM** **WALK (TYPE 4)**
day month year (See page 10)

1 Pre-walk modified Borg Dyspnea Scale rating: **PREBORG<IPFBRG><V:3>**
 0 0.5 1 2 3 4 5 6 7 8 9 10 97 Not done

2 Resting room air SpO₂: _____% **RESTSPO2<F:9:3>**

3 Was supplemental O₂ used during walk? No Yes → If Yes: Specify: _____ liters/min **SUPPLOXY<XYESNO>** **SUPO2<F9:3>** **SUPSPO2<F9:3>**
 SpO₂ on Supplemental O₂: _____%

4 Was walk performed? No → Provide reason: _____ **WLKNDSP<V:100>**
 Yes **WLKND<XYESNO>**

5 Was a walking aid necessary to perform the 6MWT?
 No **WALKAID<XYESNO>**
 Yes → If Yes: Specify (check only one): 1 Cane **TYPEAID<IPFAID><I:3>**
 2 Walker **AIDSP<V:100>**
 98 Other (specify): _____

	6 Minutes WALKTMTIP<IPFTMT><I:3>	Heart Rate	SpO₂ WALKTIME (TYPE 4)PS
0=	Pre-walk	_____ bpm	
1=	1 minute	_____ bpm WLKHR<I:3>	_____ % WLKSP02<F:9:3>
2=	2 minutes	_____ bpm	_____ %
3=	3 minutes	_____ bpm	_____ %
4=	4 minutes	_____ bpm	_____ %
5=	5 minutes	_____ bpm	_____ %
6=	6 minutes	_____ bpm	_____ %
Three minutes of recovery after completion or stop of 6-Minute Walk Test			
7=	1 minute 1 Minute Post	_____ bpm	_____ %
8=	2 minutes 2 Minutes Post	_____ bpm	_____ %
9=	3 minutes 3 Minutes Post	_____ bpm	_____ %

6 Did subject desaturate (SpO₂ ≤ 88%)? No **DESATURA<XYESNO>** **DESATMIN<I:3>** **DESATSEC<I:3>**
 Yes → If Yes: Walk duration at desaturation: _____ min / _____ sec
 Walk distance at desaturation: _____ meters **DESATDIS<F:9:3>**

Lowest SpO₂	Distance Walked	Did Subject Complete 6-Minute Walk?	If Stopped Early: Specify Reason (Check only one)
_____ % LOWSP02<F:9:3>	_____ meters DISTANCE<F:9:3>	<input type="checkbox"/> No → If No: WLKMIN<I:3> WLKSEC<I:3> Duration: ____/____ min/sec <input checked="" type="checkbox"/> Yes COMPLWLK<XYESNO>	<input type="checkbox"/> 1 SpO ₂ < 80% STOPREA<IPFREA><I:3> <input type="checkbox"/> 2 Developed signs and symptoms requiring termination of test <input type="checkbox"/> 98 Other (specify): _____ STPOTHSP<V:100>

7 Post-walk modified Borg Dyspnea Scale rating: **POSTBORG<IPFBRG><V:3>**
 0 0.5 1 2 3 4 5 6 7 8 9 10 97 Not done

Site Personnel's Initials: _____

WHITE and YELLOW—Duke Clinical Research Institute • PINK—retain at site

Screening/History

Subject ID: 02 - _____ - _____
site # subject # Subject Initials: _____

High-Resolution Computed Tomography (HRCT)/Diagnosis of IPF HRCT (TYPE 1)	
Date of HRCT: ____/____/____ <small>day month year</small>	
	Clinical Center HRCTINT <IPFHRC><I:3>
1 Interpretation of HRCT (check only one): 3. Inconsistent with IPF (Previous choice) 4. Suggests alternative diagnosis (addition to the code list)	<input type="checkbox"/> ₁ Definite IPF <input type="checkbox"/> ₂ Consistent with IPF <input type="checkbox"/> ₄ Suggests alternative diagnosis PULATDIA <F:9:3>
2 Pulmonary artery diameter:	____ . ____ ____ cm AORTADIA <F:9:3>
3 Aorta diameter:	____ . ____ ____ cm LUNGDIST <IPFLNG><I:3>
4 Predominant craniocaudal distribution of abnormality (check only one):	<input type="checkbox"/> ₁ Lower lung <input type="checkbox"/> ₂ Mid-lung <input type="checkbox"/> ₃ Upper lung <input type="checkbox"/> ₄ Diffuse AXDIST <IPFAXL><I:3>
5 Predominant axial distribution (check only one):	<input type="checkbox"/> ₁ Peripheral <input type="checkbox"/> ₂ Central/peribronchovascular <input type="checkbox"/> ₃ Diffuse RETICULR <IPFABP>
6 Reticular abnormality:	<input type="checkbox"/> ₀ Absent <input type="checkbox"/> ₁ Present HONECOMB <IPFABP>
7 Honeycombing:	<input type="checkbox"/> ₀ Absent <input type="checkbox"/> ₁ Present GRIDGLASS <IPFABP>
8 Extensive ground glass abnormality (extent > reticular abnormality):	<input type="checkbox"/> ₀ Absent <input type="checkbox"/> ₁ Present MICRONDL <IPFABP>
9 Profuse micronodules (present in upper, mid, and lower lung zones):	<input type="checkbox"/> ₀ Absent <input type="checkbox"/> ₁ Present CYSTS <IPFABP>
10 Discrete cysts (not in areas of honeycombing):	<input type="checkbox"/> ₀ Absent <input type="checkbox"/> ₁ Present MOSAIC <IPFABP>
11 Mosaic attenuation:	<input type="checkbox"/> ₀ Absent <input type="checkbox"/> ₁ Present AIRTRAP <IPFABP>
12 Air trapping:	<input type="checkbox"/> ₀ Absent <input type="checkbox"/> ₁ Present CONSOLID <IPFABP>
13 Consolidation:	<input type="checkbox"/> ₀ Absent <input type="checkbox"/> ₁ Present



Surgical Lung Biopsy/Diagnosis of IPF

Date of biopsy: ___/___/___ Not done
SLBNOTDN <XYES>
SLBDT <DATE>

SLBIOP (TYPE 1)

Table with 4 columns: Clinical Center, Central, Tie-breaker, Adjudication. Rows include criteria for diagnosis of UIP, first choice diagnosis, and confidence of diagnosis of UIP.

Past Medical History/Physical Findings		MEDHIST1 (TYPE 1)
Does the subject have a known current or past history of:		
1 Coronary artery disease CADHIST<XYESNO>	<input type="checkbox"/> No <input type="checkbox"/> Yes	
2 Acute MI ACUTEMI<XYESNO>	<input type="checkbox"/> No <input type="checkbox"/> Yes	
3 Valvular heart disease VALVULAR<XYESNO>	<input type="checkbox"/> No <input type="checkbox"/> Yes →	If Yes: Specify: VALVUSP<V:100>
4 Heart failure (congestive heart failure or congestive heart disease) HFAILURE<XYESNO>	<input type="checkbox"/> No <input type="checkbox"/> Yes	
5 Atrial fibrillation ATRIALFB<XYESNO>	<input type="checkbox"/> No <input type="checkbox"/> Yes	
6 Intermittent claudication CLAUDICA<XYESNO>	<input type="checkbox"/> No <input type="checkbox"/> Yes	
7 Cirrhosis or other serious, chronic liver disease LIVERDIS<XYESNO>	<input type="checkbox"/> No <input type="checkbox"/> Yes	
8 Diabetes DIABETES<XYESNO>	<input type="checkbox"/> No <input type="checkbox"/> Yes	
9 Lung cancer LGCANCER<XYESNO>	<input type="checkbox"/> No <input type="checkbox"/> Yes	
10 Other cancer (excluding basal cell carcinoma) OTHCAN<XYESNO>	<input type="checkbox"/> No <input type="checkbox"/> Yes →	If Yes: Specify: OTHCANSP<V:100>
11 Gastroesophageal reflux disorders (GERD) GERD<XYESNO>	<input type="checkbox"/> No <input type="checkbox"/> Yes →	If Yes: Check all that apply: <input type="checkbox"/> Barrett's esophagus BARRETS<XYES> <input type="checkbox"/> Hiatal hernia HIATALHN<XYES> <input type="checkbox"/> Gastroesophageal reflux → REFLUX<XYES> How diagnosed (check all that apply): <input type="checkbox"/> 24 hr pH monitoring <input type="checkbox"/> Endoscopy <input type="checkbox"/> Upper GI/barium swallow test <input type="checkbox"/> Symptoms of heartburn OR <input type="checkbox"/> Unknown GDIUNK<XYES> Non-pharmaceutical interventions (check all that apply): <input type="checkbox"/> Sleeping with the head end of the bed elevated with 6" to 8" blocks on the floor <input type="checkbox"/> Sleeping in a recliner <input type="checkbox"/> Limiting foods and beverages that cause symptoms <input type="checkbox"/> Avoiding lying down flat for 3 hours after a meal <input type="checkbox"/> Avoiding bedtime snacks <input type="checkbox"/> Eating small meals <input type="checkbox"/> Fundoplication surgery FUNDSURG<XYES>
12 Sleep apnea (central or obstructive) SLPAPNEA<XYESNO>	<input type="checkbox"/> No <input type="checkbox"/> Yes →	If Yes: CPAP treatment (check only one): <input type="checkbox"/> None <input type="checkbox"/> Daily CPAP<IPFCPA> <input type="checkbox"/> Intermittent

Past Medical History/Physical Findings (continued)		MEDHIST2 (TYPE 1)
Does the subject have a known current or past history of:		
13 Asthma	<input type="checkbox"/> No <input type="checkbox"/> Yes	ASTHMA<XYESNO>
14 Evidence of pulmonary hypertension HYPERTEN<XYESNO>	<input type="checkbox"/> No <input type="checkbox"/> Yes → HYPRECHO<XYES>	If Yes: Source (check all that apply): <input type="checkbox"/> Echo <input type="checkbox"/> Cath HYPROATH<XYES>
15 Emphysema or chronic bronchitis	<input type="checkbox"/> No <input type="checkbox"/> Yes	EMPHYSEM<XYESNO>
16 Connective tissue features (thought clinically insignificant) CNTISSUE<XYESNO>	<input type="checkbox"/> No <input type="checkbox"/> Yes → RAYNAUDS<XYES> POSANA<XYES> POSRF<XYES> MYALGIA<XYES> SICCA<XYES> DYSPHAGA<XYES> OTHtis<XYES>	If Yes: Check all that apply: <input type="checkbox"/> Raynaud's Positive ANA → Titer: <u>1</u> : _____ ANATITER <I:3> <input type="checkbox"/> Positive RF → Level: _____ IU/ml <input type="checkbox"/> Significant arthralgia/myalgia <input type="checkbox"/> Sicca symptoms <input type="checkbox"/> Dysphagia <input type="checkbox"/> Other (specify): _____ RFLEVEL<I:3> OTHTISSP V:100>
17 Exposure to organic or inorganic antigens known to cause interstitial lung disease (thought clinically insignificant)	<input type="checkbox"/> No <input type="checkbox"/> Yes	ANTIGENS<XYESNO>
18 Clubbing	<input type="checkbox"/> No <input type="checkbox"/> Yes	CLUBBING<XYESNO>
19 Bibasilar, inspiratory crackles	<input type="checkbox"/> No <input type="checkbox"/> Yes	CRACKLES<XYESNO>
20 Jugular venous distension	<input type="checkbox"/> No <input type="checkbox"/> Yes	JVD<XYESNO>
21 Increased P ₂	<input type="checkbox"/> No <input type="checkbox"/> Yes	INCSEPPT<XYESNO>
22 Peripheral edema	<input type="checkbox"/> No <input type="checkbox"/> Yes	PEREDEMA<XYESNO>
23 Other significant condition/finding	<input type="checkbox"/> Yes → OTHc1<XYES>	If Yes: Specify: _____ OTHc1SP <V:100>
24 Other significant condition/finding	<input type="checkbox"/> Yes → OTHc2<XYES>	If Yes: Specify: _____ OTHc2SP <V:100>

Prior Medications			
Record any non-study medications taken within 30 days of randomization, including over-the-counter and prescription drugs, and herbal remedies, with the exception of medications taken to treat gastroesophageal disorders, including GERD and Barrett's esophagus (record on GERD Prior Medication page).			
Medication Name	Start Date	Stop Date OR <input checked="" type="checkbox"/> if Continuing MEDSTPDT	Indication
MEDROWNO<I:3> 1 MEDICATN<V:100>	MEDSTRDT day / month / year	MEDSTPDT day / month / year MEDCONTU<XYES>	THERAPY<V:100>
2 WHODRUG_B2 WHONAME<V:80> WHOCODE<V:32>	day / month / year	day / month / year OR <input type="checkbox"/> Continuing	
3 CODETM<DATETIME> CODER<V:20> WORKFLOW<V:5>	day / month / year	day / month / year OR <input type="checkbox"/> Continuing	
4 CONFLVL<V:2> MATCHES<V:4>	day / month / year	day / month / year OR <input type="checkbox"/> Continuing	
5 WHODRUG_ATC_B2 ATC_TERM<V:110> ATC_CODE<V:40> CODETIM2<DATETIME> CODER2<V:20>	day / month / year	day / month / year OR <input type="checkbox"/> Continuing	
6 WORKFLO2<V:5> CONFLVL2<V:2> MATCHES2<V:4> THERAP2<V:100>	day / month / year	day / month / year OR <input type="checkbox"/> Continuing	
7	day / month / year	day / month / year OR <input type="checkbox"/> Continuing	
8	day / month / year	day / month / year OR <input type="checkbox"/> Continuing	
9	day / month / year	day / month / year OR <input type="checkbox"/> Continuing	
10	day / month / year	day / month / year OR <input type="checkbox"/> Continuing	



Subject ID: 02 - site # _____ subject # _____ Subject Initials: _____

THIS IS A REPEATING PAGE

GERD Prior Medications

Record any medications taken to treat gastroesophageal disorders, including GERD and Barrett's esophagus (e.g., proton pump inhibitors, antacids, H₂ receptor antagonists, metoclopramide), taken within 30 days of randomization, including over-the-counter and prescription drugs and herbal remedies. **GERDLOG (TYPE 4)R**

Medication Name GERDRWNO<i:3>	Dose GERDOSE<i:3>	Frequency (Check only one)	Start Date	Stop Date OR Check if Continuing	Indication (Check all that apply)
1 MEDICATN<V:100> <input type="checkbox"/> 1 Check if self-prescribed SELFMEDS<XYES>	<input type="checkbox"/> 1 mg <input type="checkbox"/> 2 tab <input type="checkbox"/> 3 tsp <input type="checkbox"/> 98 Other	<input type="checkbox"/> 1 QD <input type="checkbox"/> 2 BID <input type="checkbox"/> 3 TID <input type="checkbox"/> 4 QID <input type="checkbox"/> 5 PRN (≥ 1/wk) <input type="checkbox"/> 6 PRN (< 1/wk)	day / month / year MEDSTRDT	day / month / year MEDSTPDT OR GRDIPF<XYES> GRDCOF<XYES> OR <input type="checkbox"/> 1 Continuing MEDCONTU<XYES>	<input type="checkbox"/> IPF <input type="checkbox"/> Cough <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____ GRDHTBRN<XYES> <input type="checkbox"/> Heartburn symptoms <input type="checkbox"/> Hiatal hernia GRDBARET<XYES> <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____ GRDHH<XYES> <input type="checkbox"/> Heartburn symptoms <input type="checkbox"/> Hiatal hernia GRDOSP<V:100> <input type="checkbox"/> IPF <input type="checkbox"/> Cough <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____
2 WHODRUG_B2 WHONAME<V:80> WHOCODE<V:32> CODETEMs DATE&TIME> GODER<V:20>	<input type="checkbox"/> 1 mg <input type="checkbox"/> 2 tab <input type="checkbox"/> 3 tsp <input type="checkbox"/> 98 Other	<input type="checkbox"/> 1 QD <input type="checkbox"/> 2 BID <input type="checkbox"/> 3 TID <input type="checkbox"/> 4 QID <input type="checkbox"/> 5 PRN (≥ 1/wk) <input type="checkbox"/> 6 PRN (< 1/wk)	day / month / year MEDSTRDT	day / month / year OR <input type="checkbox"/> 1 Continuing	<input type="checkbox"/> IPF <input type="checkbox"/> Cough <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____ GRDHTBRN<XYES> <input type="checkbox"/> Heartburn symptoms <input type="checkbox"/> Hiatal hernia GRDOSP<V:100> <input type="checkbox"/> IPF <input type="checkbox"/> Cough <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____
3 WORKFLOW<V:5> CONFLVL<V:2> MATCHES<V:4> <input type="checkbox"/> 1 Check if self-prescribed	<input type="checkbox"/> 1 mg <input type="checkbox"/> 2 tab <input type="checkbox"/> 3 tsp <input type="checkbox"/> 98 Other	<input type="checkbox"/> 1 QD <input type="checkbox"/> 2 BID <input type="checkbox"/> 3 TID <input type="checkbox"/> 4 QID <input type="checkbox"/> 5 PRN (≥ 1/wk) <input type="checkbox"/> 6 PRN (< 1/wk)	day / month / year MEDSTRDT	day / month / year OR <input type="checkbox"/> 1 Continuing	<input type="checkbox"/> IPF <input type="checkbox"/> Cough <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____ GRDHTBRN<XYES> <input type="checkbox"/> Heartburn symptoms <input type="checkbox"/> Hiatal hernia GRDOSP<V:100> <input type="checkbox"/> IPF <input type="checkbox"/> Cough <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____
4 WHODRUG_ATC_B2 ATC_TERM<V:110> ATC_CODE<V:40> CODETIM2<DATE&TIME> CODER2<V:20> <input type="checkbox"/> 1 Check if self-prescribed WORKFLO2<V:5>	<input type="checkbox"/> 1 mg <input type="checkbox"/> 2 tab <input type="checkbox"/> 3 tsp <input type="checkbox"/> 98 Other	<input type="checkbox"/> 1 QD <input type="checkbox"/> 2 BID <input type="checkbox"/> 3 TID <input type="checkbox"/> 4 QID <input type="checkbox"/> 5 PRN (≥ 1/wk) <input type="checkbox"/> 6 PRN (< 1/wk)	day / month / year MEDSTRDT	day / month / year OR <input type="checkbox"/> 1 Continuing	<input type="checkbox"/> IPF <input type="checkbox"/> Cough <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____ GRDHTBRN<XYES> <input type="checkbox"/> Heartburn symptoms <input type="checkbox"/> Hiatal hernia GRDOSP<V:100> <input type="checkbox"/> IPF <input type="checkbox"/> Cough <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____
5 CONFLVL2<V:2> MATCHES2<V:4> GERDIPF<V:100> <input type="checkbox"/> 1 Check if self-prescribed	<input type="checkbox"/> 1 mg <input type="checkbox"/> 2 tab <input type="checkbox"/> 3 tsp <input type="checkbox"/> 98 Other	<input type="checkbox"/> 1 QD <input type="checkbox"/> 2 BID <input type="checkbox"/> 3 TID <input type="checkbox"/> 4 QID <input type="checkbox"/> 5 PRN (≥ 1/wk) <input type="checkbox"/> 6 PRN (< 1/wk)	day / month / year MEDSTRDT	day / month / year OR <input type="checkbox"/> 1 Continuing	<input type="checkbox"/> IPF <input type="checkbox"/> Cough <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____ GRDHTBRN<XYES> <input type="checkbox"/> Heartburn symptoms <input type="checkbox"/> Hiatal hernia GRDOSP<V:100> <input type="checkbox"/> IPF <input type="checkbox"/> Cough <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____

Site Personnel's Initials: _____

WHITE and YELLOW—Duke Clinical Research Institute • PINK—retain at site

6-Minute Walk Test (6MWT)

Date and time of assessment: ____/____/____ 00:00 to 23:59 **WALKTM** **WALK (TYPE4)**

- 1 Pre-walk modified Borg Dyspnea Scale rating:
 0 0.5 1 2 3 4 5 6 7 8 9 10 97 Not done
- 2 Resting room air SpO₂: _____ %
- 3 Was supplemental O₂ used during walk? No Yes → If Yes: Specify: _____ liters/min
 SpO₂ on Supplemental O₂ : _____ %
- 4 Was walk performed? No → Provide reason: _____
 Yes
- 5 Was a walking aid necessary to perform the 6MWT?
 No
 Yes → If Yes: Specify (check only one): 1 Cane
 2 Walker
 98 Other (specify): _____

6 Minutes	Heart Rate	SpO ₂ WALKTIME(TYPE4)PS
Pre-walk	_____ bpm	
1 minute	_____ bpm	_____ %
2 minutes	_____ bpm	_____ %
3 minutes	_____ bpm	_____ %
4 minutes	_____ bpm	_____ %
5 minutes	_____ bpm	_____ %
6 minutes	_____ bpm	_____ %
Three minutes of recovery after completion or stop of 6-Minute Walk Test		
1 minute	_____ bpm	_____ %
2 minutes	_____ bpm	_____ %
3 minutes	_____ bpm	_____ %

- 6 Did subject desaturate (SpO₂ ≤ 88%)? No
 Yes → If Yes: Walk duration at desaturation: _____ min / _____ sec
 Walk distance at desaturation: _____ meters

Lowest SpO ₂	Distance Walked	Did Subject Complete 6-Minute Walk?	If Stopped Early: Specify Reason (Check only one)
_____ %	_____ meters	<input type="checkbox"/> No → If No: Duration: _____ / _____ <input type="checkbox"/> Yes	<input type="checkbox"/> 1 SpO ₂ < 80% <input type="checkbox"/> 2 Developed signs and symptoms requiring termination of test <input type="checkbox"/> 98 Other (specify): _____

- 7 Post-walk modified Borg Dyspnea Scale rating:
 0 0.5 1 2 3 4 5 6 7 8 9 10 97 Not done

Subject ID: 02 - _____ - _____ Subject Initials: _____
site # subject #

6-Minute Walk Test (6MWT)

Date and time of assessment: ____/____/____ 00:00 to 23:59
day month year

WALK (TYPE4)

- 1 Pre-walk modified Borg Dyspnea Scale rating:
 0 0.5 1 2 3 4 5 6 7 8 9 10 97 Not done
- 2 Resting room air SpO₂: _____ %
- 3 Was supplemental O₂ used during walk? No Yes → If Yes: Specify: _____ liters/min
 SpO₂ on Supplemental O₂ : _____ %
- 4 Was walk performed? No → Provide reason: _____
 Yes
- 5 Was a walking aid necessary to perform the 6MWT?
 No
 Yes → If Yes: Specify (check only one): 1 Cane
 2 Walker
 98 Other (specify): _____

6 Minutes	Heart Rate	SpO ₂ WALKTIME(TYPE4)PS
Pre-walk	_____ bpm	
1 minute	_____ bpm	_____ %
2 minutes	_____ bpm	_____ %
3 minutes	_____ bpm	_____ %
4 minutes	_____ bpm	_____ %
5 minutes	_____ bpm	_____ %
6 minutes	_____ bpm	_____ %
Three minutes of recovery after completion or stop of 6-Minute Walk Test		
1 minute	_____ bpm	_____ %
2 minutes	_____ bpm	_____ %
3 minutes	_____ bpm	_____ %

- 6 Did subject desaturate (SpO₂ ≤ 88%)? No
 Yes → If Yes: Walk duration at desaturation: _____ min / _____ sec
 Walk distance at desaturation: _____ meters

Lowest SpO ₂	Distance Walked	Did Subject Complete 6-Minute Walk?	If Stopped Early: Specify Reason (Check only one)
_____ %	_____ meters	<input type="checkbox"/> No → If No: Duration: ____/____ min sec <input type="checkbox"/> Yes	<input type="checkbox"/> 1 SpO ₂ < 80% <input type="checkbox"/> 2 Developed signs and symptoms requiring termination of test <input type="checkbox"/> 98 Other (specify): _____

