

(Participant Completed)

Please check only one box for each question.

1. In the past 3 days, how much of the time did your asthma keep you from doing your usual activities at work, school, or at home? (1000)
₀ None of the time
₁ A little of the time
₂ Some of the time
₃ Most of the time
₄ All of the time
2. During the past 3 days, how often have you had asthma symptoms? Asthma symptoms include wheezing, coughing, shortness of breath, chest tightness or pain, phlegm or mucus. (1010)
₀ Not at all
₁ Once per day
₂ 2-3 times per day
₃ 4-5 times per day
₄ 6 or more times per day
3. During the past 3 days, how often have you used your rescue inhaler or nebulizer medication (such as albuterol)? (1020)
₀ Not at all
₁ Once per day
₂ 2-3 times per day
₃ 4-5 times per day
₄ 6 or more times per day
4. During the past 3 days, how many total times did your asthma symptoms wake you up from sleep? Asthma symptoms include wheezing, coughing, shortness of breath, chest tightness or pain, phlegm or mucus. (1030)
₀ Not at all
₁ 1 time in the last 3 days
₂ 2-3 times in the last 3 days
₃ 4-5 times in the last 3 days
₄ ≥6 times in the last 3 days
5. How would you rate the amount of impairment you have experienced due to your asthma in the past 3 days? (1040)
₀ No impairment
₁ Mild impairment
₂ Moderate impairment
₃ Severe impairment
₄ Very severe impairment
6. How stressed or frightened were you by your asthma symptoms in the past 3 days? (1050)
₀ Not at all
₁ Mildly
₂ Moderately
₃ Severely
₄ Very severely



7. Why do you think your asthma was worse in the past 3 days compared to what is normal for you? Pick the main reason. There is no right or wrong answer. We want your opinion.
- (1060) _0 I have not been worse over the past 3 days. My asthma symptoms have been usual.
- _1 Common cold
- _2 Allergies
- _3 Pollution or chemical irritant
- _4 Too little asthma maintenance medication
- _5 Exercise
- _6 Other (specify)

(1060D) _____

Participant Source Documentation

Participant Initials: _____ (1070)

Date: ____ / ____ / 20 ____ (1080)
MM DD YYYY

Time: ____ : ____ (based on a 24-hour clock) (1090)



Part. ID: - -

Visit:

Part. Initials:

Visit Date: / /

Coordinator ID:

ASTHMA CONTROL QUESTIONNAIRE (ACQ)

Modified for AsthmaNet's BARD Study with
permission by Professor Elizabeth Juniper

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DECEMBER 2002



Please answer questions 1 - 6.

Circle the number of the response that best describes how you have been during the past week.

- | | |
|--|--|
| <p>1. On average, during the past week, how often were you woken by your asthma during the night?</p> | <p>0 Never
 1 Hardly ever
 2 A few times
 3 Several times
 4 Many times
 5 A great many times
 6 Unable to sleep because of asthma</p> |
| <p>2. On average, during the past week, how bad were your asthma symptoms when you woke up in the morning?</p> | <p>0 No symptoms
 1 Very mild symptoms
 2 Mild symptoms
 3 Moderate symptoms
 4 Quite severe symptoms
 5 Severe symptoms
 6 Very severe symptoms</p> |
| <p>3. In general, during the past week, how limited were you in your activities because of your asthma?</p> | <p>0 Not limited at all
 1 Very slightly limited
 2 Slightly limited
 3 Moderately limited
 4 Very limited
 5 Extremely limited
 6 Totally limited</p> |
| <p>4. In general, during the past week, how much shortness of breath did you experience because of your asthma?</p> | <p>0 None
 1 A very little
 2 A little
 3 A moderate amount
 4 Quite a lot
 5 A great deal
 6 A very great deal</p> |



- | | | | |
|----|---|---|--|
| 5. | In general, during the past week, how much of the time did you wheeze ? | 0 | Not at all |
| | | 1 | Hardly any of the time |
| | | 2 | A little of the time |
| | | 3 | A moderate amount of the time |
| | | 4 | A lot of the time |
| | | 5 | Most of the time |
| | | 6 | All the time |
| | | | |
| 6. | On average, during the past week, how many puffs/inhalations of short-acting bronchodilator (eg. Ventolin/Bricanyl) have you used each day?
<i>(If you are not sure how to answer this question, please ask for help)</i> | 0 | None |
| | | 1 | 1 - 2 puffs/inhalations most days |
| | | 2 | 3 - 4 puffs/inhalations most days |
| | | 3 | 5 - 8 puffs/inhalations most days |
| | | 4 | 9 - 12 puffs/inhalations most days |
| | | 5 | 13 - 16 puffs/inhalations most days |
| | | 6 | More than 16 puffs/inhalations most days |

To be completed by a member of the clinic staff

- | | | | |
|----|--|---|-----------------|
| 7. | FEV ₁ pre-bronchodilator: | 0 | > 95% predicted |
| | | 1 | 95 - 90% |
| | FEV ₁ predicted: | 2 | 89 - 80% |
| | | 3 | 79 - 70% |
| | FEV ₁ %predicted: | 4 | 69 - 60% |
| | (Record actual values on the dotted lines and score the FEV ₁ % predicted in the next column) | 5 | 59 - 50% |
| | | 6 | < 50% predicted |



Asthma Control Test™

This survey was designed to help you describe your asthma and how your asthma affects how you feel and what you are able to do. To complete it, please mark an in the one box that best describes your answer.