- 7 Post-walk modified Borg Dyspnea Scale rating:
 0 0.5 1 2 3 4 5 6 7 8 9 10 97 Not done

Site Personnel's Initials: _____

WHITE and YELLOW—Duke Clinical Research Institute • PINK—retain at site

Visit date: ____/____/____ <small>day month year</small>	VISITDT VISTDATE (TYPE 4)
**SEE ADDITIONAL ANNOTATION AT BOTTOM OF PAGE	
Eligibility	
Did the subject meet all eligibility criteria? INCL1<I:3> INCL2<I:3> INCL3<I:3> <input type="checkbox"/> No → If No: Inclusion criteria not met: # ____, # ____, # ____ Exclusion criteria present: # EXCL1<I:3> , # EXCL2<I:3> <input type="checkbox"/> Yes ELIGCRIT<XYESNO> EXCL3<I:3>	
ELIGIBLE (TYPE 1)	
Randomization	
Date of randomization: ____/____/____ <small>day month year</small>	
RANDOM (TYPE 1)	
NYHA Functional Class	
Current NYHA heart failure classification (check only one): <input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <small>1= 2= 3= 4=</small>	
NYHA (TYPE 4)	
NYHACLAS<XKCLAS>	
Vital Signs	
1 Weight: ____ ____ <input type="checkbox"/> lb <input type="checkbox"/> kg WEIGHT <F:9:3> WTUNITS<XWGTU>	
VITALS (TYPE 4)	
2 HEIGHT <F:9:3> ____ <input type="checkbox"/> in <input type="checkbox"/> cm HTUNITS<XHGTU> (Height only on page 11) BPSYS<I:3> BPDIA<I:3>	
3 Blood pressure: ____/____ mm Hg <small>systolic diastolic</small>	
4 Heart rate: HRATE<I:3> <small>bpm</small>	
SPO2<F9:3> ONLY ON PAGE 112	

****VISTDATE PANEL**
(FOR PAGE 47 and 86 ONLY) EARLYTER <XYES>
(FOR PAGE 112 ONLY) UNSCHREA<IPFUNS> AND UNSCHSP<V:100>

Spirometry*	
Date of assessment: _____ / _____ / _____ <small>day month year</small>	SPIRODT SPIROMET(TYPE 4)
1 FEV ₁ : Actual: _____ liters FEV1 <F:9:3>	SEE ANNOTATION P.2 NOTE: DO NOT ADD QUESTIONS 4,5,6
2 FEV ₆ : Actual: _____ liters FEV6 <F:9:3>	
3 FVC: Actual: _____ liters FVC<F:9:3>	
Diffusing Capacity of the Lung for Carbon Monoxide (DLCO)*	
Date of assessment: _____ / _____ / _____ <small>day month year</small>	DLCODT DLCO(TYPE 4)
1 DLCO: Actual: _____ DLCO<F:9:3> mL/min/mm Hg → Altitude-corrected DLCO (National Jewish only): _____ ALTIDLCO<F:9:3> mL/min/mm Hg	
2 VI (inspired volume): _____ VI<F:9:3> liters	
3 VA (alveolar volume): _____ VA<F:9:3> liters	
Arterial Blood Gas (ABG)*	
Date of assessment: _____ / _____ / _____ <small>day month year</small>	ABGASDT ABG(TYPE 4)
1 Barometric pressure: _____ mm Hg BARPRESS><I:3>	
2 Clinic altitude (check only one): <input type="checkbox"/> ₁ < 4000 ft <input type="checkbox"/> ₂ ≥ 4000 ft ALTITUDE<IPFALT><I:3>	
3 FiO ₂ : _____ % FIO2<I:3>	
4 pH: _____ PH<F:9:3>	
5 PaO ₂ : _____ mm Hg PAO2<I:3>	
6 PaCO ₂ : _____ mm Hg PACO2<I:3>	
7 SaO ₂ : _____ % SAO2<I:3>	

*Screening visit may be used if within 14 days of enrollment.

Self-administered Questionnaires		SELFQDT		SELFREPT(TYPE 4)			
Date administered:	____/____/____ <small>day month year</small>						
1	EuroQol EURORPT<IPFSEF><I:3>	<input type="checkbox"/>	1 Attached	<input type="checkbox"/>	97 Not done		
2	SF-36 SF36RPT<IPFSEF><I:3>	<input type="checkbox"/>	1 Attached	<input type="checkbox"/>	97 Not done		
3	UCSD Shortness-of-Breath UCSDRPT<IPFSEF><I:3>	<input type="checkbox"/>	1 Attached	<input type="checkbox"/>	97 Not done		
4	St. George's Respiratory STGRGRPT<IPFSEF><I:3>	<input type="checkbox"/>	1 Attached	<input type="checkbox"/>	97 Not done		
5	ICECAP ICERPT<IPFSEF><I:3>	<input type="checkbox"/>	1 Attached	<input type="checkbox"/>	97 Not done		
6	Gender Substudy Questionnaire GENDRPT<IPFSEF><I:3>	<input type="checkbox"/>	1 Attached	<input type="checkbox"/>	97 Not done	<input type="checkbox"/>	96 NA (male)

EuroQol Questionnaire EQ-5D English version for the U.S.

By placing a checkmark in one box in each group below, please indicate which statements best describe your own health state today.

EUROQOL1 (TYPE 4)

1 Mobility:

- ₁ I have no problems in walking about **EQMOB<IPFEMO><I:3>**
₂ I have some problems in walking about
₃ I am confined to bed

2 Self-care:

- ₁ I have no problems with self-care **EQSC<IPFESC><I:3>**
₂ I have some problems washing or dressing myself
₃ I am unable to wash or dress myself

3 Usual activities (e.g., work, study, housework, family, or leisure activities):

- ₁ I have no problems with performing my usual activities
₂ I have some problems with performing my usual activities **EQUA<IPFEUA><I:3>**
₃ I am unable to perform my usual activities

4 Pain/discomfort:

- ₁ I have no pain or discomfort
₂ I have moderate pain or discomfort **EQPAIN<IPFEPN><I:3>**
₃ I have extreme pain or discomfort

5 Anxiety/depression:

- ₁ I am not anxious or depressed
₂ I am moderately anxious or depressed **EQANX<IPFEAN><I:3>**
₃ I am extremely anxious or depressed

STOP.

**Please let your study coordinator know that
you are ready for the EuroQol Thermometer worksheet.**

EuroQol Thermometer Response (Study staff use only)Response to the EuroQol thermometer (0–100): _____ **EURTHERM<I:3>**

EuroQol Questionnaire EQ-5D (continued)

Because all replies are anonymous, it will help us to understand your answers better if we have a little background data from everyone, as covered in the following questions.

EUROQOL2 (TYPE 4)

- 1 Have you experienced serious illness:** *in you yourself?* ₀ No ₁ Yes **EQILLYOU<XYESNO>**
in your family? ₀ No ₁ Yes **EQILLFAM<XYESNO>**
in caring for others? ₀ No ₁ Yes **EQILLOTH<XYESNO>**

2 What is your age in years? _____ **EQAGE<F:9:3>**

3 Are you (please check appropriate box): ₁ Male **EQGENDR<XGENDR>**
₂ Female

4 Are you (please check only one): ₁ A current smoker **EQSMK<IPFESM><I:3>**
₂ An ex-smoker
₃ A never smoker

5 Do you now, or did you ever, work in health or social services?
₀ No **EQWKHL<XYESNO>**
₁ Yes → If Yes: In what capacity? _____ **EQWKHLSP <V:100>**

6 Which of the following best describes your main activity (please check only one)?
₁ Employed (including self employment)
₂ Retired **EQACT<IPFEAC><I:3>**
₃ Keeping house
₄ Student
₅ Seeking work
₉₈ Other (please specify): _____ **EQACTSP<V:100>**

7 What is the highest level of education you have completed (please check only one)?
₁ Some high school or less
₂ High school graduate or GED
₃ Vocational college or some college **EQEDU<IPFEDU><I:3>**
₄ College degree
₅ Professional or graduate degree

8 If you know your zip code, please write it here: _____ **EQZIP<I:5>**

SF-36 Assessment

1 In general, would you say your health is: **SF1<XCOND><I:3>** **SF36_1(TYPE 4)**
₁ Excellent ₂ Very good ₃ Good ₄ Fair ₅ Poor

2 Compared to one year ago, how would you rate your health in general now? **SF2<IPFHLT><I:3>**
₁ Much better than one year ago ₃ About the same as one year ago ₅ Much worse now
₂ Somewhat better than one year ago ₄ Somewhat worse than one year ago than one year ago

3 The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much?

		Yes, Limited A Lot	Yes, Limited A Little	No, Not Limited At All
a. Vigorous activities, such as running, lifting heavy objects, participating in strenuous sports	SF3A<IPFACT><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
b. Moderate activities, such as moving a table, pushing a vacuum cleaner, bowling, or playing golf	SF3B<IPFACT><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
c. Lifting or carrying groceries	SF3C<IPFACT><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
d. Climbing several flights of stairs	SF3D<IPFACT><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
e. Climbing one flight of stairs	SF3E<IPFACT><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
f. Bending, kneeling or stooping	SF3F<IPFACT><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
g. Walking more than a mile	SF3G<IPFACT><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
h. Walking several blocks	SF3H<IPFACT><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
i. Walking one block	SF3I<IPFACT><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
j. Bathing or dressing yourself	SF3J<IPFACT><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃

4 During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of your physical health?

		All of the Time	Most of the Time	Some of the Time	A Little of the Time	None of the Time
a. Cut down on the amount of time you spend on work or other activities	SF4A<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
b. Accomplished less than you would like	SF4B<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
c. Were limited in the kind of work or other activities	SF4C<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
d. Had difficulty performing the work or other activities (for example, it took extra effort)	SF4D<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

5 During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of any emotional problems (such as feeling depressed or anxious)?

		All of the Time	Most of the Time	Some of the Time	A Little of the Time	None of the Time
a. Cut down on the amount of time you spend on work or other activities	SF5A<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
b. Accomplished less than you would like	SF5B<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
c. Did work or other activities less carefully than usual	SF5C<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

SF-36 Assessment (continued)

6 During the past 4 weeks, to what extent has your physical health or emotional problems interfered with your normal social activities with family, friends, neighbors, or groups?

- ₁ Not at all ₂ Slightly ₃ Moderately ₄ Quite a bit ₅ Extremely

SF6<IPFXTN><I:3>

SF36_2(TYPE 4)

7 How much bodily pain have you had during the past 4 weeks?

- ₁ None ₂ Very mild ₃ Mild ₄ Moderate ₅ Severe ₆ Very severe

SF7<IPFBPN><I:3>

8 During the past 4 weeks, how much did pain interfere with your normal work (including both work outside the home and housework)?

- ₁ Not at all ₂ Slightly ₃ Moderately ₄ Quite a bit ₅ Extremely

SF8<IPFXTN><I:3>>

9 These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling.

How much of the time during the <u>past 4 weeks</u> ...	All of the Time	Most of the Time	Some of the Time	A Little of the Time	None of the Time
a. Did you feel full of life? SF9A<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
b. Have you been very nervous? SF9B<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
c. Have you felt so down in the dumps that nothing could cheer you up? SF9C<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
d. Have you felt calm and peaceful? SF9D<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
e. Did you have a lot of energy? SF9E<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
f. Have you felt downhearted and depressed? SF9F<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
g. Did you feel worn out? SF9G<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
h. Have you been happy? SF9H<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
i. Did you feel tired? SF9I<IPFTIM><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

10 During the past 4 weeks, how much of the time has your physical health or emotional problems interfered with your social activities (like visiting friends, relatives, etc.)?

- ₁ All of the time ₂ Most of the time ₃ Some of the time ₄ A little of the time ₅ None of the time

SF10<IPFTIM><I:3>

11 How **True** or **False** is each of the following statements for you?

	Definitely True	Mostly True	Don't Know	Mostly False	Definitely False
a. I seem to get sick a little easier than other people SF11A<IPFTRU><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
b. I am as healthy as anybody I know SF11B<IPFTRU><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
c. I expect my health to get worse SF11C<IPFTRU><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
d. My health is excellent SF11D<IPFTRU><I:3>	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

UCSD Shortness-of-Breath Questionnaire UCSD SOBQ						
When I do, or if I were to do, the following tasks, I would rate my breathlessness as:	None At All				Severe	Maximal/Unable To Do Because of Breathlessness
All <I:1> <IPFUCS>	0=	1=	2=	3=	4=	5=
1 At rest UCSD1	0	1	2	3	4	5
2 Walking on a level at your own pace UCSD2	0	1	2	3	4	5
3 Walking on a level with others your age UCSD3	0	1	2	3	4	5
4 Walking up a hill UCSD4	0	1	2	3	4	5
5 Walking up stairs UCSD5	0	1	2	3	4	5
6 While eating UCSD6	0	1	2	3	4	5
7 Standing up from a chair UCSD7	0	1	2	3	4	5
8 Brushing teeth UCSD8	0	1	2	3	4	5
9 Shaving and/or brushing hair UCSD9	0	1	2	3	4	5
10 Showering/bathing UCSD10	0	1	2	3	4	5
11 Dressing UCSD11	0	1	2	3	4	5
12 Picking up and straightening UCSD12	0	1	2	3	4	5

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UCSD Shortness-of-Breath Questionnaire UCSD SOBQ (continued)							
When I do, or if I were to do, the following tasks, I would rate my breathlessness as:	None At All				Severe	Maximal/ Unable To Do Because of Breathlessness	
All <l:1> <IPFUCS>	0=	1=	2=	3=	4=	5=	
13 Doing dishes UCSD13	0	1	2	3	4	5	
14 sweeping/vacuuming UCSD14	0	1	2	3	4	5	
15 Making bed UCSD15	0	1	2	3	4	5	
16 Shopping UCSD16	0	1	2	3	4	5	
17 Doing laundry UCSD17	0	1	2	3	4	5	
18 Washing car UCSD18	0	1	2	3	4	5	
19 Mowing lawn UCSD19	0	1	2	3	4	5	
20 Watering lawn UCSD20	0	1	2	3	4	5	
21 Sexual activities UCSD21	0	1	2	3	4	5	
How much do these limit you in your daily life?							
22 Shortness of breath UCSD22	0	1	2	3	4	5	
23 Fear of "hurting myself" UCSD23	0	1	2	3	4	5	
24 Fear of shortness of breath UCSD24	0	1	2	3	4	5	

St. George's Respiratory Questionnaire (SGRQ) (English for the United States)

STGRG1 (TYPE 4)

This questionnaire is designed to help us learn much more about how your breathing is troubling you and how it affects your life. We are using it to find out which aspects of your illness cause you the most problems, rather than what the doctors and nurses think your problems are.

Please read the instructions carefully and ask if you do not understand anything.
Do not spend too long deciding about your answers.

Before completing the questionnaire:

Please check one box to show how you describe your current health:

CURHLTH<IPFCHL><I:3>

- 1 Very good
- 2 Good
- 3 Fair
- 4 Poor
- 5 Very poor

St. George's Respiratory Questionnaire Part 1

STGRG2 (TYPE 4)

Please describe how often your respiratory problems have affected you over the past 4 weeks.

Please check one box for each question.

Almost Every Day	Several Days a Week	A Few Days a Month	Only with Respiratory Infections	Not At All
-----------------------------	------------------------------------	-----------------------------------	---	-----------------------

All are <XYES>

- | | | | | | | |
|----------|---|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|
| 1 | Over the past 4 weeks, I have coughed: | STG1A
<input type="checkbox"/> | STG1B
<input type="checkbox"/> | STG1C
<input type="checkbox"/> | STG1D
<input type="checkbox"/> | STG1E
<input type="checkbox"/> |
| 2 | Over the past 4 weeks, I have brought up phlegm (sputum): | STG2A
<input type="checkbox"/> | STG2B
<input type="checkbox"/> | STG2C
<input type="checkbox"/> | STG2D
<input type="checkbox"/> | STG2E
<input type="checkbox"/> |
| 3 | Over the past 4 weeks, I have had shortness of breath: | STG3A
<input type="checkbox"/> | STG3B
<input type="checkbox"/> | STG3C
<input type="checkbox"/> | STG3D
<input type="checkbox"/> | STG3E
<input type="checkbox"/> |
| 4 | Over the past 4 weeks, I have had wheezing attacks: | STG4A
<input type="checkbox"/> | STG4B
<input type="checkbox"/> | STG4C
<input type="checkbox"/> | STG4D
<input type="checkbox"/> | STG4E
<input type="checkbox"/> |
- 5** How many times during the past 4 weeks have you suffered from severe or very unpleasant respiratory attacks? Please check one:
- | | |
|--|-------------|
| <input type="checkbox"/> More than 3 times | STG5A<XYES> |
| <input type="checkbox"/> 3 times | STG5B<XYES> |
| <input type="checkbox"/> 2 times | STG5C<XYES> |
| <input type="checkbox"/> 1 time | STG5D<XYES> |
| <input type="checkbox"/> None of the time | STG5E<XYES> |
- 6** How long did the worst respiratory attack last? Please check one:
(Go to question 7 if you did not have a severe attack)
- | | |
|--|-------------|
| <input type="checkbox"/> A week or more | STG6A<XYES> |
| <input type="checkbox"/> 3 or more days | STG6B<XYES> |
| <input type="checkbox"/> 1 or 2 days | STG6C<XYES> |
| <input type="checkbox"/> Less than a day | STG6D<XYES> |
- 7** Over the past 4 weeks, in a typical week, how many good days (with few respiratory problems) have you had? Please check one:
- | | |
|--|-------------|
| <input type="checkbox"/> No good days | STG7A<XYES> |
| <input type="checkbox"/> 1 or 2 good days | STG7B<XYES> |
| <input type="checkbox"/> 3 or 4 good days | STG7C<XYES> |
| <input type="checkbox"/> Nearly every day was good | STG7D<XYES> |
| <input type="checkbox"/> Every day was good | STG7E<XYES> |
- 8** If you wheeze, is it worse when you get up in the morning? Please check one:
- | | |
|------------------------------|--------------|
| <input type="checkbox"/> No | STG8<XYESNO> |
| <input type="checkbox"/> Yes | |

St. George's Respiratory Questionnaire Part 2

STGRG3 (TYPE 4)

Section 1

9 How would you describe your respiratory condition? Please check one:

- The most important problem I have **STG9A<XYES>**
- Causes me quite a lot of problems **STG9B<XYES>**
- Causes me a few problems **STG9C<XYES>**
- Causes me no problems **STG9D<XYES>**

10 If you have ever held a job (please check one):

- My respiratory problems made me stop working altogether **STG10A<XYES>**
- My respiratory problems interfere with my job or made me change my job **STG10B<XYES>**
- My respiratory problems do not affect my job **STG10C<XYES>**

Section 2

These are questions about what activities usually make you feel short of breath these days.

For each statement, please check **the box** that applies to you **these days**.

- 11** Sitting or lying still **STG11A<IPFTOF><I:3>** True False
- Washing or dressing yourself **STG11B<IPFTOF><I:3>** True False
- Walking around the house **STG11C<IPFTOF><I:3>** True False
- Walking outside on a level ground **STG11D<IPFTOF><I:3>** True False
- Walking up a flight of stairs **STG11E<IPFTOF><I:3>** True False
- Walking up hills **STG11F<IPFTOF><I:3>** True False
- Playing sports or other physical activities **STG11G<IPFTOF><I:3>** True False

Section 3

12 These are more questions about your cough and shortness of breath these days.

For each statement, please check **the box** that applies to you **these days**.

- Coughing hurts **STG12A<IPFTOF><I:3>** True False
- Coughing makes me tired **STG12B<IPFTOF><I:3>** True False
- I am short of breath when I talk **STG12C<IPFTOF><I:3>** True False
- I am short of breath when I bend over **STG12D<IPFTOF><I:3>** True False
- My coughing or breathing disturbs my sleep **STG12E<IPFTOF><I:3>** True False
- I get exhausted easily **STG12F<IPFTOF><I:3>** True False

St. George's Respiratory Questionnaire Part 2 (continued)

STGRG4 (TYPE 4)

Section 4

13 These are questions about other effects that your respiratory problems may have on you these days.

For each statement, please check **the box** that applies to you **these days**.

- My cough or breathing is embarrassing in public **STG13A<IPFTOF><l:3>** ₁ True ₀ False
- My respiratory problems are a nuisance to my family, friends or neighbors **STG13B<IPFTOF><l:3>** ₁ True ₀ False
- I get afraid or panic when I cannot catch my breath **STG13C<IPFTOF><l:3>** ₁ True ₀ False
- I feel that I am not in control of my respiratory problems **STG13D<IPFTOF><l:3>** ₁ True ₀ False
- I do not expect my respiratory problems to get any better **STG13E<IPFTOF><l:3>** ₁ True ₀ False
- I have become frail or an invalid because of my respiratory problems **STG13F<IPFTOF><l:3>** ₁ True ₀ False
- Exercise is not safe for me **STG13G<IPFTOF><l:3>** ₁ True ₀ False
- Everything seems too much of an effort **STG13H<IPFTOF><l:3>** ₁ True ₀ False

Section 5

14 These are questions about your respiratory treatment. If you are not receiving treatment, +go to Section 6.

For each statement, please check **the box** that applies to you **these days**.

- My treatment does not help me very much **STG14A<IPFTOF><l:3>** ₁ True ₀ False
- I get embarrassed using my medication in public **STG14B<IPFTOF><l:3>** ₁ True ₀ False
- I have unpleasant side effects from my medication **STG14C<IPFTOF><l:3>** ₁ True ₀ False
- My treatment interferes with my life a lot **STG14D<IPFTOF><l:3>** ₁ True ₀ False

St. George's Respiration Questionnaire Part 2 (continued)

Section 6

STGRG5 (TYPE 4)

15 These are questions about how your activities might be affected by your respiratory problems.

For each statement, please check **the box** that applies to you **because of your respiratory problems**.

I take a long time to get washed or dressed **STG15A<IPFTOF><I:3>** True False

I cannot take a bath or shower, or I take a long time to do it **STG15B<IPFTOF><I:3>** True False

I walk slower than other people my age, or I stop to rest **STG15C<IPFTOF><I:3>** True False

Jobs such as household chores take a long time, or I have to stop to rest **STG15D<IPFTOF><I:3>** True False

If I walk up one flight of stairs, I have to go slowly or stop **STG15E<IPFTOF><I:3>** True False

If I hurry or walk fast, I have to stop or slow down **STG15F<IPFTOF><I:3>** True False

My breathing makes it difficult to do things such as walk up hills, carry
 things such as weeding, dance, bowl, or play **STG15G<IPFTOF><I:3>**
 True False

Alert on screen: Following question is not on pre-version 3 CRFs

My breathing makes it difficult to do things such as carry heavy loads,
 dig in the garden or shovel snow, jog or walk briskly (5 miles per hour),
 play tennis or swim **STG15H<IPFTOF><I:3>**
 True False

My breathing makes it difficult to do things such as very heavy manual
 work, ride a bike, run, swim fast, or play competitive sports **STG15I<IPFTOF><I:3>**
 True False

Section 7

16 We would like to know how your respiratory problems usually affect your daily life.

For each statement, please check **the box** that applies to you **because of your respiratory problems**.

I cannot play sports or do other physical activities **STG16A<IPFTOF><I:3>** True False

I cannot go out for entertainment or recreation **STG16B<IPFTOF><I:3>** True False

I cannot go out of the house to do the shopping **STG16C<IPFTOF><I:3>** True False

I cannot do household chores **STG16D<IPFTOF><I:3>** True False

I cannot move far from my bed or chair **STG16E<IPFTOF><I:3>** True False

St. George's Respiratory Questionnaire (continued)

STGRG6 (TYPE 4)

Here is a list of other activities that your respiratory problems may prevent you from doing. (You do not have to check these; they are just to remind you of ways your shortness of breath may affect you.)

- Going for walks or walking the dog
- Doing activities or chores at home or in the garden
- Sexual intercourse
- Going to a place of worship, or a place of entertainment
- Going out in bad weather or into smoky rooms
- Visiting family or friends or playing with children

Please write in any other important activities that your respiratory problems may stop you from doing:

STGLIST<V:200>

17 Now please check the box (one only) that you think best describes how your respiratory problems affect you:

- | | |
|---|--------------|
| <input type="checkbox"/> It does not stop me from doing anything I would like to do | STG17A<XYES> |
| <input type="checkbox"/> It stops me from doing one or two things I would like to do | STG17B<XYES> |
| <input type="checkbox"/> It stops me from doing most of the things I would like to do | STG17C<XYES> |
| <input type="checkbox"/> It stops me from doing everything I would like to do | STG17D<XYES> |

Before you finish, would you please make sure that you have answered all the questions.

Thank you for completing this questionnaire.

ICECAP Questionnaire	
<p>By placing a check <input checked="" type="checkbox"/> in one box in each group below, please indicate which statement best describes your quality of life at the moment.</p> <p style="text-align: right;">ICECAP (TYPE 4)</p>	
<p>Love and Friendship</p> <p>ICELOVE<IPFLUV><I:3></p>	<p><input type="checkbox"/>₁ I can have all of the love and friendship that I want</p> <p><input type="checkbox"/>₂ I can have a lot of the love and friendship that I want</p> <p><input type="checkbox"/>₃ I can have a little of the love and friendship that I want</p> <p><input type="checkbox"/>₄ I cannot have any of the love and friendship that I want</p>
<p>Thinking about the future</p> <p>ICEFUTR<IPFFUT><I:3></p>	<p><input type="checkbox"/>₁ I can think about the future without any concern</p> <p><input type="checkbox"/>₂ I can think about the future with only a little concern</p> <p><input type="checkbox"/>₃ I can only think about the future with some concern</p> <p><input type="checkbox"/>₄ I can only think about the future with a lot of concern</p>
<p>Doing things that make you feel valued</p> <p>ICEVALUE<IPFVAL><I:3></p>	<p><input type="checkbox"/>₁ I am able to do all of the things that make me feel valued</p> <p><input type="checkbox"/>₂ I am able to do many of the things that make me feel valued</p> <p><input type="checkbox"/>₃ I am able to do a few of the things that make me feel valued</p> <p><input type="checkbox"/>₄ I am unable to do any of the things that make me feel valued</p>
<p>Enjoyment and pleasure</p> <p>ICEENJOY<IPFJOY><I:3></p>	<p><input type="checkbox"/>₁ I can have all of the enjoyment and pleasure that I want</p> <p><input type="checkbox"/>₂ I can have a lot of the enjoyment and pleasure that I want</p> <p><input type="checkbox"/>₃ I can have a little of the enjoyment and pleasure that I want</p> <p><input type="checkbox"/>₄ I cannot have any of the enjoyment and pleasure that I want</p>
<p>Independence</p> <p>ICEINDEP<IPFIND><I:3></p>	<p><input type="checkbox"/>₁ I am able to be completely independent</p> <p><input type="checkbox"/>₂ I am able to be independent in many things</p> <p><input type="checkbox"/>₃ I am able to be independent in a few things</p> <p><input type="checkbox"/>₄ I am unable to be at all independent</p>

Gender Substudy Questionnaire	
To be completed by female participants only	
<p style="text-align: right; color: blue; font-weight: bold;">GENDERSS (TYPE 1)</p> <p>We want to thank you in advance for answering several additional questions. Because the cause of IPF is not well understood, we are trying to learn more about who gets the disease. We know that in general, women are less likely to develop pulmonary fibrosis. This may be related to estrogen, a hormone more common in women. Therefore we are asking that all female study participants answer several questions related to estrogen exposure which may help us better understand the disease.</p>	
1 At what age did you begin monthly menstruation (monthly period)?	PERIOD<I:3> _____ years old
2 Have you reached menopause?	<input type="checkbox"/> No → If No, skip to question 4 <input type="checkbox"/> Yes MENOPAUS<XYESNO>
3 If you have reached menopause, at what age did that occur?	_____ years old MENOAGE<F:9:3>
4 Did you ever use oral contraceptive medications?	<input type="checkbox"/> No → If No, skip to question 6 <input type="checkbox"/> Yes NOPREG<XYESNO>
5 If you did use oral contraceptives, for how many years?	_____ years NOPREGYS<F:9:3>
6 Did you ever use hormone replacement therapy?	<input type="checkbox"/> No → If No, skip to question 8 <input type="checkbox"/> Yes HRT<XYESNO>
7 If you did use hormone replacement therapy, for how many years?	_____ years HRTYRS<F:9:3>
8 Have you ever been pregnant (include miscarriages, abortions)?	<input type="checkbox"/> No → If No, skip to question 14 <input type="checkbox"/> Yes PREGNANT<XYESNO>
9 If you have been pregnant, how old were you at the time of your first pregnancy?	_____ years old PREGNAGE<F:9:3>
10 How many times have you been pregnant?	_____ PREGNUMB<I:3>
11 What were the sex of your children, born and unborn? (Check only one)	<input type="checkbox"/> All male 99 = UNKNOWN <input type="checkbox"/> All female <input type="checkbox"/> Male and female BABYGEND<IPFBAB><I:3>
12 Did you ever breastfeed?	<input type="checkbox"/> No → If No, skip to question 14 <input type="checkbox"/> Yes BRESFEED<XYESNO>
13 If you did breastfeed, for approximately how many total months did you breastfeed (total for all pregnancies)?	_____ months BRFEEDNO<F:9:3>
14 Have you ever had an ovary removed?	<input type="checkbox"/> No → If No, end of questions <input type="checkbox"/> Yes OVREM<XYESNO>
15 If you had an ovary removed, was one removed or both?	<input type="checkbox"/> One OVNUM<IPFOVR><I:3> <input type="checkbox"/> Both
16 At what age was your ovary or ovaries removed?	_____ years old OVAGE<F:9:3>

Visit date: ____/____/____
day month year **SEE ANNOTATION P.11** **VISTDATE (TYPE 4)**

Vital Signs

1 Weight: ____ lb kg **3** Heart rate: ____ bpm **VITALS (TYPE 4)**

2 Blood pressure: ____/____ mm Hg
systolic diastolic **SEE ANNOTATION P.11**
NOTE: EXCEPT NO HEIGHT or SPO2

GERD Substudy Review

Record all GERD related medications in the GERD Concomitant Medications log

1 Have there been any changes in the subject's GERD status since last visit? **GERDSUB(TYPE 4)**

No → Skip to question 3 **GERDCHGS<XYESNO>**

Yes → If Yes: Check only one:

1 Newly diagnosed → Record on Adverse Event Log
GERDSTAT<IPFGST><I:3> How diagnosed (check all that apply) **GENDO<XYES>** **GUPGI<XYES>**
 24 hr pH monitoring Endoscopy Upper GI/barium swallow test
GPHMON<XYES> **GHSYM<XYES>** Symptoms of heartburn OR Unknown **GUNK<XYES>**

2 Changes in non-pharmaceutical interventions

98 Other (including medications only) → Skip to question 3

2 If newly diagnosed GERD or changes in non-pharmaceutical interventions, answer all questions below:

Sleeping with the head end of the bed elevated with 6" to 8" blocks on the floor No Yes **GELEV<XYESNO>**

Sleeping in a recliner No Yes **GRECLIN<XYESNO>**

Limiting foods and beverages that cause symptoms No Yes **GFOOD<XYESNO>**

Avoiding lying down flat for 3 hours after a meal No Yes **GNOFLAT<XYESNO>**

Avoiding bedtime snacks No Yes **GBEDSNK<XYESNO>**

Eating small meals No Yes **GSMLMEAL<XYESNO>**

3 Have there been any changes in the subject's obstructive sleep apnea status since last visit?

No → Skip to Safety Review **APNEACHG<XYESNO>**

Yes → If Yes: Check only one:

1 Newly diagnosed → Record on Adverse Event Log **NEWAPNEA<IPFACH><I:3>**

2 Changes in CPAP treatment

98 Other (including medications only) → Skip to Safety Review

4 If newly diagnosed sleep apnea or changes in CPAP treatment, specify current CPAP treatment:

None 1 Daily 2 Intermittent **NEWCPAP<IPFCPA><I:3>**

Safety Review

Has the subject experienced unexplained worsened dyspnea or cough since last visit, triggering unscheduled medical care (e.g., clinic, study visit, hospitalization)?

No Yes → If Yes: Please send support materials for acute exacerbation review. **SAFETY (TYPE 4)**

WORSDYS<XYESNO>

Record any new Adverse Events on the Adverse Event Log page.

Record any new or changed medications on the Concomitant Medications Log page.

Visit date: ____/____/____ <small>day month year</small>	VISTDATE (TYPE 4)
SEE ANNOTATION P.11	
Vital Signs	
1 Weight: ____ □ ₁ lb □ ₂ kg	VITALS (TYPE 4)
2 Blood pressure: ____/____ mm Hg <small>systolic diastolic</small>	SEE ANNOTATION P.11 NOTE: EXCEPT NO HEIGHT or SPO2
3 Heart rate: _____ bpm	
NYHA Functional Class	
Current NYHA heart failure classification (check only one): <input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV	NYHA (TYPE 4)
SEE ANNOTATION P.11	
Spirometry	
Date of assessment: ____/____/____ <small>day month year</small>	SPIROMET(TYPE 4)
1 FEV ₁ : Actual: ____ . ____ liters	SEE ANNOTATION P.2 NOTE: DO NOT ADD QUESTIONS 4,5,6
2 FEV ₆ : Actual: ____ . ____ liters	
3 FVC: Actual: ____ . ____ liters	
Diffusing Capacity of the Lung for Carbon Monoxide (DLCO)	
Date of assessment: ____/____/____ <small>day month year</small>	DLCO (TYPE 4)
1 DLCO: Actual: ____ . ____ mL/min/mm Hg → Altitude-corrected DLCO (National Jewish only): ____ . ____ mL/min/mm Hg	
2 VI (inspired volume): ____ . ____ liters	SEE ANNOTATION P.12
3 VA (alveolar volume): ____ . ____ liters	

6-Minute Walk Test (6MWT)

Date of assessment: ____/____/____
day month year

WALK (TYPE 4)

1 Pre-walk modified Borg Dyspnea Scale rating:
 0 0.5 1 2 3 4 5 6 7 8 9 10 97 Not done

2 Resting room air SpO₂: _____ %

3 Was supplemental O₂ used during walk? No Yes → If Yes: Specify: _____ liters/min
 SpO₂ on Supplemental O₂: _____ %

4 Was walk performed? No → Provide reason: _____
 Yes

5 Was a walking aid necessary to perform the 6MWT?
 No
 Yes → If Yes: Specify (check only one): Cane
 Walker
 98 Other (specify): _____

WALKTIME (TYPE 4)

6 Minutes	Heart Rate	SpO ₂
Pre-walk	_____ bpm	
1 minute	_____ bpm	_____ %
2 minutes	_____ bpm	_____ %
3 minutes	_____ bpm	_____ %
4 minutes	_____ bpm	_____ %
5 minutes	_____ bpm	_____ %
6 minutes	_____ bpm	_____ %
Three minutes of recovery after completion or stop of 6-Minute Walk Test		
1 minute	_____ bpm	_____ %
2 minutes	_____ bpm	_____ %
3 minutes	_____ bpm	_____ %

6 Did subject desaturate (SpO₂ ≤ 88%)? No
 Yes → If Yes: Walk duration at desaturation: _____ min / _____ sec
 Walk distance at desaturation: _____ meters

Lowest SpO ₂	Distance Walked	Did Subject Complete 6-Minute Walk?	If Stopped Early: Specify Reason (Check only one)
_____ %	_____ meters	<input type="checkbox"/> No → If No: Duration: ____/____ <small>min sec</small> <input type="checkbox"/> Yes	<input type="checkbox"/> 1 SpO ₂ < 80% <input type="checkbox"/> 2 Developed signs and symptoms requiring termination of test <input type="checkbox"/> 98 Other (specify): _____

7 Post-walk modified Borg Dyspnea Scale rating:
 0 0.5 1 2 3 4 5 6 7 8 9 10 97 Not done



Subject ID: 02 - site # - subject # Subject Initials: _____

Urgent or Inpatient Admissions			
Has the subject been admitted to the hospital, emergency room/urgent care, assisted living/nursing facility, or rehabilitation center since the last study visit (do not include protocol-specific study visits)? <input type="checkbox"/> No <input type="checkbox"/> Yes → If Yes: Provide details below.			
UIANUMB<I:3>	URGENT<XYESNO>	Reason for Admission	Major Procedures Performed
ADMITDT Admission date: ___/___/___ DISCHDT Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center	ADMITDT Admission date: ___/___/___ DISCHDT Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center	HOSPREAS<V:100> RESPREL<XYES> <input type="checkbox"/> 1 Check if respiratory-related.	DISCHDES<IPFDCH><I:3> <input type="checkbox"/> 1 Home <input type="checkbox"/> 2 Assisted living/nursing facility <input type="checkbox"/> 3 Rehab center <input type="checkbox"/> 4 Transfer to other hospital <input type="checkbox"/> 5 Subject died <input type="checkbox"/> 98 Other (specify): _____ DISCGSP<V:100>
ADMITDT Admission date: ___/___/___ DISCHDT Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center	ADMITDT Admission date: ___/___/___ DISCHDT Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center	<input type="checkbox"/> 1 Check if respiratory-related.	<input type="checkbox"/> 1 Home <input type="checkbox"/> 2 Assisted living/nursing facility <input type="checkbox"/> 3 Rehab center <input type="checkbox"/> 4 Transfer to other hospital <input type="checkbox"/> 5 Subject died <input type="checkbox"/> 98 Other (specify): _____
ADMITDT Admission date: ___/___/___ DISCHDT Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center	ADMITDT Admission date: ___/___/___ DISCHDT Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center	<input type="checkbox"/> 1 Check if respiratory-related.	<input type="checkbox"/> 1 Home <input type="checkbox"/> 2 Assisted living/nursing facility <input type="checkbox"/> 3 Rehab center <input type="checkbox"/> 4 Transfer to other hospital <input type="checkbox"/> 5 Subject died <input type="checkbox"/> 98 Other (specify): _____

Subject ID: 02 - _____ - _____
site # subject #

Subject Initials: _____

Outpatient Visits			
Has the subject required any non-urgent outpatient visits since the last study visit (do not include protocol-specific study visits)? <input type="checkbox"/> No <input type="checkbox"/> Yes → If Yes: Provide details below.			
NONURGNT<XYESNO>		OUTPATNT (TYPE 4)PS	
Provider Type PROVTYPE<IPFPRO><I:3> OUTPTSP<V:100>		No. of Respiratory-related Visits	No. of Non-respiratory-related Visits
1=	1 Pulmonologist	RESVITNO<I:3>	NONRESNO<I:3>
2=	2 Cardiologist		
3=	3 Other specialist: _____		
4=	4 Primary care physician (or NP or PA)		
5=	5 OT or PT		
6=	6 Mental health provider		
7=	7 Other (specify): _____		

Site Personnel's Initials: _____

Self-administered Questionnaires

Date administered: ____/____/____ **SEE ANNOTATION P. 13**
day month year
No GENDRPT

SELFREPT (TYPE 4)

- | | | |
|---|--------------------------------------|---------------------------------------|
| 1 EuroQol | <input type="checkbox"/> _1 Attached | <input type="checkbox"/> _97 Not done |
| 2 SF-36 | <input type="checkbox"/> _1 Attached | <input type="checkbox"/> _97 Not done |
| 3 UCSD Shortness-of-Breath | <input type="checkbox"/> _1 Attached | <input type="checkbox"/> _97 Not done |
| 4 St. George's Respiratory | <input type="checkbox"/> _1 Attached | <input type="checkbox"/> _97 Not done |
| 5 ICECAP | <input type="checkbox"/> _1 Attached | <input type="checkbox"/> _97 Not done |

GERD Substudy Review

Record all GERD related medications in the GERD Concomitant Medications log

1 Have there been any changes in the subject's GERD status since last visit?
_0 No → Skip to question 3 **SEE ANNOTATION P. 28** **GERDSUB (TYPE 4)**

- _1 Yes → If Yes: Check only one:
- _1 Newly diagnosed → Record on Adverse Event Log
 - How diagnosed (check all that apply):
 - 24 hr pH monitoring Endoscopy Upper GI/barium swallow test
 - Symptoms of heartburn OR Unknown
 - _2 Changes in non-pharmaceutical interventions
 - _98 Other (including medications only) → Skip to question 3

2 If newly diagnosed GERD or changes in non-pharmaceutical interventions, answer all questions below:

- Sleeping with the head end of the bed elevated with 6" to 8" blocks on the floor _0 No _1 Yes
- Sleeping in a recliner
- Limiting foods and beverages that cause symptoms
- Avoiding lying down flat for 3 hours after a meal
- Avoiding bedtime snacks
- Eating small meals

3 Have there been any changes in the subject's obstructive sleep apnea status since last visit?

- _0 No → Skip to Safety Review
- _1 Yes → If Yes: Check only one:
- _1 Newly diagnosed → Record on Adverse Event Log
 - _2 Changes in CPAP treatment
 - _98 Other (including medications only) → Skip to Safety Review

4 If newly diagnosed sleep apnea or changes in CPAP treatment, specify current CPAP treatment:

- _0 None _1 Daily _2 Intermittent

Safety Review

Has the subject experienced unexplained worsened dyspnea or cough since last visit, triggering unscheduled medical care (e.g., clinic, study visit, hospitalization)? **SEE ANNOTATION P. 28** **SAFETY (TYPE 4)**

- _0 No _1 Yes → If Yes: Please send support materials for acute exacerbation review.

Record any new Adverse Events on the Adverse Event Log page.

Record any new or changed medications on the Concomitant Medications Log page.

EuroQol Questionnaire EQ-5D English version for the U.S.

By placing a checkmark in one box in each group below, please indicate which statements best describe your own health state today.

1 Mobility:

- ₁ I have no problems in walking about
₂ I have some problems in walking about
₃ I am confined to bed

EUROQOL1(TYPE 4)

2 Self-care:

- ₁ I have no problems with self-care
₂ I have some problems washing or dressing myself
₃ I am unable to wash or dress myself

3 Usual activities (e.g., work, study, housework, family, or leisure activities):

- ₁ I have no problems with performing my usual activities
₂ I have some problems with performing my usual activities
₃ I am unable to perform my usual activities

4 Pain/discomfort:

- ₁ I have no pain or discomfort
₂ I have moderate pain or discomfort
₃ I have extreme pain or discomfort

5 Anxiety/depression:

- ₁ I am not anxious or depressed
₂ I am moderately anxious or depressed
₃ I am extremely anxious or depressed

STOP.

**Please let your study coordinator know that
you are ready for the EuroQol Thermometer worksheet.**

EuroQol Thermometer Response (Study staff use only)

Response to the EuroQol thermometer (0–100): _____

EuroQol Questionnaire EQ-5D (continued)

Because all replies are anonymous, it will help us to understand your answers better if we have a little background data from everyone, as covered in the following questions.

EUROQOL2 (TYPE 4)

- 1 Have you experienced serious illness: *in you yourself?* ₀ No ₁ Yes
in your family? ₀ No ₁ Yes
in caring for others? ₀ No ₁ Yes

- 2 What is your age in years? _____

- 3 Are you (please check appropriate box): ₁ Male
₂ Female

- 4 Are you (please check only one): ₁ A current smoker
₂ An ex-smoker
₃ A never smoker

- 5 Do you now, or did you ever, work in health or social services?
₀ No
₁ Yes → If Yes: In what capacity? _____

- 6 Which of the following best describes your main activity (please check only one)?
₁ Employed (including self employment)
₂ Retired
₃ Keeping house
₄ Student
₅ Seeking work
₉₈ Other (please specify): _____

- 7 What is the highest level of education you have completed (please check only one)?
₁ Some high school or less
₂ High school graduate or GED
₃ Vocational college or some college
₄ College degree
₅ Professional or graduate degree

- 8 If you know your zip code, please write it here: _____

SF-36 Assessment

1 In general, would you say your health is:

- ₁ Excellent ₂ Very good ₃ Good ₄ Fair ₅ Poor

SF36_1 (TYPE 4)

2 Compared to one year ago, how would you rate your health in general now?

- ₁ Much better than one year ago ₃ About the same as one year ago ₅ Much worse now than one year ago
₂ Somewhat better than one year ago ₄ Somewhat worse than one year ago

3 The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much?

- | | Yes,
Limited
A Lot | Yes,
Limited
A Little | No, Not
Limited
At All |
|--|---------------------------------------|---------------------------------------|---------------------------------------|
| a. Vigorous activities, such as running, lifting heavy objects, participating in strenuous sports | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| b. Moderate activities, such as moving a table, pushing a vacuum cleaner, bowling, or playing golf | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| c. Lifting or carrying groceries | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| d. Climbing several flights of stairs | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| e. Climbing one flight of stairs | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| f. Bending, kneeling or stooping | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| g. Walking more than a mile | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| h. Walking several blocks | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| i. Walking one block | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| j. Bathing or dressing yourself | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |

4 During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of your physical health?

- | | All
of the
Time | Most
of the
Time | Some
of the
Time | A Little
of the
Time | None
of the
Time |
|---|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| a. Cut down on the amount of time you spend on work or other activities | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| b. Accomplished less than you would like | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| c. Were limited in the kind of work or other activities | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| d. Had difficulty performing the work or other activities (for example, it took extra effort) | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |

5 During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of any emotional problems (such as feeling depressed or anxious)?

- | | All
of the
Time | Most
of the
Time | Some
of the
Time | A Little
of the
Time | None
of the
Time |
|---|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| a. Cut down on the amount of time you spend on work or other activities | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| b. Accomplished less than you would like | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| c. Did work or other activities less carefully than usual | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |

SF-36 Assessment (continued)

6 During the past 4 weeks, to what extent has your physical health or emotional problems interfered with your normal social activities with family, friends, neighbors, or groups?

- ₁ Not at all ₂ Slightly ₃ Moderately ₄ Quite a bit ₅ Extremely

SF36_2 (TYPE 4)

7 How much bodily pain have you had during the past 4 weeks?

- ₁ None ₂ Very mild ₃ Mild ₄ Moderate ₅ Severe ₆ Very severe

8 During the past 4 weeks, how much did pain interfere with your normal work (including both work outside the home and housework)?

- ₁ Not at all ₂ Slightly ₃ Moderately ₄ Quite a bit ₅ Extremely

9 These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling.

How much of the time during the <u>past 4 weeks</u> ...	All of the Time	Most of the Time	Some of the Time	A Little of the Time	None of the Time
a. Did you feel full of life?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
b. Have you been very nervous?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
c. Have you felt so down in the dumps that nothing could cheer you up?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
d. Have you felt calm and peaceful?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
e. Did you have a lot of energy?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
f. Have you felt downhearted and depressed?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
g. Did you feel worn out?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
h. Have you been happy?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
i. Did you feel tired?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

10 During the past 4 weeks, how much of the time has your physical health or emotional problems interfered with your social activities (like visiting friends, relatives, etc.)?

- ₁ All of the time ₂ Most of the time ₃ Some of the time ₄ A little of the time ₅ None of the time

11 How **True** or **False** is each of the following statements for you?

	Definitely True	Mostly True	Don't Know	Mostly False	Definitely False
a. I seem to get sick a little easier than other people	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
b. I am as healthy as anybody I know	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
c. I expect my health to get worse	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
d. My health is excellent	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

UCSD Shortness-of-Breath Questionnaire UCSD SOBQ						
When I do, or if I were to do, the following tasks, I would rate my breathlessness as:	None At All				Severe	Maximal/Unable To Do Because of Breathlessness
1 At rest	0	1	2	3	4	5
2 Walking on a level at your own pace	0	1	2	3	4	5
3 Walking on a level with others your age	0	1	2	3	4	5
4 Walking up a hill	0	1	2	3	4	5
5 Walking up stairs	0	1	2	3	4	5
6 While eating	0	1	2	3	4	5
7 Standing up from a chair	0	1	2	3	4	5
8 Brushing teeth	0	1	2	3	4	5
9 Shaving and/or brushing hair	0	1	2	3	4	5
10 Showering/bathing	0	1	2	3	4	5
11 Dressing	0	1	2	3	4	5
12 Picking up and straightening	0	1	2	3	4	5

SEE ANNOTATION P. 19

Subject ID: 02 - _____ - _____ Subject Initials: _____

UCSDSOB2 (TYPE 4)

UCSD Shortness-of-Breath Questionnaire UCSD SOBQ (continued)						
When I do, or if I were to do, the following tasks, I would rate my breathlessness as:	None At All				Severe	Maximal/ Unable To Do Because of Breathlessness
13 Doing dishes	0	1	2	3	4	5
14 sweeping/vacuuming	0	1	2	3	4	5
15 Making bed	0	1	2	3	4	5
16 Shopping	0	1	2	3	4	5
17 Doing laundry	0	1	2	3	4	5
18 Washing car	0	1	2	3	4	5
19 Mowing lawn	0	1	2	3	4	5
20 Watering lawn	0	1	2	3	4	5
21 Sexual activities	0	1	2	3	4	5
How much do these limit you in your daily life?						
22 Shortness of breath	0	1	2	3	4	5
23 Fear of "hurting myself"	0	1	2	3	4	5
24 Fear of shortness of breath	0	1	2	3	4	5

St. George's Respiratory Questionnaire (SGRQ) (English for the United States)

STGRG1 (TYPE 4)

This questionnaire is designed to help us learn much more about how your breathing is troubling you and how it affects your life. We are using it to find out which aspects of your illness cause you the most problems, rather than what the doctors and nurses think your problems are.

Please read the instructions carefully and ask if you do not understand anything.
Do not spend too long deciding about your answers.

Before completing the questionnaire:

Please check one box to show how you describe your current health:

- Very good
- Good
- Fair
- Poor
- Very poor

St. George's Respiratory Questionnaire Part 1

Please describe how often your respiratory problems have affected you over the past 4 weeks.

STGSG2(TYPE 4)

Please check one box for each question.

	Almost Every Day	Several Days a Week	A Few Days a Month	Only with Respiratory Infections	Not At All
1 Over the past 4 weeks, I have coughed:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Over the past 4 weeks, I have brought up phlegm (sputum):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 Over the past 4 weeks, I have had shortness of breath:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 Over the past 4 weeks, I have had wheezing attacks:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5 How many times during the past 4 weeks have you suffered from severe or very unpleasant respiratory attacks? Please check <input checked="" type="checkbox"/> one:					
<input type="checkbox"/> More than 3 times					
<input type="checkbox"/> 3 times					
<input type="checkbox"/> 2 times					
<input type="checkbox"/> 1 time					
<input type="checkbox"/> None of the time					
6 How long did the worst respiratory attack last? Please check <input checked="" type="checkbox"/> one: (Go to question 7 if you did not have a severe attack)					
<input type="checkbox"/> A week or more					
<input type="checkbox"/> 3 or more days					
<input type="checkbox"/> 1 or 2 days					
<input type="checkbox"/> Less than a day					
7 Over the past 4 weeks, in a typical week, how many good days (with few respiratory problems) have you had? Please check <input checked="" type="checkbox"/> one:					
<input type="checkbox"/> No good days					
<input type="checkbox"/> 1 or 2 good days					
<input type="checkbox"/> 3 or 4 good days					
<input type="checkbox"/> Nearly every day was good					
<input type="checkbox"/> Every day was good					
8 If you wheeze, is it worse when you get up in the morning? Please check <input checked="" type="checkbox"/> one:					
<input type="checkbox"/> No					
<input type="checkbox"/> Yes					

St. George's Respiratory Questionnaire Part 2

Section 1

STGRG3 (TYPE 4)

9 How would you describe your respiratory condition? Please check **one**:

- The most important problem I have
- Causes me quite a lot of problems
- Causes me a few problems
- Causes me no problems

10 If you have ever held a job (please check one):

- My respiratory problems made me stop working altogether
- My respiratory problems interfere with my job or made me change my job
- My respiratory problems do not affect my job

Section 2

These are questions about what activities usually make you feel short of breath these days.

For each statement, please check **the box** that applies to you **these days**.

- 11** Sitting or lying still True False
- Washing or dressing yourself True False
- Walking around the house True False
- Walking outside on a level ground True False
- Walking up a flight of stairs True False
- Walking up hills True False
- Playing sports or other physical activities True False

Section 3

12 These are more questions about your cough and shortness of breath these days.

For each statement, please check **the box** that applies to you **these days**.

- Coughing hurts True False
- Coughing makes me tired True False
- I am short of breath when I talk True False
- I am short of breath when I bend over True False
- My coughing or breathing disturbs my sleep True False
- I get exhausted easily True False

St. George's Respiratory Questionnaire Part 2 (continued)

Section 4

STGRG4 (TYPE 4)

13 These are questions about other effects that your respiratory problems may have on you these days.

For each statement, please check **the box** that applies to you **these days**.

- My cough or breathing is embarrassing in public ₁ True ₀ False
- My respiratory problems are a nuisance to my family, friends or neighbors ₁ True ₀ False
- I get afraid or panic when I cannot catch my breath ₁ True ₀ False
- I feel that I am not in control of my respiratory problems ₁ True ₀ False
- I do not expect my respiratory problems to get any better ₁ True ₀ False
- I have become frail or an invalid because of my respiratory problems ₁ True ₀ False
- Exercise is not safe for me ₁ True ₀ False
- Everything seems too much of an effort ₁ True ₀ False

Section 5

14 These are questions about your respiratory treatment. If you are not receiving treatment, +go to Section 6.

For each statement, please check **the box** that applies to you **these days**.

- My treatment does not help me very much ₁ True ₀ False
- I get embarrassed using my medication in public ₁ True ₀ False
- I have unpleasant side effects from my medication ₁ True ₀ False
- My treatment interferes with my life a lot ₁ True ₀ False

St. George's Respiration Questionnaire Part 2 (continued)

Section 6

STGRG5 (TYPE 4)

15 These are questions about how your activities might be affected by your respiratory problems.

For each statement, please check **the box** that applies to you **because of your respiratory problems**.

I take a long time to get washed or dressed ₁ True ₀ False

I cannot take a bath or shower, or I take a long time to do it ₁ True ₀ False

I walk slower than other people my age, or I stop to rest ₁ True ₀ False

Jobs such as household chores take a long time, or I have to stop to rest ₁ True ₀ False

If I walk up one flight of stairs, I have to go slowly or stop ₁ True ₀ False

If I hurry or walk fast, I have to stop or slow down ₁ True ₀ False

My breathing makes it difficult to do things such as walk up hills, carry
 light gardening such as weeding, dance, bowl, or play
SEE PAGE 24 ₁ True ₀ False

My breathing makes it difficult to do things such as carry heavy loads,
 dig in the garden or shovel snow, jog or walk briskly (5 miles per hour),
 play tennis or swim **SEE PAGE 24** ₁ True ₀ False

My breathing makes it difficult to do things such as very heavy manual
 work, ride a bike, run, swim fast, or play competitive sports **SEE PAGE 24** ₁ True ₀ False

Section 7

16 We would like to know how your respiratory problems usually affect your daily life.

For each statement, please check **the box** that applies to you **because of your respiratory problems**.

I cannot play sports or do other physical activities ₁ True ₀ False

I cannot go out for entertainment or recreation ₁ True ₀ False

I cannot go out of the house to do the shopping ₁ True ₀ False

I cannot do household chores ₁ True ₀ False

I cannot move far from my bed or chair ₁ True ₀ False

St. George's Respiratory Questionnaire (continued)

STGRG6 (TYPE 4)

Here is a list of other activities that your respiratory problems may prevent you from doing. (You do not have to check these; they are just to remind you of ways your shortness of breath may affect you.)

- Going for walks or walking the dog
- Doing activities or chores at home or in the garden
- Sexual intercourse
- Going to a place of worship, or a place of entertainment
- Going out in bad weather or into smoky rooms
- Visiting family or friends or playing with children

Please write in any other important activities that your respiratory problems may stop you from doing:

17 Now please check the box (one only) that you think best describes how your respiratory problems affect you:

- It does not stop me from doing anything I would like to do
- It stops me from doing one or two things I would like to do
- It stops me from doing most of the things I would like to do
- It stops me from doing everything I would like to do

Before you finish, would you please make sure that you have answered all the questions.

Thank you for completing this questionnaire.

ICECAP Questionnaire

By placing a check in one box in each group below, please indicate which statement best describes your quality of life at the moment.

ICECAP (TYPE 4)

<p>Love and Friendship</p>	<p><input type="checkbox"/>₁ I can have all of the love and friendship that I want</p> <p><input type="checkbox"/>₂ I can have a lot of the love and friendship that I want</p> <p><input type="checkbox"/>₃ I can have a little of the love and friendship that I want</p> <p><input type="checkbox"/>₄ I cannot have any of the love and friendship that I want</p>
<p>Thinking about the future</p>	<p><input type="checkbox"/>₁ I can think about the future without any concern</p> <p><input type="checkbox"/>₂ I can think about the future with only a little concern</p> <p><input type="checkbox"/>₃ I can only think about the future with some concern</p> <p><input type="checkbox"/>₄ I can only think about the future with a lot of concern</p>
<p>Doing things that make you feel valued</p>	<p><input type="checkbox"/>₁ I am able to do all of the things that make me feel valued</p> <p><input type="checkbox"/>₂ I am able to do many of the things that make me feel valued</p> <p><input type="checkbox"/>₃ I am able to do a few of the things that make me feel valued</p> <p><input type="checkbox"/>₄ I am unable to do any of the things that make me feel valued</p>
<p>Enjoyment and pleasure</p>	<p><input type="checkbox"/>₁ I can have all of the enjoyment and pleasure that I want</p> <p><input type="checkbox"/>₂ I can have a lot of of the enjoyment and pleasure that I want</p> <p><input type="checkbox"/>₃ I can have a little of the enjoyment and pleasure that I want</p> <p><input type="checkbox"/>₄ I cannot have any of the enjoyment and pleasure that I want</p>
<p>Independence</p>	<p><input type="checkbox"/>₁ I am able to be completely independent</p> <p><input type="checkbox"/>₂ I am able to be independent in many things</p> <p><input type="checkbox"/>₃ I am able to be independent in a few things</p> <p><input type="checkbox"/>₄ I am unable to be at all independent</p>

Visit date: ____/____/____ Check if Early Termination visit for double-blind phase: **EARLYTER <XYES>**
day month year **SEE ANNOTATION P.11** **VISTDATE (TYPE 4)**

Vital Signs

1 Weight: ____ lb kg **VITALS (TYPE 4)**

2 Blood pressure: ____ / ____ mm Hg **SEE ANNOTATION P.11**
systolic diastolic **NOTE: EXCEPT NO HEIGHT or SPO2**

3 Heart rate: ____ bpm

NYHA Functional Class

Current NYHA heart failure classification (check only one): I II III IV **NYHA (TYPE 4)**
SEE ANNOTATION P.11

Spirometry

Date of assessment: ____/____/____ **SEE ANNOTATION P.2**
day month year **NOTE: DO NOT ADD QUESTIONS 4,5,6**

1 FEV₁: Actual: ____ liters

2 FEV₆: Actual: ____ liters

3 FVC: Actual: ____ liters **SPIROMET (TYPE 4)**

Diffusing Capacity of the Lung for Carbon Monoxide (DLCO)

Date of assessment: ____/____/____ **SEE ANNOTATION P.12** **DLCO (TYPE 4)**
day month year

1 DLCO: Actual: ____ . ____ mL/min/mm Hg → Altitude-corrected DLCO (National Jewish only): ____ . ____ mL/min/mm Hg

2 VI (inspired volume): ____ . ____ liters

3 VA (alveolar volume): ____ . ____ liters

Arterial Blood Gas (ABG)

Date of assessment: ____/____/____ **ABG (TYPE 4)**
day month year

1 Barometric pressure: ____ mm Hg **SEE ANNOTATION P.12**

2 Clinic altitude (check only one): ₁ < 4000 ft ₂ ≥ 4000 ft

3 FiO₂: ____ %

4 pH: ____ . ____

5 PaO₂: ____ mm Hg

6 PaCO₂: ____ mm Hg

7 SaO₂: ____ %

6-Minute Walk Test (6MWT)

Date of assessment: ____/____/____
day month year

WALK (TYPE4)

- 1 Pre-walk modified Borg Dyspnea Scale rating:
 0 0.5 1 2 3 4 5 6 7 8 9 10 97 Not done
- 2 Resting room air SpO₂: _____ %
- 3 Was supplemental O₂ used during walk? 0 No 1 Yes → If Yes: Specify: _____ liters/min
 SpO₂ on Supplemental O₂: _____ %
- 4 Was walk performed? 0 No → Provide reason: _____
 1 Yes
- 5 Was a walking aid necessary to perform the 6MWT?
 0 No
 1 Yes → If Yes: Specify (check only one): 1 Cane
 2 Walker
 98 Other (specify): _____

6 Minutes	Heart Rate	SpO ₂ WALKTIME(TYPE4)PS
Pre-walk	_____ bpm	
1 minute	_____ bpm	_____ %
2 minutes	_____ bpm	_____ %
3 minutes	_____ bpm	_____ %
4 minutes	_____ bpm	_____ %
5 minutes	_____ bpm	_____ %
6 minutes	_____ bpm	_____ %
Three minutes of recovery after completion or stop of 6-Minute Walk Test		
1 minute	_____ bpm	_____ %
2 minutes	_____ bpm	_____ %
3 minutes	_____ bpm	_____ %

- 6 Did subject desaturate (SpO₂ ≤ 88%)? 0 No
 1 Yes → If Yes: Walk duration at desaturation: _____ min / _____ sec
 Walk distance at desaturation: _____ meters

Lowest SpO ₂	Distance Walked	Did Subject Complete 6-Minute Walk?	If Stopped Early: Specify Reason (Check only one)
_____ %	_____ meters	<input type="checkbox"/> 0 No → If No: Duration: ____/____ <small>min sec</small> <input type="checkbox"/> 1 Yes	<input type="checkbox"/> 1 SpO ₂ < 80% <input type="checkbox"/> 2 Developed signs and symptoms requiring termination of test <input type="checkbox"/> 98 Other (specify): _____

- 7 Post-walk modified Borg Dyspnea Scale rating:
 0 0.5 1 2 3 4 5 6 7 8 9 10 97 Not done

Site Personnel's Initials: _____

WHITE and YELLOW—Duke Clinical Research Institute • PINK—retain at site



SEE ANNOTATION P. 31

Subject ID: 02 - site # - subject # Subject Initials: _____

Urgent or Inpatient Admissions

Has the subject been admitted to the hospital, emergency room, urgent care, assisted living/nursing facility, or rehabilitation center since the last study visit (do not include protocol-specific study visits)? No Yes → If Yes: Provide details below.

INPATNT (TYPE 4)R

	Reason for Admission	Major Procedures Performed	Discharge Destination (check only one)
<p>1</p> <p>Admission date: ___/___/___ Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center</p>	<p><input type="checkbox"/> 1 Check if respiratory-related.</p>		<p><input type="checkbox"/> 1 Home <input type="checkbox"/> 2 Assisted living/nursing facility <input type="checkbox"/> 3 Rehab center <input type="checkbox"/> 4 Transfer to other hospital <input type="checkbox"/> 5 Subject died <input type="checkbox"/> 98 Other (specify): _____</p>
<p>2</p> <p>Admission date: ___/___/___ Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center</p>	<p><input type="checkbox"/> 1 Check if respiratory-related.</p>		<p><input type="checkbox"/> 1 Home <input type="checkbox"/> 2 Assisted living/nursing facility <input type="checkbox"/> 3 Rehab center <input type="checkbox"/> 4 Transfer to other hospital <input type="checkbox"/> 5 Subject died <input type="checkbox"/> 98 Other (specify): _____</p>
<p>3</p> <p>Admission date: ___/___/___ Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center</p>	<p><input type="checkbox"/> 1 Check if respiratory-related.</p>		<p><input type="checkbox"/> 1 Home <input type="checkbox"/> 2 Assisted living/nursing facility <input type="checkbox"/> 3 Rehab center <input type="checkbox"/> 4 Transfer to other hospital <input type="checkbox"/> 5 Subject died <input type="checkbox"/> 98 Other (specify): _____</p>

Site Personnel's Initials: _____

WHITE and YELLOW—Duke Clinical Research Institute • PINK—retain at site

Outpatient Visits		
Has the subject required any non-urgent outpatient visits since the last study visit (do not include protocol-specific study visits)?		
<input type="checkbox"/> No <input type="checkbox"/> Yes → If Yes: Provide details below.		
Provider Type	No. of Respiratory-related Visits	No. of Non-respiratory-related Visits
1 Pulmonologist		
2 Cardiologist		
3 Other specialist: _____		
4 Primary care physician (or NP or PA)		
5 OT or PT		
6 Mental health provider		
7 Other (specify): _____		

OUTPATNT (TYPE 4)PS

Self-administered Questionnaires

Date administered: ____/____/____
day month year

SELFREPT (TYPE 4)

- | | | | |
|---|-----------------------------|--------------------------------------|---------------------------------------|
| 1 EuroQol | SEE ANNOTATION P. 13 | <input type="checkbox"/> _1 Attached | <input type="checkbox"/> _97 Not done |
| 2 SF-36 | No GENDRPT | <input type="checkbox"/> _1 Attached | <input type="checkbox"/> _97 Not done |
| 3 UCSD Shortness-of-Breath | | <input type="checkbox"/> _1 Attached | <input type="checkbox"/> _97 Not done |
| 4 St. George's Respiratory | | <input type="checkbox"/> _1 Attached | <input type="checkbox"/> _97 Not done |
| 5 ICECAP | | <input type="checkbox"/> _1 Attached | <input type="checkbox"/> _97 Not done |

GERD Substudy Review

Record all GERD related medications in the GERD Concomitant Medications log

- 1** Have there been any changes in the subject's GERD status since last visit?
_0 No → Skip to question 3
_1 Yes → If Yes: Check only one: **SEE ANNOTATION P. 28**
- _1 Newly diagnosed → Record on Adverse Event Log
 How diagnosed (check all that apply):
 24 hr pH monitoring Endoscopy Upper GI/barium swallow test
 Symptoms of heartburn OR Unknown
- _2 Changes in non-pharmaceutical interventions
_98 Other (including medications only) → Skip to question 3
- 2** If newly diagnosed GERD or changes in non-pharmaceutical interventions, answer all questions below:
- | | | |
|--|--------------------------------|---------------------------------|
| Sleeping with the head end of the bed elevated with 6" to 8" blocks on the floor | <input type="checkbox"/> _0 No | <input type="checkbox"/> _1 Yes |
| Sleeping in a recliner | <input type="checkbox"/> _0 No | <input type="checkbox"/> _1 Yes |
| Limiting foods and beverages that cause symptoms | <input type="checkbox"/> _0 No | <input type="checkbox"/> _1 Yes |
| Avoiding lying down flat for 3 hours after a meal | <input type="checkbox"/> _0 No | <input type="checkbox"/> _1 Yes |
| Avoiding bedtime snacks | <input type="checkbox"/> _0 No | <input type="checkbox"/> _1 Yes |
| Eating small meals | <input type="checkbox"/> _0 No | <input type="checkbox"/> _1 Yes |
- 3** Have there been any changes in the subject's obstructive sleep apnea status since last visit?
_0 No → Skip to Safety Review
_1 Yes → If Yes: Check only one:
_1 Newly diagnosed → Record on Adverse Event Log
_2 Changes in CPAP treatment
_98 Other (including medications only) → Skip to Safety Review
- 4** If newly diagnosed sleep apnea or changes in CPAP treatment, specify current CPAP treatment:
_0 None _1 Daily _2 Intermittent

GERDSUB (TYPE 4)

Safety Review

SEE ANNOTATION P. 28

Has the subject experienced unexplained worsened dyspnea or cough since last visit, triggering unscheduled medical care (e.g., clinic, study visit, hospitalization)?

- _0 No _1 Yes → If Yes: Please send support materials for acute exacerbation review.

SAFETY (TYPE 4)

Record any new Adverse Events on the Adverse Event Log page.

Record any new or changed medications on the Concomitant Medications Log page.

EuroQol Questionnaire EQ-5D English version for the U.S.

By placing a checkmark in one box in each group below, please indicate which statements best describe your own health state today.

1 Mobility:

- ₁ I have no problems in walking about
₂ I have some problems in walking about
₃ I am confined to bed

EUROQOL1(TYPE 4)

2 Self-care:

- ₁ I have no problems with self-care
₂ I have some problems washing or dressing myself
₃ I am unable to wash or dress myself

3 Usual activities (e.g., work, study, housework, family, or leisure activities):

- ₁ I have no problems with performing my usual activities
₂ I have some problems with performing my usual activities
₃ I am unable to perform my usual activities

4 Pain/discomfort:

- ₁ I have no pain or discomfort
₂ I have moderate pain or discomfort
₃ I have extreme pain or discomfort

5 Anxiety/depression:

- ₁ I am not anxious or depressed
₂ I am moderately anxious or depressed
₃ I am extremely anxious or depressed

STOP.

**Please let your study coordinator know that
you are ready for the EuroQol Thermometer worksheet.**

EuroQol Thermometer Response (Study staff use only)

Response to the EuroQol thermometer (0–100): _____

EuroQol Questionnaire EQ-5D (continued)

Because all replies are anonymous, it will help us to understand your answers better if we have a little background data from everyone, as covered in the following questions.

EUROQOL2(TYPE 4)

- 1 Have you experienced serious illness: *in you yourself?* ₀ No ₁ Yes
in your family? ₀ No ₁ Yes
in caring for others? ₀ No ₁ Yes
- 2 What is your age in years? _____
- 3 Are you (please check appropriate box): ₁ Male
₂ Female
- 4 Are you (please check only one): ₁ A current smoker
₂ An ex-smoker
₃ A never smoker
- 5 Do you now, or did you ever, work in health or social services?
₀ No
₁ Yes → If Yes: In what capacity? _____
- 6 Which of the following best describes your main activity (please check only one)?
₁ Employed (including self employment)
₂ Retired
₃ Keeping house
₄ Student
₅ Seeking work
₉₈ Other (please specify): _____
- 7 What is the highest level of education you have completed (please check only one)?
₁ Some high school or less
₂ High school graduate or GED
₃ Vocational college or some college
₄ College degree
₅ Professional or graduate degree
- 8 If you know your zip code, please write it here: _____

SF-36 Assessment

1 In general, would you say your health is:

- ₁ Excellent ₂ Very good ₃ Good ₄ Fair ₅ Poor

SF36_1 (TYPE 4)

2 Compared to one year ago, how would you rate your health in general now?

- ₁ Much better than one year ago ₃ About the same as one year ago ₅ Much worse now
₂ Somewhat better than one year ago ₄ Somewhat worse than one year ago than one year ago

3 The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much?

- | | Yes,
Limited
A Lot | Yes,
Limited
A Little | No, Not
Limited
At All |
|--|---------------------------------------|---------------------------------------|---------------------------------------|
| a. Vigorous activities, such as running, lifting heavy objects, participating in strenuous sports | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| b. Moderate activities, such as moving a table, pushing a vacuum cleaner, bowling, or playing golf | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| c. Lifting or carrying groceries | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| d. Climbing several flights of stairs | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| e. Climbing one flight of stairs | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| f. Bending, kneeling or stooping | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| g. Walking more than a mile | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| h. Walking several blocks | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| i. Walking one block | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| j. Bathing or dressing yourself | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |

4 During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of your physical health?

- | | All
of the
Time | Most
of the
Time | Some
of the
Time | A Little
of the
Time | None
of the
Time |
|---|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| a. Cut down on the amount of time you spend on work or other activities | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| b. Accomplished less than you would like | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| c. Were limited in the kind of work or other activities | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| d. Had difficulty performing the work or other activities (for example, it took extra effort) | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |

5 During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of any emotional problems (such as feeling depressed or anxious)?

- | | All
of the
Time | Most
of the
Time | Some
of the
Time | A Little
of the
Time | None
of the
Time |
|---|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| a. Cut down on the amount of time you spend on work or other activities | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| b. Accomplished less than you would like | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| c. Did work or other activities less carefully than usual | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |

SF-36 Assessment (continued)

6 During the past 4 weeks, to what extent has your physical health or emotional problems interfered with your normal social activities with family, friends, neighbors, or groups?
₁ Not at all ₂ Slightly ₃ Moderately ₄ Quite a bit ₅ Extremely

7 How much bodily pain have you had during the past 4 weeks? SF36_2 (TYPE 4)
₁ None ₂ Very mild ₃ Mild ₄ Moderate ₅ Severe ₆ Very severe

8 During the past 4 weeks, how much did pain interfere with your normal work (including both work outside the home and housework)?
₁ Not at all ₂ Slightly ₃ Moderately ₄ Quite a bit ₅ Extremely

9 These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling.

How much of the time during the <u>past 4 weeks</u> ...	All of the Time	Most of the Time	Some of the Time	A Little of the Time	None of the Time
a. Did you feel full of life?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
b. Have you been very nervous?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
c. Have you felt so down in the dumps that nothing could cheer you up?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
d. Have you felt calm and peaceful?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
e. Did you have a lot of energy?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
f. Have you felt downhearted and depressed?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
g. Did you feel worn out?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
h. Have you been happy?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
i. Did you feel tired?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

10 During the past 4 weeks, how much of the time has your physical health or emotional problems interfered with your social activities (like visiting friends, relatives, etc.)?
₁ All of the time ₂ Most of the time ₃ Some of the time ₄ A little of the time ₅ None of the time

How True or False is <u>each</u> of the following statements for you?	Definitely True	Mostly True	Don't Know	Mostly False	Definitely False
a. I seem to get sick a little easier than other people	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
b. I am as healthy as anybody I know	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
c. I expect my health to get worse	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
d. My health is excellent	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

UCSD Shortness-of-Breath Questionnaire UCSD SOBQ						
When I do, or if I were to do, the following tasks, I would rate my breathlessness as:	None At All				Severe	Maximal/ Unable To Do Because of Breathlessness
1 At rest	0	1	2	3	4	5
2 Walking on a level at your own pace	0	1	2	3	4	5
3 Walking on a level with others your age	0	1	2	3	4	5
4 Walking up a hill	0	1	2	3	4	5
5 Walking up stairs	0	1	2	3	4	5
6 While eating	0	1	2	3	4	5
7 Standing up from a chair	0	1	2	3	4	5
8 Brushing teeth	0	1	2	3	4	5
9 Shaving and/or brushing hair	0	1	2	3	4	5
10 Showering/bathing	0	1	2	3	4	5
11 Dressing	0	1	2	3	4	5
12 Picking up and straightening	0	1	2	3	4	5

SEE ANNOTATION P. 19

Subject ID: 02 - _____ - _____ Subject Initials: _____

UCSDSOB2 (TYPE 4)

UCSD Shortness-of-Breath Questionnaire UCSD SOBQ (continued)						
When I do, or if I were to do, the following tasks, I would rate my breathlessness as:	None At All				Severe	Maximal/ Unable To Do Because of Breathlessness
13 Doing dishes	0	1	2	3	4	5
14 sweeping/vacuuming	0	1	2	3	4	5
15 Making bed	0	1	2	3	4	5
16 Shopping	0	1	2	3	4	5
17 Doing laundry	0	1	2	3	4	5
18 Washing car	0	1	2	3	4	5
19 Mowing lawn	0	1	2	3	4	5
20 Watering lawn	0	1	2	3	4	5
21 Sexual activities	0	1	2	3	4	5
How much do these limit you in your daily life?						
22 Shortness of breath	0	1	2	3	4	5
23 Fear of "hurting myself"	0	1	2	3	4	5
24 Fear of shortness of breath	0	1	2	3	4	5

St. George's Respiratory Questionnaire (SGRQ) (English for the United States)

This questionnaire is designed to help us learn much more about how your breathing is troubling you and how it affects your life. We are using it to find out which aspects of your illness cause you the most problems, rather than what the doctors and nurses think your problems are.

STGRG1(TYPE 4)

Please read the instructions carefully and ask if you do not understand anything.

Do not spend too long deciding about your answers.

Before completing the questionnaire:

Please check one box to show how you describe your current health:

- Very good
- Good
- Fair
- Poor
- Very poor

St. George's Respiratory Questionnaire Part 1

Please describe how often your respiratory problems have affected you over the past 4 weeks.

STGRG2(TYPE 4)

Please check one box for each question.

	Almost Every Day	Several Days a Week	A Few Days a Month	Only with Respiratory Infections	Not At All
1 Over the past 4 weeks, I have coughed:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Over the past 4 weeks, I have brought up phlegm (sputum):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 Over the past 4 weeks, I have had shortness of breath:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 Over the past 4 weeks, I have had wheezing attacks:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5 How many times during the past 4 weeks have you suffered from severe or very unpleasant respiratory attacks? Please check <input checked="" type="checkbox"/> one:					
<input type="checkbox"/> More than 3 times					
<input type="checkbox"/> 3 times					
<input type="checkbox"/> 2 times					
<input type="checkbox"/> 1 time					
<input type="checkbox"/> None of the time					
6 How long did the worst respiratory attack last? Please check <input checked="" type="checkbox"/> one: (Go to question 7 if you did not have a severe attack)					
<input type="checkbox"/> A week or more					
<input type="checkbox"/> 3 or more days					
<input type="checkbox"/> 1 or 2 days					
<input type="checkbox"/> Less than a day					
7 Over the past 4 weeks, in a typical week, how many good days (with few respiratory problems) have you had? Please check <input checked="" type="checkbox"/> one:					
<input type="checkbox"/> No good days					
<input type="checkbox"/> 1 or 2 good days					
<input type="checkbox"/> 3 or 4 good days					
<input type="checkbox"/> Nearly every day was good					
<input type="checkbox"/> Every day was good					
8 If you wheeze, is it worse when you get up in the morning? Please check <input checked="" type="checkbox"/> one:					
<input type="checkbox"/> No					
<input type="checkbox"/> Yes					

St. George's Respiratory Questionnaire Part 2

Section 1

9 How would you describe your respiratory condition? Please check one:

- The most important problem I have
- Causes me quite a lot of problems
- Causes me a few problems
- Causes me no problems

STGRG3(TYPE 4)

10 If you have ever held a job (please check one):

- My respiratory problems made me stop working altogether
- My respiratory problems interfere with my job or made me change my job
- My respiratory problems do not affect my job

Section 2

These are questions about what activities usually make you feel short of breath these days.

For each statement, please check the box that applies to you **these days**.

- 11** Sitting or lying still True False
- Washing or dressing yourself True False
- Walking around the house True False
- Walking outside on a level ground True False
- Walking up a flight of stairs True False
- Walking up hills True False
- Playing sports or other physical activities True False

Section 3

12 These are more questions about your cough and shortness of breath these days.

For each statement, please check the box that applies to you **these days**.

- Coughing hurts True False
- Coughing makes me tired True False
- I am short of breath when I talk True False
- I am short of breath when I bend over True False
- My coughing or breathing disturbs my sleep True False
- I get exhausted easily True False

St. George's Respiratory Questionnaire Part 2 (continued)

Section 4

STGRG4(TYPE 4)

13 These are questions about other effects that your respiratory problems may have on you these days.

For each statement, please check **the box** that applies to you **these days**.

- My cough or breathing is embarrassing in public ₁ True ₀ False
- My respiratory problems are a nuisance to my family, friends or neighbors ₁ True ₀ False
- I get afraid or panic when I cannot catch my breath ₁ True ₀ False
- I feel that I am not in control of my respiratory problems ₁ True ₀ False
- I do not expect my respiratory problems to get any better ₁ True ₀ False
- I have become frail or an invalid because of my respiratory problems ₁ True ₀ False
- Exercise is not safe for me ₁ True ₀ False
- Everything seems too much of an effort ₁ True ₀ False

Section 5

14 These are questions about your respiratory treatment. If you are not receiving treatment, +go to Section 6.

For each statement, please check **the box** that applies to you **these days**.

- My treatment does not help me very much ₁ True ₀ False
- I get embarrassed using my medication in public ₁ True ₀ False
- I have unpleasant side effects from my medication ₁ True ₀ False
- My treatment interferes with my life a lot ₁ True ₀ False

St. George's Respiration Questionnaire Part 2 (continued)

Section 6

STGRG5(TYPE 4)

15 These are questions about how your activities might be affected by your respiratory problems.

For each statement, please check **the box** that applies to you **because of your respiratory problems**.

I take a long time to get washed or dressed True False

I cannot take a bath or shower, or I take a long time to do it True False

I walk slower than other people my age, or I stop to rest True False

Jobs such as household chores take a long time, or I have to stop to rest True False

If I walk up one flight of stairs, I have to go slowly or stop True False

If I hurry or walk fast, I have to stop or slow down True False

My breathing makes it difficult to do things such as walk up hills, carry
 light gardening such as weeding, dance, bowl, or play
 SEE PAGE 24 True False

My breathing makes it difficult to do things such as carry heavy loads,
 dig in the garden or shovel snow, jog or walk briskly (5 miles per hour),
 play tennis or swim True False
 SEE PAGE 24

My breathing makes it difficult to do things such as very heavy manual
 work, ride a bike, run, swim fast, or play competitive sports True False
 SEE PAGE 24

Section 7

16 We would like to know how your respiratory problems usually affect your daily life.

For each statement, please check **the box** that applies to you **because of your respiratory problems**.

I cannot play sports or do other physical activities True False

I cannot go out for entertainment or recreation True False

I cannot go out of the house to do the shopping True False

I cannot do household chores True False

I cannot move far from my bed or chair True False

St. George's Respiratory Questionnaire (continued)

Here is a list of other activities that your respiratory problems may prevent you from doing. (You do not have to check these; they are just to remind you of ways your shortness of breath may affect you.)

- Going for walks or walking the dog
- Doing activities or chores at home or in the garden
- Sexual intercourse
- Going to a place of worship, or a place of entertainment
- Going out in bad weather or into smoky rooms
- Visiting family or friends or playing with children

STGRG6(TYPE 4)

Please write in any other important activities that your respiratory problems may stop you from doing:

17 Now please check the box (one only) that you think best describes how your respiratory problems affect you:

- It does not stop me from doing anything I would like to do
- It stops me from doing one or two things I would like to do
- It stops me from doing most of the things I would like to do
- It stops me from doing everything I would like to do

Before you finish, would you please make sure that you have answered all the questions.

Thank you for completing this questionnaire.

ICECAP Questionnaire	
<p>By placing a check <input checked="" type="checkbox"/> in one box in each group below, please indicate which statement best describes your quality of life at the moment.</p> <p style="text-align: right;">ICECAP(TYPE 4)</p>	
Love and Friendship	<input type="checkbox"/> ₁ I can have all of the love and friendship that I want <input type="checkbox"/> ₂ I can have a lot of the love and friendship that I want <input type="checkbox"/> ₃ I can have a little of the love and friendship that I want <input type="checkbox"/> ₄ I cannot have any of the love and friendship that I want
Thinking about the future	<input type="checkbox"/> ₁ I can think about the future without any concern <input type="checkbox"/> ₂ I can think about the future with only a little concern <input type="checkbox"/> ₃ I can only think about the future with some concern <input type="checkbox"/> ₄ I can only think about the future with a lot of concern
Doing things that make you feel valued	<input type="checkbox"/> ₁ I am able to do all of the things that make me feel valued <input type="checkbox"/> ₂ I am able to do many of the things that make me feel valued <input type="checkbox"/> ₃ I am able to do a few of the things that make me feel valued <input type="checkbox"/> ₄ I am unable to do any of the things that make me feel valued
Enjoyment and pleasure	<input type="checkbox"/> ₁ I can have all of the enjoyment and pleasure that I want <input type="checkbox"/> ₂ I can have a lot of of the enjoyment and pleasure that I want <input type="checkbox"/> ₃ I can have a little of the enjoyment and pleasure that I want <input type="checkbox"/> ₄ I cannot have any of the enjoyment and pleasure that I want
Independence	<input type="checkbox"/> ₁ I am able to be completely independent <input type="checkbox"/> ₂ I am able to be independent in many things <input type="checkbox"/> ₃ I am able to be independent in a few things <input type="checkbox"/> ₄ I am unable to be at all independent



**STEP-IPF
IPFnet**

THIS IS A REPEATING PAGE

FORM=DB TERM

**Study Drug Log
Double-Blind Phase**

NODATA<XYES>

Subject ID: 02 - site # _____ Subject Initials: **STYDRUG(TYPE 4)R**

Record of Study Drug Enrollment to Week 12						
Kit Number KITROWNO<i:3>	Bottle Number (check only one) BOTTLENO<IPFBOT><i:3> PILSTADT	Dispensed Date ____/____/____ day month year	Number of Pills Dispensed PILCOUNT<i:3>	Stop Date ____/____/____ day month year PILSTPDT	Number of Pills Returned RETURNED<i:6> LOSTPILL<i:6>	Number of Pills Lost*
1 AKITNO<V:6> _____ _____ _____	<input type="checkbox"/> #1 <input type="checkbox"/> #2	____/____/____ day month year	150	____/____/____ day month year	RETURNED<i:6> LOSTPILL<i:6>	
2 _____ _____ _____	<input type="checkbox"/> #1 <input type="checkbox"/> #2	____/____/____ day month year	150	____/____/____ day month year		
3 _____ _____ _____	<input type="checkbox"/> #1 <input type="checkbox"/> #2	____/____/____ day month year	150	____/____/____ day month year		
4 _____ _____ _____	<input type="checkbox"/> #1 <input type="checkbox"/> #2	____/____/____ day month year	150	____/____/____ day month year		

* Best estimate of number of pills not taken but not returned for any reason.

Site Personnel's Initials: _____

WHITE and YELLOW—Duke Clinical Research Institute • PINK—retain at site

Visit date: ____/____/____
day month year

SEE ANNOTATION P. 11

VISTDATE (TYPE 4)

Vital Signs

1 Weight: ____ ____ lb kg

3 Heart rate: ____ ____ bpm

VITALS(TYPE 4)

2 Blood pressure: ____/____ mm Hg
systolic diastolic

SEE ANNOTATION P. 11

GERD Substudy Review

Record all GERD related medications in the GERD Concomitant Medications log

1 Have there been any changes in the subject's GERD status since last visit?

No → Skip to question 3

SEE ANNOTATION P. 28

Yes → If Yes: Check only one:

1 Newly diagnosed → Record on Adverse Event Log

GERDSUB (TYPE 4)

How diagnosed (check all that apply):

24 hr pH monitoring Endoscopy Upper GI/barium swallow test

Symptoms of heartburn OR Unknown

2 Changes in non-pharmaceutical interventions

98 Other (including medications only) → Skip to question 3

2 If newly diagnosed GERD or changes in non-pharmaceutical interventions, answer all questions below:

Sleeping with the head end of the bed elevated with 6" to 8" blocks on the floor No 1 Yes

Sleeping in a recliner No 1 Yes

Limiting foods and beverages that cause symptoms No 1 Yes

Avoiding lying down flat for 3 hours after a meal No 1 Yes

Avoiding bedtime snacks No 1 Yes

Eating small meals No 1 Yes

3 Have there been any changes in the subject's obstructive sleep apnea status since last visit?

No → Skip to Safety Review

1 Yes → If Yes: Check only one:

1 Newly diagnosed → Record on Adverse Event Log

2 Changes in CPAP treatment

98 Other (including medications only) → Skip to Safety Review

4 If newly diagnosed sleep apnea or changes in CPAP treatment, specify current CPAP treatment:

0 None 1 Daily 2 Intermittent

Safety Review

SEE ANNOTATION P. 28

Has the subject experienced unexplained worsened dyspnea or cough since last visit, triggering unscheduled medical care (e.g., clinic, study visit, hospitalization)?

0 No 1 Yes → If Yes: Please send support materials for acute exacerbation review.

SAFETY (TYPE 4)

Record any new Adverse Events on the Adverse Event Log page.

Record any new or changed medications on the Concomitant Medications Log page.



Subject ID: 02 - _____ - _____
site # subject # Subject Initials: _____

Visit date: ____/____/____
day month year SEE ANNOTATION P.11 VISTDATE (TYPE 4)

Vital Signs
1 Weight: ____ □₁ lb □₂ kg SEE ANNOTATION P.11 VITALS (TYPE 4)
2 Blood pressure: ____/____ mm Hg NOTE: EXCEPT NO HEIGHT or SPO2
systolic diastolic
3 Heart rate: _____ bpm

NYHA Functional Class
Current NYHA heart failure classification (check only one): I II III IV SEE ANNOTATION P.11 NYHA (TYPE 4)

Spirometry
Date of assessment: ____/____/____
day month year SPIROMET (TYPE 4)
1 FEV₁: Actual: ____ . ____ liters
2 FEV₆: Actual: ____ . ____ liters SEE ANNOTATION P.2
3 FVC: Actual: ____ . ____ liters NOTE: DO NOT ADD QUESTIONS
4,5,6

Diffusing Capacity of the Lung for Carbon Monoxide (DLCO)
Date of assessment: ____/____/____ SEE ANNOTATION P.12 DLCO (TYPE 4)
day month year
1 DLCO: Actual: ____ . ____ mL/min/mm Hg → Altitude-corrected DLCO (National Jewish only): ____ . ____ mL/min/mm Hg
2 VI (inspired volume): ____ . ____ liters
3 VA (alveolar volume): ____ . ____ liters

6-Minute Walk Test (6MWT)

Date of assessment: ____/____/____
day month year

WALK (TYPE4)

- 1 Pre-walk modified Borg Dyspnea Scale rating:
 0 0.5 1 2 3 4 5 6 7 8 9 10 97 Not done
- 2 Resting room air SpO₂: _____ %
- 3 Was supplemental O₂ used during walk? 0 No 1 Yes → If Yes: Specify: _____ liters/min
 SpO₂ on Supplemental O₂: _____ %
- 4 Was walk performed? 0 No → Provide reason: _____
 1 Yes
- 5 Was a walking aid necessary to perform the 6MWT?
 0 No
 1 Yes → If Yes: Specify (check only one): 1 Cane
 2 Walker
 98 Other (specify): _____

6 Minutes	Heart Rate	SpO ₂ WALKTIME(TYPE4)PS
Pre-walk	_____ bpm	
1 minute	_____ bpm	_____ %
2 minutes	_____ bpm	_____ %
3 minutes	_____ bpm	_____ %
4 minutes	_____ bpm	_____ %
5 minutes	_____ bpm	_____ %
6 minutes	_____ bpm	_____ %
Three minutes of recovery after completion or stop of 6-Minute Walk Test		
1 minute	_____ bpm	_____ %
2 minutes	_____ bpm	_____ %
3 minutes	_____ bpm	_____ %

- 6 Did subject desaturate (SpO₂ ≤ 88%)? 0 No
 1 Yes → If Yes: Walk duration at desaturation: _____ min / _____ sec
 Walk distance at desaturation: _____ meters

Lowest SpO ₂	Distance Walked	Did Subject Complete 6-Minute Walk?	If Stopped Early: Specify Reason (Check only one)
_____ %	_____ meters	<input type="checkbox"/> 0 No → If No: Duration: ____/____ <small>min sec</small> <input type="checkbox"/> 1 Yes	<input type="checkbox"/> 1 SpO ₂ < 80% <input type="checkbox"/> 2 Developed signs and symptoms requiring termination of test <input type="checkbox"/> 98 Other (specify): _____

- 7 Post-walk modified Borg Dyspnea Scale rating:
 0 0.5 1 2 3 4 5 6 7 8 9 10 97 Not done

Site Personnel's Initials: _____

WHITE and YELLOW—Duke Clinical Research Institute • PINK—retain at site



Urgent or Inpatient Admissions

Has the subject been admitted to the hospital, emergency room/urgent care, assisted living/nursing facility, or rehabilitation center since the last study visit (do not include protocol-specific study visits)? No Yes → If Yes: Provide details below.

INPATNT (TYPE 4)R

	Reason for Admission	Major Procedures Performed	Discharge Destination (check only one)
<p>1 Admission date: ___/___/___ Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center</p>	<p><input type="checkbox"/> 1 Check if respiratory-related.</p>		<p><input type="checkbox"/> 1 Home <input type="checkbox"/> 2 Assisted living/nursing facility <input type="checkbox"/> 3 Rehab center <input type="checkbox"/> 4 Transfer to other hospital <input type="checkbox"/> 5 Subject died <input type="checkbox"/> 98 Other (specify): _____</p>
<p>2 Admission date: ___/___/___ Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center</p>	<p><input type="checkbox"/> 1 Check if respiratory-related.</p>		<p><input type="checkbox"/> 1 Home <input type="checkbox"/> 2 Assisted living/nursing facility <input type="checkbox"/> 3 Rehab center <input type="checkbox"/> 4 Transfer to other hospital <input type="checkbox"/> 5 Subject died <input type="checkbox"/> 98 Other (specify): _____</p>
<p>3 Admission date: ___/___/___ Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center</p>	<p><input type="checkbox"/> 1 Check if respiratory-related.</p>		<p><input type="checkbox"/> 1 Home <input type="checkbox"/> 2 Assisted living/nursing facility <input type="checkbox"/> 3 Rehab center <input type="checkbox"/> 4 Transfer to other hospital <input type="checkbox"/> 5 Subject died <input type="checkbox"/> 98 Other (specify): _____</p>



Outpatient Visits

Has the subject required any non-urgent outpatient visits since the last study visit (do not include protocol-specific study visits)?

No Yes → If Yes: Provide details below.

OUTPATNT (TYPE 4)R

Provider Type	No. of Respiratory-related Visits	No. of Non-respiratory-related Visits
1 Pulmonologist		
2 Cardiologist		
3 Other specialist: _____		
4 Primary care physician (or NP or PA)		
5 OT or PT		
6 Mental health provider		
7 Other (specify): _____		

Self-administered Questionnaires

Date administered: ____/____/____ **SEE ANNOTATION P. 13**
No GENDRPT

SELFREPT (TYPE 4)

1 EuroQol	<input type="checkbox"/> _1 Attached	<input type="checkbox"/> _97 Not done
2 SF-36	<input type="checkbox"/> _1 Attached	<input type="checkbox"/> _97 Not done
3 UCSD Shortness-of-Breath	<input type="checkbox"/> _1 Attached	<input type="checkbox"/> _97 Not done
4 St. George's Respiratory	<input type="checkbox"/> _1 Attached	<input type="checkbox"/> _97 Not done
5 ICECAP	<input type="checkbox"/> _1 Attached	<input type="checkbox"/> _97 Not done

GERD Substudy Review

Record all GERD related medications in the GERD Concomitant Medications log

1 Have there been any changes in the subject's GERD status since last visit?
_0 No → Skip to question 3 **GERDSUB (TYPE 4)**
_1 Yes → If Yes: Check only one: **SEE ANNOTATION P. 28**
_1 Newly diagnosed → Record on Adverse Event Log
How diagnosed (check all that apply):
 24 hr pH monitoring Endoscopy Upper GI/barium swallow test
 Symptoms of heartburn OR Unknown
_2 Changes in non-pharmaceutical interventions
_98 Other (including medications only) → Skip to question 3

2 If newly diagnosed GERD or changes in non-pharmaceutical interventions, answer all questions below:
Sleeping with the head end of the bed elevated with 6" to 8" blocks on the floor _0 No _1 Yes
Sleeping in a recliner
 _0 No | _1 Yes || Limiting foods and beverages that cause symptoms | _0 No | _1 Yes |
Avoiding lying down flat for 3 hours after a meal	_0 No	_1 Yes
Avoiding bedtime snacks	_0 No	_1 Yes
Eating small meals	_0 No	_1 Yes

3 Have there been any changes in the subject's obstructive sleep apnea status since last visit?
_0 No → Skip to Safety Review
_1 Yes → If Yes: Check only one:
_1 Newly diagnosed → Record on Adverse Event Log
_2 Changes in CPAP treatment
_98 Other (including medications only) → Skip to Safety Review

4 If newly diagnosed sleep apnea or changes in CPAP treatment, specify current CPAP treatment:
_0 None _1 Daily _2 Intermittent

Safety Review

SEE ANNOTATION P. 28

Has the subject experienced unexplained worsened dyspnea or cough since last visit, triggering unscheduled medical care (e.g., clinic, study visit, hospitalization)? **SAFETY (TYPE 4)**
_0 No _1 Yes → If Yes: Please send support materials for acute exacerbation review.
Record any new Adverse Events on the Adverse Event Log page.
Record any new or changed medications on the Concomitant Medications Log page.

EuroQol Questionnaire EQ-5D English version for the U.S.**EUROQOL1 (TYPE 4)**

By placing a checkmark in one box in each group below, please indicate which statements best describe your own health state today.

1 Mobility:

- ₁ I have no problems in walking about
₂ I have some problems in walking about
₃ I am confined to bed

2 Self-care:

- ₁ I have no problems with self-care
₂ I have some problems washing or dressing myself
₃ I am unable to wash or dress myself

3 Usual activities (e.g., work, study, housework, family, or leisure activities):

- ₁ I have no problems with performing my usual activities
₂ I have some problems with performing my usual activities
₃ I am unable to perform my usual activities

4 Pain/discomfort:

- ₁ I have no pain or discomfort
₂ I have moderate pain or discomfort
₃ I have extreme pain or discomfort

5 Anxiety/depression:

- ₁ I am not anxious or depressed
₂ I am moderately anxious or depressed
₃ I am extremely anxious or depressed

STOP.

**Please let your study coordinator know that
you are ready for the EuroQol Thermometer worksheet.**

EuroQol Thermometer Response (Study staff use only)

Response to the EuroQol thermometer (0–100): _____

EuroQol Questionnaire EQ-5D (continued)**EUROQOL2 (TYPE 4)**

Because all replies are anonymous, it will help us to understand your answers better if we have a little background data from everyone, as covered in the following questions.

- 1** Have you experienced serious illness: *in you yourself?* ₀ No ₁ Yes
in your family? ₀ No ₁ Yes
in caring for others? ₀ No ₁ Yes

2 What is your age in years? _____

3 Are you (please check appropriate box): ₁ Male
₂ Female

4 Are you (please check only one): ₁ A current smoker
₂ An ex-smoker
₃ A never smoker

5 Do you now, or did you ever, work in health or social services?

- ₀ No
₁ Yes → If Yes: In what capacity? _____

6 Which of the following best describes your main activity (please check only one)?

- ₁ Employed (including self employment)
₂ Retired
₃ Keeping house
₄ Student
₅ Seeking work
₉₈ Other (please specify): _____

7 What is the highest level of education you have completed (please check only one)?

- ₁ Some high school or less
₂ High school graduate or GED
₃ Vocational college or some college
₄ College degree
₅ Professional or graduate degree

8 If you know your zip code, please write it here: _____

SF-36 Assessment

SF36_1 (TYPE 4)

1 In general, would you say your health is:

- ₁ Excellent ₂ Very good ₃ Good ₄ Fair ₅ Poor

2 Compared to one year ago, how would you rate your health in general now?

- ₁ Much better than one year ago ₂ Somewhat better than one year ago ₃ About the same as one year ago ₄ Somewhat worse than one year ago ₅ Much worse now than one year ago

3 The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much?

- | | Yes,
Limited
A Lot | Yes,
Limited
A Little | No, Not
Limited
At All |
|--|---------------------------------------|---------------------------------------|---------------------------------------|
| a. Vigorous activities, such as running, lifting heavy objects, participating in strenuous sports | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| b. Moderate activities, such as moving a table, pushing a vacuum cleaner, bowling, or playing golf | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| c. Lifting or carrying groceries | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| d. Climbing several flights of stairs | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| e. Climbing one flight of stairs | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| f. Bending, kneeling or stooping | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| g. Walking more than a mile | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| h. Walking several blocks | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| i. Walking one block | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |
| j. Bathing or dressing yourself | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ |

4 During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of your physical health?

- | | All
of the
Time | Most
of the
Time | Some
of the
Time | A Little
of the
Time | None
of the
Time |
|---|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| a. Cut down on the amount of time you spend on work or other activities | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| b. Accomplished less than you would like | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| c. Were limited in the kind of work or other activities | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| d. Had difficulty performing the work or other activities (for example, it took extra effort) | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |

5 During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of any emotional problems (such as feeling depressed or anxious)?

- | | All
of the
Time | Most
of the
Time | Some
of the
Time | A Little
of the
Time | None
of the
Time |
|---|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| a. Cut down on the amount of time you spend on work or other activities | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| b. Accomplished less than you would like | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |
| c. Did work or other activities less carefully than usual | <input type="checkbox"/> ₁ | <input type="checkbox"/> ₂ | <input type="checkbox"/> ₃ | <input type="checkbox"/> ₄ | <input type="checkbox"/> ₅ |

SF-36 Assessment (continued)

SF36_2 (TYPE 4)

6 During the past 4 weeks, to what extent has your physical health or emotional problems interfered with your normal social activities with family, friends, neighbors, or groups?

- ₁ Not at all ₂ Slightly ₃ Moderately ₄ Quite a bit ₅ Extremely

7 How much bodily pain have you had during the past 4 weeks?

- ₁ None ₂ Very mild ₃ Mild ₄ Moderate ₅ Severe ₆ Very severe

8 During the past 4 weeks, how much did pain interfere with your normal work (including both work outside the home and housework)?

- ₁ Not at all ₂ Slightly ₃ Moderately ₄ Quite a bit ₅ Extremely

9 These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling.

How much of the time during the <u>past 4 weeks</u> ...	All of the Time	Most of the Time	Some of the Time	A Little of the Time	None of the Time
a. Did you feel full of life?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
b. Have you been very nervous?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
c. Have you felt so down in the dumps that nothing could cheer you up?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
d. Have you felt calm and peaceful?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
e. Did you have a lot of energy?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
f. Have you felt downhearted and depressed?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
g. Did you feel worn out?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
h. Have you been happy?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
i. Did you feel tired?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

10 During the past 4 weeks, how much of the time has your physical health or emotional problems interfered with your social activities (like visiting friends, relatives, etc.)?

- ₁ All of the time ₂ Most of the time ₃ Some of the time ₄ A little of the time ₅ None of the time

11 How **True** or **False** is each of the following statements for you?

	Definitely True	Mostly True	Don't Know	Mostly False	Definitely False
a. I seem to get sick a little easier than other people	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
b. I am as healthy as anybody I know	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
c. I expect my health to get worse	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
d. My health is excellent	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

UCSD Shortness-of-Breath Questionnaire UCSD SOBQ						
When I do, or if I were to do, the following tasks, I would rate my breathlessness as:	None At All				Severe	Maximal/ Unable To Do Because of Breathlessness
1 At rest	0	1	2	3	4	5
2 Walking on a level at your own pace	0	1	2	3	4	5
3 Walking on a level with others your age	0	1	2	3	4	5
4 Walking up a hill	0	1	2	3	4	5
5 Walking up stairs	0	1	2	3	4	5
6 While eating	0	1	2	3	4	5
7 Standing up from a chair	0	1	2	3	4	5
8 Brushing teeth	0	1	2	3	4	5
9 Shaving and/or brushing hair	0	1	2	3	4	5
10 Showering/bathing	0	1	2	3	4	5
11 Dressing	0	1	2	3	4	5
12 Picking up and straightening	0	1	2	3	4	5

UCSD Shortness-of-Breath Questionnaire UCSD SOBQ (continued)						
When I do, or if I were to do, the following tasks, I would rate my breathlessness as:	None At All				Severe	Maximal/ Unable To Do Because of Breathlessness
13 Doing dishes	0	1	2	3	4	5
14 sweeping/vacuuming	0	1	2	3	4	5
15 Making bed	0	1	2	3	4	5
16 Shopping	0	1	2	3	4	5
17 Doing laundry	0	1	2	3	4	5
18 Washing car	0	1	2	3	4	5
19 Mowing lawn	0	1	2	3	4	5
20 Watering lawn	0	1	2	3	4	5
21 Sexual activities	0	1	2	3	4	5
How much do these limit you in your daily life?						
22 Shortness of breath	0	1	2	3	4	5
23 Fear of "hurting myself"	0	1	2	3	4	5
24 Fear of shortness of breath	0	1	2	3	4	5

St. George's Respiratory Questionnaire (SGRQ) (English for the United States)

STGRG1(TYPE 4)

This questionnaire is designed to help us learn much more about how your breathing is troubling you and how it affects your life. We are using it to find out which aspects of your illness cause you the most problems, rather than what the doctors and nurses think your problems are.

Please read the instructions carefully and ask if you do not understand anything.
Do not spend too long deciding about your answers.

Before completing the questionnaire:

Please check one box to show how you describe your current health:

- Very good
- Good
- Fair
- Poor
- Very poor

St. George's Respiratory Questionnaire Part 1

Please describe how often your respiratory problems have affected you over the past 4 weeks. STGRG2 (TYPE 4)

Please check one box for each question.

	Almost Every Day	Several Days a Week	A Few Days a Month	Only with Respiratory Infections	Not At All
1 Over the past 4 weeks, I have coughed:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Over the past 4 weeks, I have brought up phlegm (sputum):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 Over the past 4 weeks, I have had shortness of breath:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 Over the past 4 weeks, I have had wheezing attacks:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5 How many times during the past 4 weeks have you suffered from severe or very unpleasant respiratory attacks? Please check <input checked="" type="checkbox"/> one:					
<input type="checkbox"/> More than 3 times					
<input type="checkbox"/> 3 times					
<input type="checkbox"/> 2 times					
<input type="checkbox"/> 1 time					
<input type="checkbox"/> None of the time					
6 How long did the worst respiratory attack last? Please check <input checked="" type="checkbox"/> one: (Go to question 7 if you did not have a severe attack)					
<input type="checkbox"/> A week or more					
<input type="checkbox"/> 3 or more days					
<input type="checkbox"/> 1 or 2 days					
<input type="checkbox"/> Less than a day					
7 Over the past 4 weeks, in a typical week, how many good days (with few respiratory problems) have you had? Please check <input checked="" type="checkbox"/> one:					
<input type="checkbox"/> No good days					
<input type="checkbox"/> 1 or 2 good days					
<input type="checkbox"/> 3 or 4 good days					
<input type="checkbox"/> Nearly every day was good					
<input type="checkbox"/> Every day was good					
8 If you wheeze, is it worse when you get up in the morning? Please check <input checked="" type="checkbox"/> one:					
<input type="checkbox"/> No					
<input type="checkbox"/> Yes					

St. George's Respiratory Questionnaire Part 2

Section 1

STGRG3 (TYPE 4)

9 How would you describe your respiratory condition? Please check one:

- The most important problem I have
- Causes me quite a lot of problems
- Causes me a few problems
- Causes me no problems

10 If you have ever held a job (please check one):

- My respiratory problems made me stop working altogether
- My respiratory problems interfere with my job or made me change my job
- My respiratory problems do not affect my job

Section 2

These are questions about what activities usually make you feel short of breath these days.

For each statement, please check the box that applies to you **these days**.

- 11** Sitting or lying still True False
- Washing or dressing yourself True False
- Walking around the house True False
- Walking outside on a level ground True False
- Walking up a flight of stairs True False
- Walking up hills True False
- Playing sports or other physical activities True False

Section 3

12 These are more questions about your cough and shortness of breath these days.

For each statement, please check the box that applies to you **these days**.

- Coughing hurts True False
- Coughing makes me tired True False
- I am short of breath when I talk True False
- I am short of breath when I bend over True False
- My coughing or breathing disturbs my sleep True False
- I get exhausted easily True False

St. George's Respiratory Questionnaire Part 2 (continued)

STGRG4 (TYPE 4)

Section 4

13 These are questions about other effects that your respiratory problems may have on you these days.

For each statement, please check **the box** that applies to you **these days**.

- My cough or breathing is embarrassing in public ₁ True ₀ False
- My respiratory problems are a nuisance to my family, friends or neighbors ₁ True ₀ False
- I get afraid or panic when I cannot catch my breath ₁ True ₀ False
- I feel that I am not in control of my respiratory problems ₁ True ₀ False
- I do not expect my respiratory problems to get any better ₁ True ₀ False
- I have become frail or an invalid because of my respiratory problems ₁ True ₀ False
- Exercise is not safe for me ₁ True ₀ False
- Everything seems too much of an effort ₁ True ₀ False

Section 5

14 These are questions about your respiratory treatment. If you are not receiving treatment, +go to Section 6.

For each statement, please check **the box** that applies to you **these days**.

- My treatment does not help me very much ₁ True ₀ False
- I get embarrassed using my medication in public ₁ True ₀ False
- I have unpleasant side effects from my medication ₁ True ₀ False
- My treatment interferes with my life a lot ₁ True ₀ False

St. George's Respiration Questionnaire Part 2 (continued)

Section 6

STGRG5 (TYPE 4)

15 These are questions about how your activities might be affected by your respiratory problems.

For each statement, please check **the box** that applies to you **because of your respiratory problems**.

I take a long time to get washed or dressed ₁ True ₀ False

I cannot take a bath or shower, or I take a long time to do it ₁ True ₀ False

I walk slower than other people my age, or I stop to rest ₁ True ₀ False

Jobs such as household chores take a long time, or I have to stop to rest ₁ True ₀ False

If I walk up one flight of stairs, I have to go slowly or stop ₁ True ₀ False

If I hurry or walk fast, I have to stop or slow down ₁ True ₀ False

My breathing makes it difficult to do things such as walk up hills, carry
 light gardening such as weeding, dance, bowl, or play
SEE PAGE 24 ₁ True ₀ False

My breathing makes it difficult to do things such as carry heavy loads,
 dig in the garden or shovel snow, jog or walk briskly (5 miles per hour),
 play tennis or swim ₁ True ₀ False **SEE PAGE 24**

My breathing makes it difficult to do things such as very heavy manual
 work, ride a bike, run, swim fast, or play competitive sports ₁ True ₀ False **SEE PAGE 24**

Section 7

16 We would like to know how your respiratory problems usually affect your daily life.

For each statement, please check **the box** that applies to you **because of your respiratory problems**.

I cannot play sports or do other physical activities ₁ True ₀ False

I cannot go out for entertainment or recreation ₁ True ₀ False

I cannot go out of the house to do the shopping ₁ True ₀ False

I cannot do household chores ₁ True ₀ False

I cannot move far from my bed or chair ₁ True ₀ False

St. George's Respiratory Questionnaire (continued)

STGRG6 (TYPE 4)

Here is a list of other activities that your respiratory problems may prevent you from doing. (You do not have to check these; they are just to remind you of ways your shortness of breath may affect you.)

- Going for walks or walking the dog
- Doing activities or chores at home or in the garden
- Sexual intercourse
- Going to a place of worship, or a place of entertainment
- Going out in bad weather or into smoky rooms
- Visiting family or friends or playing with children

Please write in any other important activities that your respiratory problems may stop you from doing:

17 Now please check the box (one only) that you think best describes how your respiratory problems affect you:

- It does not stop me from doing anything I would like to do
- It stops me from doing one or two things I would like to do
- It stops me from doing most of the things I would like to do
- It stops me from doing everything I would like to do

Before you finish, would you please make sure that you have answered all the questions.

Thank you for completing this questionnaire.

ICECAP Questionnaire	
<p>By placing a check <input checked="" type="checkbox"/> in one box in each group below, please indicate which statement best describes your quality of life at the moment. ICECAP (TYPE 4)</p>	
Love and Friendship	<input type="checkbox"/> ₁ I can have all of the love and friendship that I want <input type="checkbox"/> ₂ I can have a lot of the love and friendship that I want <input type="checkbox"/> ₃ I can have a little of the love and friendship that I want <input type="checkbox"/> ₄ I cannot have any of the love and friendship that I want
Thinking about the future	<input type="checkbox"/> ₁ I can think about the future without any concern <input type="checkbox"/> ₂ I can think about the future with only a little concern <input type="checkbox"/> ₃ I can only think about the future with some concern <input type="checkbox"/> ₄ I can only think about the future with a lot of concern
Doing things that make you feel valued	<input type="checkbox"/> ₁ I am able to do all of the things that make me feel valued <input type="checkbox"/> ₂ I am able to do many of the things that make me feel valued <input type="checkbox"/> ₃ I am able to do a few of the things that make me feel valued <input type="checkbox"/> ₄ I am unable to do any of the things that make me feel valued
Enjoyment and pleasure	<input type="checkbox"/> ₁ I can have all of the enjoyment and pleasure that I want <input type="checkbox"/> ₂ I can have a lot of of the enjoyment and pleasure that I want <input type="checkbox"/> ₃ I can have a little of the enjoyment and pleasure that I want <input type="checkbox"/> ₄ I cannot have any of the enjoyment and pleasure that I want
Independence	<input type="checkbox"/> ₁ I am able to be completely independent <input type="checkbox"/> ₂ I am able to be independent in many things <input type="checkbox"/> ₃ I am able to be independent in a few things <input type="checkbox"/> ₄ I am unable to be at all independent

Visit date: ____/____/____ <small>day month year</small>	Check if Early Termination visit for double-blind phase: <input type="checkbox"/> EARLYTER <XYES> SEE ANNOTATION P.11	VISTDATE (TYPE 4)
Vital Signs		
1 Weight: ____ ____ <input type="checkbox"/> _1 lb <input type="checkbox"/> _2 kg	SEE ANNOTATION P.11	VITALS (TYPE 4)
2 Blood pressure: ____/____ mm Hg <small>systolic diastolic</small>	NOTE: EXCEPT NO HEIGHT or SPO2	
3 Heart rate: _____ bpm		
NYHA Functional Class		
Current NYHA heart failure classification (check only one): <input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV		NYHA (TYPE 4)
SEE ANNOTATION P.11		
Spirometry		
Date of assessment: ____/____/____ <small>day month year</small>		SPIROMET (TYPE 4)
1 FEV ₁ : Actual: ____ . ____ liters	SEE ANNOTATION P.2	
2 FEV ₆ : Actual: ____ . ____ liters	NOTE: DO NOT ADD QUESTIONS	
3 FVC: Actual: ____ . ____ liters	4,5,6	
Diffusing Capacity of the Lung for Carbon Monoxide (DLCO)		
Date of assessment: ____/____/____ <small>day month year</small>		DLCO (TYPE 4)
1 DLCO: Actual: ____ . ____ mL/min/mm Hg → Altitude-corrected DLCO (National Jewish only): ____ . ____ mL/min/mm Hg		
2 VI (inspired volume): ____ . ____ liters	SEE ANNOTATION P.12	
3 VA (alveolar volume): ____ . ____ liters		
Arterial Blood Gas (ABG)		
Date of assessment: ____/____/____ <small>day month year</small>		ABG (TYPE 4)
SEE ANNOTATION P.12		
1 Barometric pressure: _____ mm Hg		
2 Clinic altitude (check only one): <input type="checkbox"/> _1 < 4000 ft <input type="checkbox"/> _2 ≥ 4000 ft		
3 FiO ₂ : _____ %		
4 pH: ____ . ____		
5 PaO ₂ : _____ mm Hg		
6 PaCO ₂ : _____ mm Hg		
7 SaO ₂ : _____ %		

6-Minute Walk Test (6MWT)

Date of assessment: ____/____/____
day month year

WALK (TYPE4)

- 1 Pre-walk modified Borg Dyspnea Scale rating:
 0 0.5 1 2 3 4 5 6 7 8 9 10 97 Not done
- 2 Resting room air SpO₂: _____ %
- 3 Was supplemental O₂ used during walk? 0 No 1 Yes → If Yes: Specify: _____ liters/min
 SpO₂ on Supplemental O₂: _____ %
- 4 Was walk performed? 0 No → Provide reason: _____
 1 Yes
- 5 Was a walking aid necessary to perform the 6MWT?
 0 No
 1 Yes → If Yes: Specify (check only one): 1 Cane
 2 Walker
 98 Other (specify): _____

6 Minutes	Heart Rate	SpO ₂ WALKTIME(TYPE4)PS
Pre-walk	_____ bpm	
1 minute	_____ bpm	_____ %
2 minutes	_____ bpm	_____ %
3 minutes	_____ bpm	_____ %
4 minutes	_____ bpm	_____ %
5 minutes	_____ bpm	_____ %
6 minutes	_____ bpm	_____ %
Three minutes of recovery after completion or stop of 6-Minute Walk Test		
1 minute	_____ bpm	_____ %
2 minutes	_____ bpm	_____ %
3 minutes	_____ bpm	_____ %

- 6 Did subject desaturate (SpO₂ ≤ 88%)? 0 No
 1 Yes → If Yes: Walk duration at desaturation: _____ min / _____ sec
 Walk distance at desaturation: _____ meters

Lowest SpO ₂	Distance Walked	Did Subject Complete 6-Minute Walk?	If Stopped Early: Specify Reason (Check only one)
_____ %	_____ meters	<input type="checkbox"/> 0 No → If No: Duration: ____/____ <small>min sec</small> <input type="checkbox"/> 1 Yes	<input type="checkbox"/> 1 SpO ₂ < 80% <input type="checkbox"/> 2 Developed signs and symptoms requiring termination of test <input type="checkbox"/> 98 Other (specify): _____

- 7 Post-walk modified Borg Dyspnea Scale rating:
 0 0.5 1 2 3 4 5 6 7 8 9 10 97 Not done

Site Personnel's Initials: _____

WHITE and YELLOW—Duke Clinical Research Institute • PINK—retain at site

SEE ANNOTATION P. 31

Subject ID: 02 - site # _____ subject # _____



Urgent or Inpatient Admissions

Has the subject been admitted to the hospital, emergency room/urgent care, assisted living/nursing facility, or rehabilitation center since the last study visit (do not include protocol-specific study visits)? No Yes → If Yes: Provide details below.

INPATNT (TYPE 4J)

	Reason for Admission	Major Procedures Performed	Discharge Destination (check only one)
<p>1 Admission date: ___/___/___ Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center</p>	<p><input type="checkbox"/> 1 Check if respiratory-related.</p>		<p><input type="checkbox"/> 1 Home <input type="checkbox"/> 2 Assisted living/nursing facility <input type="checkbox"/> 3 Rehab center <input type="checkbox"/> 4 Transfer to other hospital <input type="checkbox"/> 5 Subject died <input type="checkbox"/> 98 Other (specify): _____</p>
<p>2 Admission date: ___/___/___ Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center</p>	<p><input type="checkbox"/> 1 Check if respiratory-related.</p>		<p><input type="checkbox"/> 1 Home <input type="checkbox"/> 2 Assisted living/nursing facility <input type="checkbox"/> 3 Rehab center <input type="checkbox"/> 4 Transfer to other hospital <input type="checkbox"/> 5 Subject died <input type="checkbox"/> 98 Other (specify): _____</p>
<p>3 Admission date: ___/___/___ Discharge date: ___/___/___ Admission type (check only one): <input type="checkbox"/> 1 Hospital <input type="checkbox"/> 2 ER/urgent care <input type="checkbox"/> 3 Assisted living/nursing facility <input type="checkbox"/> 4 Rehabilitation center</p>	<p><input type="checkbox"/> 1 Check if respiratory-related.</p>		<p><input type="checkbox"/> 1 Home <input type="checkbox"/> 2 Assisted living/nursing facility <input type="checkbox"/> 3 Rehab center <input type="checkbox"/> 4 Transfer to other hospital <input type="checkbox"/> 5 Subject died <input type="checkbox"/> 98 Other (specify): _____</p>

Site Personnel's Initials: _____

WHITE and YELLOW—Duke Clinical Research Institute • PINK—retain at site

Outpatient Visits		
Has the subject required any non-urgent outpatient visits since the last study visit (do not include protocol-specific study visits)?		
<input type="checkbox"/> No <input type="checkbox"/> Yes → If Yes: Provide details below.		
Provider Type	No. of Respiratory-related Visits	No. of Non-respiratory-related Visits
1 Pulmonologist		
2 Cardiologist		
3 Other specialist: _____		
4 Primary care physician (or NP or PA)		
5 OT or PT		
6 Mental health provider		
7 Other (specify): _____		

OUTPATNT (TYPE 4)R

Self-administered Questionnaires

Date administered: ____/____/____ **SEE ANNOTATION P. 13** **SELFREPT (TYPE 4)**
day month year **No GENDRPT**

1 EuroQol	<input type="checkbox"/> _1 Attached	<input type="checkbox"/> _97 Not done
2 SF-36	<input type="checkbox"/> _1 Attached	<input type="checkbox"/> _97 Not done
3 UCSD Shortness-of-Breath	<input type="checkbox"/> _1 Attached	<input type="checkbox"/> _97 Not done
4 St. George's Respiratory	<input type="checkbox"/> _1 Attached	<input type="checkbox"/> _97 Not done
5 ICECAP	<input type="checkbox"/> _1 Attached	<input type="checkbox"/> _97 Not done

GERD Substudy Review

Record all GERD related medications in the GERD Concomitant Medications log

1 Have there been any changes in the subject's GERD status since last visit?
_0 No → Skip to question 3 **SEE ANNOTATION P. 28** **GERDSUB (TYPE 4)**
_1 Yes → If Yes: Check only one:
_1 Newly diagnosed → Record on Adverse Event Log
How diagnosed (check all that apply):
 24 hr pH monitoring Endoscopy Upper GI/barium swallow test
 Symptoms of heartburn OR Unknown
_2 Changes in non-pharmaceutical interventions
_98 Other (including medications only) → Skip to question 3

2 If newly diagnosed GERD or changes in non-pharmaceutical interventions, answer all questions below:
Sleeping with the head end of the bed elevated with 6" to 8" blocks on the floor _0 No _1 Yes
Sleeping in a recliner
 _0 No | _1 Yes || Limiting foods and beverages that cause symptoms | _0 No | _1 Yes |
Avoiding lying down flat for 3 hours after a meal	_0 No	_1 Yes
Avoiding bedtime snacks	_0 No	_1 Yes
Eating small meals	_0 No	_1 Yes

3 Have there been any changes in the subject's obstructive sleep apnea status since last visit?
_0 No → Skip to Safety Review
_1 Yes → If Yes: Check only one:
_1 Newly diagnosed → Record on Adverse Event Log
_2 Changes in CPAP treatment
_98 Other (including medications only) → Skip to Safety Review

4 If newly diagnosed sleep apnea or changes in CPAP treatment, specify current CPAP treatment:
_0 None _1 Daily _2 Intermittent

Safety Review

SEE ANNOTATION P. 28 **SAFETY (TYPE 4)**

Has the subject experienced unexplained worsened dyspnea or cough since last visit, triggering unscheduled medical care (e.g., clinic, study visit, hospitalization)?
_0 No _1 Yes → If Yes: Please send support materials for acute exacerbation review.
Record any new Adverse Events on the Adverse Event Log page.
Record any new or changed medications on the Concomitant Medications Log page.

EuroQol Questionnaire EQ-5D English version for the U.S.

By placing a checkmark in one box in each group below, please indicate which statements best describe your own health state today.

1 Mobility:

- ₁ I have no problems in walking about
₂ I have some problems in walking about
₃ I am confined to bed

EUROQOL1 (TYPE 4)

2 Self-care:

- ₁ I have no problems with self-care
₂ I have some problems washing or dressing myself
₃ I am unable to wash or dress myself

3 Usual activities (e.g., work, study, housework, family, or leisure activities):

- ₁ I have no problems with performing my usual activities
₂ I have some problems with performing my usual activities
₃ I am unable to perform my usual activities

4 Pain/discomfort:

- ₁ I have no pain or discomfort
₂ I have moderate pain or discomfort
₃ I have extreme pain or discomfort

5 Anxiety/depression:

- ₁ I am not anxious or depressed
₂ I am moderately anxious or depressed
₃ I am extremely anxious or depressed

STOP.

**Please let your study coordinator know that
you are ready for the EuroQol Thermometer worksheet.**

EuroQol Thermometer Response (Study staff use only)

Response to the EuroQol thermometer (0–100): _____

EuroQol Questionnaire EQ-5D (continued)**EUROQOL2 (TYPE 4)**

Because all replies are anonymous, it will help us to understand your answers better if we have a little background data from everyone, as covered in the following questions.

- 1 Have you experienced serious illness: *in you yourself?* ₀ No ₁ Yes
in your family? ₀ No ₁ Yes
in caring for others? ₀ No ₁ Yes

2 What is your age in years? _____

- 3 Are you (please check appropriate box): ₁ Male
₂ Female

- 4 Are you (please check only one): ₁ A current smoker
₂ An ex-smoker
₃ A never smoker

5 Do you now, or did you ever, work in health or social services?

- ₀ No
₁ Yes → If Yes: In what capacity? _____

6 Which of the following best describes your main activity (please check only one)?

- ₁ Employed (including self employment)
₂ Retired
₃ Keeping house
₄ Student
₅ Seeking work
₉₈ Other (please specify): _____

7 What is the highest level of education you have completed (please check only one)?

- ₁ Some high school or less
₂ High school graduate or GED
₃ Vocational college or some college
₄ College degree
₅ Professional or graduate degree

8 If you know your zip code, please write it here: _____

SF-36 Assessment

1 In general, would you say your health is:

- ₁ Excellent ₂ Very good ₃ Good ₄ Fair ₅ Poor

SF36_1(TYPE 4)

2 Compared to one year ago, how would you rate your health in general now?

- ₁ Much better than one year ago ₃ About the same as one year ago ₅ Much worse now
₂ Somewhat better than one year ago ₄ Somewhat worse than one year ago than one year ago

3 The following items are about activities you might do during a typical day. Does your health now limit you in these activities? If so, how much?

	Yes, Limited A Lot	Yes, Limited A Little	No, Not Limited At All
a. Vigorous activities , such as running, lifting heavy objects, participating in strenuous sports	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
b. Moderate activities , such as moving a table, pushing a vacuum cleaner, bowling, or playing golf	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
c. Lifting or carrying groceries	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
d. Climbing several flights of stairs	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
e. Climbing one flight of stairs	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
f. Bending, kneeling or stooping	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
g. Walking more than a mile	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
h. Walking several blocks	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
i. Walking one block	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃
j. Bathing or dressing yourself	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃

4 During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of your physical health?

	All of the Time	Most of the Time	Some of the Time	A Little of the Time	None of the Time
a. Cut down on the amount of time you spend on work or other activities	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
b. Accomplished less than you would like	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
c. Were limited in the kind of work or other activities	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
d. Had difficulty performing the work or other activities (<i>for example, it took extra effort</i>)	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

5 During the past 4 weeks, have you had any of the following problems with your work or other regular daily activities as a result of any emotional problems (such as feeling depressed or anxious)?

	All of the Time	Most of the Time	Some of the Time	A Little of the Time	None of the Time
a. Cut down on the amount of time you spend on work or other activities	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
b. Accomplished less than you would like	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
c. Did work or other activities less carefully than usual	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

SF-36 Assessment (continued)

6 During the past 4 weeks, to what extent has your physical health or emotional problems interfered with your normal social activities with family, friends, neighbors, or groups?
₁ Not at all ₂ Slightly ₃ Moderately ₄ Quite a bit ₅ Extremely

7 How much bodily pain have you had during the past 4 weeks? **SF36_2(TYPE 4)**
₁ None ₂ Very mild ₃ Mild ₄ Moderate ₅ Severe ₆ Very severe

8 During the past 4 weeks, how much did pain interfere with your normal work (including both work outside the home and housework)?
₁ Not at all ₂ Slightly ₃ Moderately ₄ Quite a bit ₅ Extremely

9 These questions are about how you feel and how things have been with you during the past 4 weeks. For each question, please give the one answer that comes closest to the way you have been feeling.

How much of the time during the <u>past 4 weeks</u> ...	All of the Time	Most of the Time	Some of the Time	A Little of the Time	None of the Time
a. Did you feel full of life?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
b. Have you been very nervous?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
c. Have you felt so down in the dumps that nothing could cheer you up?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
d. Have you felt calm and peaceful?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
e. Did you have a lot of energy?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
f. Have you felt downhearted and depressed?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
g. Did you feel worn out?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
h. Have you been happy?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
i. Did you feel tired?	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

10 During the past 4 weeks, how much of the time has your physical health or emotional problems interfered with your social activities (like visiting friends, relatives, etc.)?
₁ All of the time ₂ Most of the time ₃ Some of the time ₄ A little of the time ₅ None of the time

How True or False is <u>each</u> of the following statements for you?	Definitely True	Mostly True	Don't Know	Mostly False	Definitely False
a. I seem to get sick a little easier than other people	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
b. I am as healthy as anybody I know	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
c. I expect my health to get worse	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
d. My health is excellent	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

UCSD Shortness-of-Breath Questionnaire UCSD SOBQ						
When I do, or if I were to do, the following tasks, I would rate my breathlessness as:	None At All				Severe	Maximal/ Unable To Do Because of Breathlessness
1 At rest	0	1	2	3	4	5
2 Walking on a level at your own pace	0	1	2	3	4	5
3 Walking on a level with others your age	0	1	2	3	4	5
4 Walking up a hill	0	1	2	3	4	5
5 Walking up stairs	0	1	2	3	4	5
6 While eating	0	1	2	3	4	5
7 Standing up from a chair	0	1	2	3	4	5
8 Brushing teeth	0	1	2	3	4	5
9 Shaving and/or brushing hair	0	1	2	3	4	5
10 Showering/bathing	0	1	2	3	4	5
11 Dressing	0	1	2	3	4	5
12 Picking up and straightening	0	1	2	3	4	5

UCSD Shortness-of-Breath Questionnaire UCSD SOBQ (continued)						
When I do, or if I were to do, the following tasks, I would rate my breathlessness as:	None At All				Severe	Maximal/ Unable To Do Because of Breathlessness
13 Doing dishes	0	1	2	3	4	5
14 sweeping/vacuuming	0	1	2	3	4	5
15 Making bed	0	1	2	3	4	5
16 Shopping	0	1	2	3	4	5
17 Doing laundry	0	1	2	3	4	5
18 Washing car	0	1	2	3	4	5
19 Mowing lawn	0	1	2	3	4	5
20 Watering lawn	0	1	2	3	4	5
21 Sexual activities	0	1	2	3	4	5
How much do these limit you in your daily life?						
22 Shortness of breath	0	1	2	3	4	5
23 Fear of "hurting myself"	0	1	2	3	4	5
24 Fear of shortness of breath	0	1	2	3	4	5

St. George's Respiratory Questionnaire (SGRQ) (English for the United States)

This questionnaire is designed to help us learn much more about how your breathing is troubling you and how it affects your life. We are using it to find out which aspects of your illness cause you the most problems, rather than what the doctors and nurses think your problems are.

STGRG1(TYPE 4)

Please read the instructions carefully and ask if you do not understand anything.

Do not spend too long deciding about your answers.

Before completing the questionnaire:

Please check one box to show how you describe your current health:

- Very good
- Good
- Fair
- Poor
- Very poor

St. George's Respiratory Questionnaire Part 1

Please describe how often your respiratory problems have affected you over the past 4 weeks.

STGRG2(TYPE 4)

Please check one box for each question.

	Almost Every Day	Several Days a Week	A Few Days a Month	Only with Respiratory Infections	Not At All
1 Over the past 4 weeks, I have coughed:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Over the past 4 weeks, I have brought up phlegm (sputum):	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 Over the past 4 weeks, I have had shortness of breath:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 Over the past 4 weeks, I have had wheezing attacks:	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5 How many times during the past 4 weeks have you suffered from severe or very unpleasant respiratory attacks? Please check <input checked="" type="checkbox"/> one:					
<input type="checkbox"/> More than 3 times					
<input type="checkbox"/> 3 times					
<input type="checkbox"/> 2 times					
<input type="checkbox"/> 1 time					
<input type="checkbox"/> None of the time					
6 How long did the worst respiratory attack last? Please check <input checked="" type="checkbox"/> one: (Go to question 7 if you did not have a severe attack)					
<input type="checkbox"/> A week or more					
<input type="checkbox"/> 3 or more days					
<input type="checkbox"/> 1 or 2 days					
<input type="checkbox"/> Less than a day					
7 Over the past 4 weeks, in a typical week, how many good days (with few respiratory problems) have you had? Please check <input checked="" type="checkbox"/> one:					
<input type="checkbox"/> No good days					
<input type="checkbox"/> 1 or 2 good days					
<input type="checkbox"/> 3 or 4 good days					
<input type="checkbox"/> Nearly every day was good					
<input type="checkbox"/> Every day was good					
8 If you wheeze, is it worse when you get up in the morning? Please check <input checked="" type="checkbox"/> one:					
<input type="checkbox"/> No					
<input type="checkbox"/> Yes					

St. George's Respiratory Questionnaire Part 2

Section 1

9 How would you describe your respiratory condition? Please check one:

- The most important problem I have
- Causes me quite a lot of problems
- Causes me a few problems
- Causes me no problems

STGRG3(TYPE 4)

10 If you have ever held a job (please check one):

- My respiratory problems made me stop working altogether
- My respiratory problems interfere with my job or made me change my job
- My respiratory problems do not affect my job

Section 2

These are questions about what activities usually make you feel short of breath these days.

For each statement, please check the box that applies to you **these days**.

- 11** Sitting or lying still True False
- Washing or dressing yourself True False
- Walking around the house True False
- Walking outside on a level ground True False
- Walking up a flight of stairs True False
- Walking up hills True False
- Playing sports or other physical activities True False

Section 3

12 These are more questions about your cough and shortness of breath these days.

For each statement, please check the box that applies to you **these days**.

- Coughing hurts True False
- Coughing makes me tired True False
- I am short of breath when I talk True False
- I am short of breath when I bend over True False
- My coughing or breathing disturbs my sleep True False
- I get exhausted easily True False

St. George's Respiratory Questionnaire Part 2 (continued)

Section 4

STGRG4(TYPE 4)

13 These are questions about other effects that your respiratory problems may have on you these days.

For each statement, please check **the box** that applies to you **these days**.

- My cough or breathing is embarrassing in public ₁ True ₀ False
- My respiratory problems are a nuisance to my family, friends or neighbors ₁ True ₀ False
- I get afraid or panic when I cannot catch my breath ₁ True ₀ False
- I feel that I am not in control of my respiratory problems ₁ True ₀ False
- I do not expect my respiratory problems to get any better ₁ True ₀ False
- I have become frail or an invalid because of my respiratory problems ₁ True ₀ False
- Exercise is not safe for me ₁ True ₀ False
- Everything seems too much of an effort ₁ True ₀ False

Section 5

14 These are questions about your respiratory treatment. If you are not receiving treatment, +go to Section 6.

For each statement, please check **the box** that applies to you **these days**.

- My treatment does not help me very much ₁ True ₀ False
- I get embarrassed using my medication in public ₁ True ₀ False
- I have unpleasant side effects from my medication ₁ True ₀ False
- My treatment interferes with my life a lot ₁ True ₀ False

St. George's Respiration Questionnaire Part 2 (continued)

Section 6

STGRG5(TYPE 4)

15 These are questions about how your activities might be affected by your respiratory problems.

For each statement, please check **the box** that applies to you **because of your respiratory problems**.

I take a long time to get washed or dressed True False

I cannot take a bath or shower, or I take a long time to do it True False

I walk slower than other people my age, or I stop to rest True False

Jobs such as household chores take a long time, or I have to stop to rest True False

If I walk up one flight of stairs, I have to go slowly or stop True False

If I hurry or walk fast, I have to stop or slow down True False

My breathing makes it difficult to do things such as walk up hills, carry
 light gardening such as weeding, dance, bowl, or play
SEE PAGE 24 True False

My breathing makes it difficult to do things such as carry heavy loads,
 dig in the garden or shovel snow, jog or walk briskly (5 miles per hour),
 play tennis or swim True False
SEE PAGE 24

My breathing makes it difficult to do things such as very heavy manual
 work, ride a bike, run, swim fast, or play competitive sports True False
SEE PAGE 24

Section 7

16 We would like to know how your respiratory problems usually affect your daily life.

For each statement, please check **the box** that applies to you **because of your respiratory problems**.

I cannot play sports or do other physical activities True False

I cannot go out for entertainment or recreation True False

I cannot go out of the house to do the shopping True False

I cannot do household chores True False

I cannot move far from my bed or chair True False

St. George's Respiratory Questionnaire (continued)

Here is a list of other activities that your respiratory problems may prevent you from doing. (You do not have to check these; they are just to remind you of ways your shortness of breath may affect you.)

- Going for walks or walking the dog
- Doing activities or chores at home or in the garden
- Sexual intercourse
- Going to a place of worship, or a place of entertainment
- Going out in bad weather or into smoky rooms
- Visiting family or friends or playing with children

STGRG6(TYPE 4)

Please write in any other important activities that your respiratory problems may stop you from doing:

17 Now please check the box (one only) that you think best describes how your respiratory problems affect you:

- It does not stop me from doing anything I would like to do
- It stops me from doing one or two things I would like to do
- It stops me from doing most of the things I would like to do
- It stops me from doing everything I would like to do

Before you finish, would you please make sure that you have answered all the questions.

Thank you for completing this questionnaire.

ICECAP Questionnaire

By placing a check in one box in each group below, please indicate which statement best describes your quality of life at the moment.

ICECCAP(TYPE 4)

Love and Friendship	<input type="checkbox"/> ₁ I can have all of the love and friendship that I want <input type="checkbox"/> ₂ I can have a lot of the love and friendship that I want <input type="checkbox"/> ₃ I can have a little of the love and friendship that I want <input type="checkbox"/> ₄ I cannot have any of the love and friendship that I want
Thinking about the future	<input type="checkbox"/> ₁ I can think about the future without any concern <input type="checkbox"/> ₂ I can think about the future with only a little concern <input type="checkbox"/> ₃ I can only think about the future with some concern <input type="checkbox"/> ₄ I can only think about the future with a lot of concern
Doing things that make you feel valued	<input type="checkbox"/> ₁ I am able to do all of the things that make me feel valued <input type="checkbox"/> ₂ I am able to do many of the things that make me feel valued <input type="checkbox"/> ₃ I am able to do a few of the things that make me feel valued <input type="checkbox"/> ₄ I am unable to do any of the things that make me feel valued
Enjoyment and pleasure	<input type="checkbox"/> ₁ I can have all of the enjoyment and pleasure that I want <input type="checkbox"/> ₂ I can have a lot of of the enjoyment and pleasure that I want <input type="checkbox"/> ₃ I can have a little of the enjoyment and pleasure that I want <input type="checkbox"/> ₄ I cannot have any of the enjoyment and pleasure that I want
Independence	<input type="checkbox"/> ₁ I am able to be completely independent <input type="checkbox"/> ₂ I am able to be independent in many things <input type="checkbox"/> ₃ I am able to be independent in a few things <input type="checkbox"/> ₄ I am unable to be at all independent



NODATA<XYES>
Study Drug Log
Open-Label Phase

SEE ANNOTATION P. 65

FORM=OL TERM

Subject ID: 02 - _____ site # _____ subject # _____
 Subject Initials: _____
STYDRUG (TYPE 4)

Record of Study Drug Week 12 to Week 24						
Kit Number	Bottle Number <small>(check only one)</small>	Dispensed Date	Number of Pills Dispensed	Stop Date	Number of Pills Returned	Number of Pills Lost*
1	<input type="checkbox"/> #1 <input type="checkbox"/> #2	____ / ____ / ____ <small>day month year</small>	150	____ / ____ / ____ <small>day month year</small>		
2	<input type="checkbox"/> #1 <input type="checkbox"/> #2	____ / ____ / ____ <small>day month year</small>	150	____ / ____ / ____ <small>day month year</small>		
3	<input type="checkbox"/> #1 <input type="checkbox"/> #2	____ / ____ / ____ <small>day month year</small>	150	____ / ____ / ____ <small>day month year</small>		
4	<input type="checkbox"/> #1 <input type="checkbox"/> #2	____ / ____ / ____ <small>day month year</small>	150	____ / ____ / ____ <small>day month year</small>		

* Best estimate of number of pills not taken but not returned for any reason.

Site Personnel's Initials: _____

Study Completion/Termination—Open-Label Phase

TERM (TYPE 4)

1 Did the subject terminate early from this phase?

- No
 Yes → If Yes: Date of study termination: ____/____/____
day month year

Reason (check primary reason):

- 1 Death → Complete Death Form and Expedited Event Form.
 2 Lung transplant **SEE ANNOTATION P. 66**
 3 Adverse event (specify): _____ **EXCEPT EXCLUDE:** → Complete Adverse Events Form
 4 Subject withdrew consent **OPENLABL**
 5 MD decision **NOCOUNTIN**
 6 Lost to follow-up **UNBLIND**
 98 Other (specify): _____ **UNBLINDT**
UNBLINDR

2 Did the subject permanently discontinue study drug prior to Week 24 visit?

- No
 Yes → If Yes: Date discontinued: ____/____/____
day month year

Reason for discontinuation (check primary reason):

- 1 Subject withdrew consent for study drug
 2 Adverse event (specify): _____ → Complete Adverse Events Form
 3 MD decision
 98 Other (specify): _____

Investigator's Signature

SIGNATUR (TYPE 4)

I have reviewed all of the data recorded on these CRF pages and certify that they are accurate and complete to the best of my knowledge.

Investigator: _____ Date: ____/____/____
Signature of investigator day month year

Follow-up Day 28

FOLLOWUP (TYPE 1)

- 1** Was the Follow-up Day 28 visit made? **FUPVISIT<XYESNO>**
- No → If No: Specify reason: _____ **NOFOLLOW<V:100>**
- Yes → If Yes: Date of visit: ____/____/____ **FOLLOWDT**
day month year
- If Yes: Check only one: Telephone **FUCOMMUM<IPFCOM><I:3>**
 In person
- 2** Were any adverse events reported? **AEEVENTS<XYESNO>**
- No
- Yes → If Yes: Complete Adverse Event form

Subject ID: 02 - _____ - _____
site # subject #

Subject Initials: _____

Death Form		DEATH (TYPE 1)
1 Check only one: <input type="checkbox"/> ₁ Inpatient <input type="checkbox"/> ₂ Outpatient DEATHLOC<IPFLOC><I:3>		
2 Date of death: _____ / _____ / _____ <small>day month year</small> DEATHDT		
3 Cause of death (check only one):		
<input type="checkbox"/> ₁ Pulmonary death → Check only one:	<input type="checkbox"/> ₁ Progression of IPF <input type="checkbox"/> ₂ Embolism PULDEATH<IPFPUL><I:3> <input type="checkbox"/> ₃ Lung infection <input type="checkbox"/> ₄ Lung cancer <input type="checkbox"/> ₉₈ Other (specify): _____ DEATHSP <V:100>	DEATHCAU<IPFCAU><I:3>
<input type="checkbox"/> ₂ Non pulmonary death → Specify: _____ <input type="checkbox"/> ₉₉ Unknown	NONPULSP<V:100>	
Investigator's Signature		SIGNATUR (TYPE 4)
I have reviewed all of the data recorded on these CRF pages and certify that they are accurate and complete to the best of my knowledge.		
Investigator: _____ <small>Signature of investigator</small>	SAME AS P.64	Date: _____ / _____ / _____ <small>day month year</small>

Concomitant Medications Log

SEE ANNOTATION P.8

Subject ID: 02 - _____ - _____
site # subject #

Subject Initials: _____

Concomitant Medications			
Record any new non-study medications taken during the course of the study, including over-the-counter and prescription drugs, and herbal remedies, with the exception of medications taken to treat gastrointestinal disorders, including GERD and Barrett's esophagus (record on GERD Concomitant Medications Log).			
Medication Name	Start Date	Stop Date OR <input checked="" type="checkbox"/> if Continuing	Indication
1	____/____/____ <small>day month year</small>	____/____/____ <small>day month year</small> OR <input type="checkbox"/> Continuing	
2	____/____/____ <small>day month year</small>	____/____/____ <small>day month year</small> OR <input type="checkbox"/> Continuing	
3	____/____/____ <small>day month year</small>	____/____/____ <small>day month year</small> OR <input type="checkbox"/> Continuing	
4	____/____/____ <small>day month year</small>	____/____/____ <small>day month year</small> OR <input type="checkbox"/> Continuing	
5	____/____/____ <small>day month year</small>	____/____/____ <small>day month year</small> OR <input type="checkbox"/> Continuing	
6	____/____/____ <small>day month year</small>	____/____/____ <small>day month year</small> OR <input type="checkbox"/> Continuing	
7	____/____/____ <small>day month year</small>	____/____/____ <small>day month year</small> OR <input type="checkbox"/> Continuing	
8	____/____/____ <small>day month year</small>	____/____/____ <small>day month year</small> OR <input type="checkbox"/> Continuing	
9	____/____/____ <small>day month year</small>	____/____/____ <small>day month year</small> OR <input type="checkbox"/> Continuing	
10	____/____/____ <small>day month year</small>	____/____/____ <small>day month year</small> OR <input type="checkbox"/> Continuing	

MEDLOG (TYPE 4)R



Subject ID: 02 site # _____ subject # _____ Subject Initials: _____

SEE ANNOTATION P.9

GERDLOG (TYPE 4R)

GERD Concomitant Medications

Record any new or changed medications taken to treat gastroesophageal disorders, including GERD and Barrett's esophagus (e.g., proton pump inhibitors, antacids, H₂ receptor antagonists, metoclopramide), taken within 30 days of randomization, including over-the-counter and prescription drugs and herbal remedies.

Medication Name	Dose	Frequency (Check only one)	Start Date	Stop Date OR Check if Continuing	Indication (Check all that apply)
1 <input type="checkbox"/> Check if self-prescribed	<input type="checkbox"/> 1 mg <input type="checkbox"/> 2 tab <input type="checkbox"/> 3 tsp <input type="checkbox"/> 98 Other	<input type="checkbox"/> 1 QD <input type="checkbox"/> 2 BID <input type="checkbox"/> 3 TID <input type="checkbox"/> 4 QID <input type="checkbox"/> 5 PRN (≥ 1/wk) <input type="checkbox"/> 6 PRN (< 1/wk)	day / month / year OR <input type="checkbox"/> 1 Continuing	day / month / year OR <input type="checkbox"/> 1 Continuing	<input type="checkbox"/> IPF <input type="checkbox"/> Heartburn symptoms <input type="checkbox"/> Cough <input type="checkbox"/> Hiatal hernia <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____
2 <input type="checkbox"/> Check if self-prescribed	<input type="checkbox"/> 1 mg <input type="checkbox"/> 2 tab <input type="checkbox"/> 3 tsp <input type="checkbox"/> 98 Other	<input type="checkbox"/> 1 QD <input type="checkbox"/> 2 BID <input type="checkbox"/> 3 TID <input type="checkbox"/> 4 QID <input type="checkbox"/> 5 PRN (≥ 1/wk) <input type="checkbox"/> 6 PRN (< 1/wk)	day / month / year OR <input type="checkbox"/> 1 Continuing	day / month / year OR <input type="checkbox"/> 1 Continuing	<input type="checkbox"/> IPF <input type="checkbox"/> Heartburn symptoms <input type="checkbox"/> Cough <input type="checkbox"/> Hiatal hernia <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____
3 <input type="checkbox"/> Check if self-prescribed	<input type="checkbox"/> 1 mg <input type="checkbox"/> 2 tab <input type="checkbox"/> 3 tsp <input type="checkbox"/> 98 Other	<input type="checkbox"/> 1 QD <input type="checkbox"/> 2 BID <input type="checkbox"/> 3 TID <input type="checkbox"/> 4 QID <input type="checkbox"/> 5 PRN (≥ 1/wk) <input type="checkbox"/> 6 PRN (< 1/wk)	day / month / year OR <input type="checkbox"/> 1 Continuing	day / month / year OR <input type="checkbox"/> 1 Continuing	<input type="checkbox"/> IPF <input type="checkbox"/> Heartburn symptoms <input type="checkbox"/> Cough <input type="checkbox"/> Hiatal hernia <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____
4 <input type="checkbox"/> Check if self-prescribed	<input type="checkbox"/> 1 mg <input type="checkbox"/> 2 tab <input type="checkbox"/> 3 tsp <input type="checkbox"/> 98 Other	<input type="checkbox"/> 1 QD <input type="checkbox"/> 2 BID <input type="checkbox"/> 3 TID <input type="checkbox"/> 4 QID <input type="checkbox"/> 5 PRN (≥ 1/wk) <input type="checkbox"/> 6 PRN (< 1/wk)	day / month / year OR <input type="checkbox"/> 1 Continuing	day / month / year OR <input type="checkbox"/> 1 Continuing	<input type="checkbox"/> IPF <input type="checkbox"/> Heartburn symptoms <input type="checkbox"/> Cough <input type="checkbox"/> Hiatal hernia <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____
5 <input type="checkbox"/> Check if self-prescribed	<input type="checkbox"/> 1 mg <input type="checkbox"/> 2 tab <input type="checkbox"/> 3 tsp <input type="checkbox"/> 98 Other	<input type="checkbox"/> 1 QD <input type="checkbox"/> 2 BID <input type="checkbox"/> 3 TID <input type="checkbox"/> 4 QID <input type="checkbox"/> 5 PRN (≥ 1/wk) <input type="checkbox"/> 6 PRN (< 1/wk)	day / month / year OR <input type="checkbox"/> 1 Continuing	day / month / year OR <input type="checkbox"/> 1 Continuing	<input type="checkbox"/> IPF <input type="checkbox"/> Heartburn symptoms <input type="checkbox"/> Cough <input type="checkbox"/> Hiatal hernia <input type="checkbox"/> Barrett's esophagus <input type="checkbox"/> Other (specify): _____

Site Personnel's Initials: _____



Subject Initials: _____

Subject ID: 02 _____

Site # _____

Subject # _____

Adverse Events		Did the subject have any adverse event(s) after first dose of study medication? <input type="checkbox"/> No <input type="checkbox"/> Yes → If Yes, provide details below:				ADVERSE (TYPE 4)		
AENUMBER<I:3> # Adverse Event	Onset Date	End Date OR Check if Continuing	Hospitalized?	Maximum Intensity	Relationship to Study Drug	Actions Taken with Study Drug	Final Outcome	Was This Event Serious?
AETERM<V:100>	AEONSTDT	AEENDDT day / month / year OR <input type="checkbox"/> Ongoing	AEHOSP<XYESNO> <input type="checkbox"/> No <input type="checkbox"/> Yes	AERELAT<IPFRELR> <input type="checkbox"/> 0 Not a possibility <input type="checkbox"/> 1 Reasonable possibility <input type="checkbox"/> 2 Moderate possibility <input type="checkbox"/> 3 Severe possibility	AERELAT<IPFRELR> <input type="checkbox"/> 0 Not a possibility <input type="checkbox"/> 1 Reasonable possibility <input type="checkbox"/> 2 Moderate possibility <input type="checkbox"/> 3 Severe possibility	AEOUTCM<IPFOUT><I:3> <input type="checkbox"/> 1 Subject died <input type="checkbox"/> 2 Resolved <input type="checkbox"/> 3 Resolved with sequelae <input type="checkbox"/> 4 Unresolved	AEOUTCM<IPFOUT><I:3> <input type="checkbox"/> 1 Subject died <input type="checkbox"/> 2 Resolved <input type="checkbox"/> 3 Resolved with sequelae <input type="checkbox"/> 4 Unresolved	AESERIUS<XYESNO> <input type="checkbox"/> 0 No <input type="checkbox"/> 1 Yes
MEDRA: MEDRCODE<V:8>		AECONTU<XYES> day / month / year OR <input type="checkbox"/> Ongoing	AEINTENS<XINTENS><I:3> <input type="checkbox"/> 0 Not a possibility <input type="checkbox"/> 1 Reasonable possibility <input type="checkbox"/> 2 Moderate possibility <input type="checkbox"/> 3 Severe possibility	AEINTENS<XINTENS><I:3> <input type="checkbox"/> 0 Not a possibility <input type="checkbox"/> 1 Reasonable possibility <input type="checkbox"/> 2 Moderate possibility <input type="checkbox"/> 3 Severe possibility	AEACTION<IPFATX><I:3> <input type="checkbox"/> 1 None <input type="checkbox"/> 2 Interrupted <input type="checkbox"/> 3 Discontinued <input type="checkbox"/> 4 Dosage change	AEACTION<IPFATX><I:3> <input type="checkbox"/> 1 None <input type="checkbox"/> 2 Interrupted <input type="checkbox"/> 3 Discontinued <input type="checkbox"/> 4 Dosage change	AEACTION<IPFATX><I:3> <input type="checkbox"/> 1 None <input type="checkbox"/> 2 Interrupted <input type="checkbox"/> 3 Discontinued <input type="checkbox"/> 4 Dosage change	AEACTION<IPFATX><I:3> <input type="checkbox"/> 1 None <input type="checkbox"/> 2 Interrupted <input type="checkbox"/> 3 Discontinued <input type="checkbox"/> 4 Dosage change
MEDRTEXT<V:100>								
WORKFLOW<V:5>								
CODETM<DATETIME>								
CODER<V:20>								
MATCHES<V:4>								
CONFLVL<V:2>								

Investigator's Signature

I have reviewed all of the data recorded on these CRF pages and certify that they are accurate and complete to the best of my knowledge.

Investigator: _____ Date: _____/_____/_____
SEE ANNOTATION P.64
 Signature of investigator

SIGNATUR (TYPE 4)

*If serious and relationship to study drug is a reasonable possibility or death occurred, please submit Expedited Event (EE) Form.
 *If serious, submit Pfizer Investigator Initiated Research SAE Form.



FORM=MISSED VISIT

NODATA<XYES>

Missed Visit Form

THIS IS A REPEATING PAGE Subject ID: 02 - _____ - _____ Subject Initials: _____
site # subject #

Missed Visit	
<p>Instructions: Complete this form only if the subject missed an entire study visit but is continuing in the trial. Complete one form per missed visit. Do not send the CRF pages for this missed visit.</p>	
MVISITFM (TYPE 4)	
<p>Missed visit (check only one):</p> <p><input type="checkbox"/>₁ Week 1</p> <p><input type="checkbox"/>₂ Week 6</p> <p><input type="checkbox"/>₃ Week 12</p> <p><input type="checkbox"/>₄ Week 13</p> <p><input type="checkbox"/>₅ Week 18</p> <p><input type="checkbox"/>₆ Week 24</p>	MISVIS<IPFMIS><I:3>
<p>Study Coordinator: Submit the original of each form to the DCRI with the next batch of CRF pages. Maintain a copy in the subject's CRF binder in place of the scheduled visit pages.</p>	



FORM=UNSCHEDULED

NODATA<XYES>

Unscheduled Visit

THIS IS A REPEATING PAGE

Subject ID: 02 - _____ - _____
site # subject #

Subject Initials: _____

Unscheduled Visit

Visit date: ____/____/____
day month year

SEE ANNOTATION P. 11

VISTDATE (TYPE 4)

Reason for unscheduled visit (check all that apply): Acute exacerbation follow-up

UNSCHREA<IPFUNS>

Other (specify): UNSCHSP<V:100>

Vital Signs

1 Weight: _____ lb kg

2 Blood pressure: _____/_____
systolic diastolic mm Hg

3 Heart rate: _____ bpm

4 SpO₂: _____ %

SEE ANNOTATION P.11
EXCEPT NO HEIGHT

VITALS (TYPE 4)

Spirometry

Date of assessment: ____/____/____
day month year

SPIROMET (TYPE 4)

1 FEV₁: Actual: ____ . ____ liters

2 FEV₆: Actual: ____ . ____ liters

3 FVC: Actual: ____ . ____ liters

SEE ANNOTATION P.2
NOTE: DO NOT ADD QUESTIONS
4,5,6

Investigator's Signature

I have reviewed all of the data recorded on these CRF pages and certify that they are accurate and complete to the best of my knowledge.

SIGNATUR(TYPE 4)

Investigator: _____
Signature of investigator

SEE ANNOTATION P.64

Date: ____/____/____
day month year

Site Personnel's Initials: _____

WHITE and YELLOW—Duke Clinical Research Institute

• PINK—retain at site



FORM=EXACERBATION

NODATA<XYES>

Acute Exacerbation Identification Report

THIS IS A REPEATING PAGE

Subject ID: 02 - _____ - _____ Subject Initials: _____
site # subject #

ACEXID (TYPE 4)

Acute Exacerbation Identification Complete one form for each episode of acute worsening

- 1** Event description: **EXACRBTN<V:100>**
- 2** Date of event: **EXACRBDT**
day / month / year
- 3** Location of medical care (check only one): ₁ Local physician/clinic ₂ Local ER → If local, specify facility: **LOCAL<V:100>**
EXACRLOC<IPFEXA><I:3> ₃ IPFnet clinic ₄ IPFnet ER
- 4** Research blood obtained? ₀ No ₁ Yes → If Yes: Date: **BLOODDT**
day / month / year
- 5** Research BAL obtained? ₀ No ₁ Yes → If If Yes: Date: **BALDT**
day / month / year
- 6** Outcome (check only one): ₁ Treated as outpatient ₂ Hospitalized, not mechanically ventilated **OUTCOME<IPFWHF><I:3>**
₃ Hospitalized and mechanically ventilated

- 7** During this episode of acute worsening, did the subject have (answer all questions): **all have <XYNUNK>**
- COUGH** Productive cough? ₀ No ₁ Yes ₉₉ Unknown **CONGESTN** Congestion? ₀ No ₁ Yes ₉₉ Unknown
FEVER Fever? ₀ No ₁ Yes ₉₉ Unknown **ACHINESS** Achiness? ₀ No ₁ Yes ₉₉ Unknown
HACHE Headache? ₀ No ₁ Yes ₉₉ Unknown **SORETHROT** Sore throat? ₀ No ₁ Yes ₉₉ Unknown

8 Reports collected: REPORTS<IPFTSX><I:3>	Not Performed	Performed But Unavailable	Performed and Report Attached	Date Performed
1= CT scan(s):	<input type="checkbox"/> TESTPER <IPFPER>	<input type="checkbox"/>	<input type="checkbox"/> TESTDT	<small>day / month / year</small>
2= Chest x-ray*: Prior to event	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> TESTDT	<small>day / month / year</small>
3= <small>Chest x-ray is available</small> Peri-event	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> TESTDT	<small>day / month / year</small>
4= Echocardiogram	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> TESTDT	<small>day / month / year</small>
5= Pulmonary function tests	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> TESTDT	<small>day / month / year</small>
6= Pulse oximetry and vital signs	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> TESTDT	<small>day / month / year</small>
7= Arterial blood gases	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> TESTDT	<small>day / month / year</small>
8= Respiratory cultures: Sputum	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> TESTDT	<small>day / month / year</small>
9= Respiratory cultures Endotracheal aspirate	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> TESTDT	<small>day / month / year</small>
10= Respiratory cultures Lavage	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> TESTDT	<small>day / month / year</small>
11= Bronchoscopy: Report	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> TESTDT	<small>day / month / year</small>
12= Bronchoscopy Cell count	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> TESTDT	<small>day / month / year</small>
13= Bronchoscopy Differential	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> TESTDT	<small>day / month / year</small>
14= Blood cultures	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> TESTDT	<small>day / month / year</small>
15= Clinic/hospital records related to the event	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> TESTDT	<small>day / month / year</small>

9 Date this form and supporting materials sent to DCC for adjudication: _____ / **SENTDT** _____
day / month / year

Site Personnel's Initials: _____



FORM=LOCAL LAB

NODATA<XYES>

Local Lab

THIS IS A REPEATING PAGE

Subject ID: 02 - _____ - _____
site # subject #

LOCLAB (TYPE 4)PS

LABVST<IPFLVT><V:3>

Complete this form only if the Central Lab was not used for this visit.

Check visit: ₁ Screening ₂ Enrollment/Week 0 ₃ Week 1 ₄ Week 6
₅ Week 12 ₆ Week 13 ₇ Week 18 ₈ Week 24

Date of assessment: ____/____/____ LABDT

Labs

LABASSES<IPFLAB><I:3>

1=

1 ALT/SGPT:

₉₇

LABVAL<F:9:3>

₁ U/L
₂ IU/L

LABLLN<F:9:3>

LABULN<F:9:3>

LABND<XYES>

LABUNT <IPFLUT>

2=

2 AST/SGOT:

₉₇

₁ U/L
₂ IU/L

3=

3 Total bilirubin:

₉₇

₃ mg/dL
₄ μmol/L

4=

4 Creatinine

₉₇

₃ mg/dL
₄ μmol/L

5=

5 Hemoglobin (Hgb):

₉₇

₅ g/dL
₆ g/L
₇ mmol/L

6=

6 WBC:

₉₇

₈ 10⁹/L OR
₉ 10³/mm³
₉ /mm³

7=

7 BNP:

₉₇

₁₀ pg/mL
₁₁ ng/L

Site Personnel's Initials: _____

WHITE and YELLOW—Duke Clinical Research Institute

• PINK—retain at site



Acute Exacerbation Adjudication Report

THIS IS A REPEATING PAGE

Subject ID: 02 - site # - subject # ALEXADJ (TYPE 4)

Acute Exacerbation Adjudication

Complete one form for each episode of acute worsening.

1 Event description: EXACRBAJ<V:100>

2 Date of event: day / month / year EXADJDT

The following three criteria will define acute exacerbation (AEx) in subjects with acute worsening of their respiratory condition:	Met	Not Met	Data Insufficient to Judge
3 Clinical (all of the following must be met): <p>A Unexplained worsening of dyspnea or cough within 30 days, triggering unscheduled medical care (e.g., clinic, study visit, hospitalization): CLINA<IPFXAJ><I:3></p> <p>B No clinical suspicious or overt evidence of cardiac event, pulmonary embolism, or deep venous thrombosis to explain acute worsening of dyspnea: CLINB<IPFXAJ><I:3></p> <p>C No pneumothorax: CLINC<IPFXAJ><I:3></p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 Radiologic/Physiologic (only one of the following must be met): <p>A Radiographic change including new ground glass opacity or consolidation on chest X-ray or CT scan: RADLGA<IPFXAJ><I:3></p> <p>B Decline of ≥ 5% in resting room air SpO₂ from last recorded level OR decline of ≥ 8 mm Hg in resting room air PaO₂ from last recorded level: RADLGB<IPFXAJ><I:3></p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5 Microbiologic (all of the following must be met): <p>A No clinical evidence for infection (i.e., absence of grossly purulent sputum, fever > 39°C orally): MICROA<IPFXAJ><I:3></p> <p>B Lack of positive microbiological results from lower respiratory tract defined as: (1) clinically significant bacterial growth on sputum or endotracheal aspirate cultures; (2) quantitative culture by protected brush specimen ≥ 10³ cfu/mL or BAL ≥ 10⁴ cfu/mL; (3) the presence of specific pathogens on stains of any of the above: MICROB<IPFXAJ><I:3></p> <p>C Lack of positive pathogen in blood cultures: MICROC<IPFXAJ><I:3></p>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

6 Final diagnosis (check only one):
 Definite acute exacerbation (all criteria met; no alternative etiology)
 Unclassifiable acute worsening (Insufficient data to evaluate all criteria; no alternative etiology)
 Not acute exacerbation (alternative etiology identified that explains acute worsening) (specify): FNDIGSP<V:100>
 Other (specify):

7 Date of final adjudication: day / month / year FNLADJDT

Signature

Adjudication Committee Members involved in review (check all who apply): All<I:1><XYES>

Robert Kaner
 Hal Collard
 Marvin Schwarz
 Tom Colby
 David Lynch
 Joao de Andrade
 Kevin Anstrom
 Other: ADJMBSRSP<V:100> COLBY LYNCH ANDRADE

I have reviewed all of the data recorded on this CRF page and certify that they are accurate and complete to the best of my knowledge.

Adjudication Committee member: EXADJSIG Date: day / month / year EXCADJDT

Signature of adjudication committee member

Site Personnel's Initials: _____

WHITE and YELLOW—Duke Clinical Research Institute • PINK—retain at site



Biological Sample Collection

THIS IS A REPEATING PAGE

Subject ID: 02 - _____ - _____
site #

Subject Initials: **BIOSAMP (TYPE 4) PS**

Biological Sample Collections

Complete this form for each visit biological sample collection is expected or an Acute Exacerbation, and submit it with the next batch of CRFs. Keep copy in subject file.

1 Check visit: ₁ Enrollment ₂ Week 0 **BIOVISIT <IPFBVT>** ₃ Week 12 ₄ Week 18 ₅ Week 24 ₆ Acute Exacerbation ₇ Other

2 Were any biological samples collected during this visit?

₀ No **BIOCOL <XYESNO>**

₁ Yes → If Yes: Date of collection: _____ / _____ / _____
day month year

Attach form label here:

BIOLABEL <V:15>

Sample	Was sample drawn and appropriately labeled and stored?
1= 001 (0.5 ml Serum) BIOSAMP <IPFBMS>	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes BIOSMDON <XYESNO>
2= 002 (0.5 ml Serum)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
3= 003 (0.5 ml Serum)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
4= 004 (0.5 ml Serum)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
5= 005 (4.0 ml Serum)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
6= 006 (2.0 ml Serum)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
7= 007 (0.5 ml Plasma)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
8= 008 (0.5 ml Plasma)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
9= 009 (0.5 ml Plasma)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
10= 010 (0.5 ml Plasma)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
11= 011 (4.0 ml Plasma)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
12= 012 (2.0 ml Plasma)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
13= 013 013 (8.5 ml DNA) (8.5 ml DNA Enrollment/Acute Exacerbation only)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
14= 014 014 (8.5 ml DNA) (8.5 ml DNA Enrollment/Acute Exacerbation only)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
15= 015 (5.0 ml Urine)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
16= 016 (5.0 ml Urine)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
17= 017 (5.0 ml Urine)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes
18= 018 (5.0 ml Urine)	<input type="checkbox"/> ₀ No <input type="checkbox"/> ₁ Yes

DCRI Forms Management: Make copy for CDI; put original in subject folder