1. In the **past 4 weeks**, how much of the time did your **asthma** keep you from getting as much done at work, school or at home?

All of the time	Most of the time	Some of the time	A little of the time	None of the time
<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

2. During the **past 4 weeks**, how often have you had shortness of breath?

More than once a day	Once a day	3 to 6 times a week	Once or twice a week	Not at all
<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

3. During the **past 4 weeks**, how often did your **asthma** symptoms (wheezing, coughing, shortness of breath, chest tightness or pain) wake you up at night or earlier than usual in the morning?

4 or more nights a week	2 to 3 nights a week	Once a week	Once or Twice	Not at all
<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

4. During the **past 4 weeks**, how often have you used your rescue inhaler or nebulizer medication (such as Albuterol, Ventolin®, Proventil®, Maxair® or Primatene Mist®)?

3 or more times per day	1 or 2 times per day	2 or 3 times per week	Once a week or less	Not at all
<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

5. How would you rate your **asthma** control during the **past 4 weeks**?

Not Controlled at all	Poorly Controlled	Somewhat Controlled	Well Controlled	Completely Controlled
<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅

To score the ACT

Each response to the 5 ACT questions has a point value from a 1 to 5 as shown on the form. To score the ACT, add up the point values for each response to all five questions.

If your total point value is 19 or below, your asthma may not be well-controlled. Be sure to talk to your healthcare professional about your asthma score.

Take this survey to your healthcare professional and talk about your asthma treatment plan.

(Coordinator completed)

Part. ID: _____ - _____ - _____

Part. Initials: _____

Visit: _____

Complete this log if the participant experienced any clinical adverse events (including intercurrent events) since the last visit. Check the "None" box if the participant has not experienced any clinical adverse events since the last visit.

None

* Please complete a Serious Adverse Event Reporting (SERIOUS) form. ** Please complete the appropriate Change in Medications form. *** Please complete the Concomitant Medications (CMED) form.		2. DATE STARTED (Top Line) (1020)	4. ONGOING at current visit (1040)	5. TYPE (1050)	6. SEVERITY (1060)	7. SERIOUS (1070)	8. LIKELIHOOD OF RELATIONSHIP TO STUDY DRUG(S) (1080)	9. CHANGE IN STUDY DRUG(S) (1090)	10. OUTCOME (Skip if #3 is missing.) (1100)	11. TREATMENT REQUIRED (1110)	12. ONGOING at final visit (1120)
DESCRIPTION OF ADVERSE EVENT (1000)	1. ICD9 CODE (1010)	3. DATE STOPPED (Bottom Line) (1030) MONTH / DAY / YEAR		1 - INTERMITTENT 2 - CONTINUOUS	1 - MILD 2 - MODERATE 3 - SEVERE	1 - YES* 0 - NO	1 - NONE 2 - UNLIKELY (REMOTE) 3 - POSSIBLE 4 - PROBABLE	1 - UNCHANGED 2 - ALTERED**	1 - COMPLETELY RECOVERED 2 - RECOVERED, BUT WITH LASTING EFFECTS 3 - DEATH*	1 - NONE 2 - MEDICATION*** 3 - HOSPITALIZATION* 4 - OTHER	
---	---	__ / __ / 20__	<input type="checkbox"/> ₁								<input type="checkbox"/> ₁
---	---	__ / __ / 20__	<input type="checkbox"/> ₁								<input type="checkbox"/> ₁
---	---	__ / __ / 20__	<input type="checkbox"/> ₁								<input type="checkbox"/> ₁
---	---	__ / __ / 20__	<input type="checkbox"/> ₁								<input type="checkbox"/> ₁
---	---	__ / __ / 20__	<input type="checkbox"/> ₁								<input type="checkbox"/> ₁



Part. ID: - -

Visit:

Part. Initials:

Visit Date: / /

Coordinator ID:

ASTHMA QUALITY OF LIFE QUESTIONNAIRE WITH STANDARDISED ACTIVITIES (AQLQ(S))

SELF-ADMINISTERED (≥12 years)

Modified for AsthmaNet's BARD Study with
permission by Professor Elizabeth Juniper

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APRIL 2008



ASTHMA QUALITY OF LIFE QUESTIONNAIRE (S)

SELF-ADMINISTERED

Part. ID: - -

Part. Initials:

Visit:

Visit Date: / /

Coordinator ID: Page 1 of 5

Please complete **all** questions by circling the number that best describes how you have been during the **last 2 weeks as a result of your asthma.**

HOW **LIMITED** HAVE YOU BEEN **DURING THE LAST 2 WEEKS** IN THESE ACTIVITIES **AS A RESULT OF YOUR ASTHMA?**

	Totally Limited	Extremely Limited	Very Limited	Moderate Limitation	Some Limitation	A Little Limitation	Not at all Limited
1. STRENUOUS ACTIVITIES (such as hurrying, exercising, running up stairs, sports)	1	2	3	4	5	6	7
2. MODERATE ACTIVITIES (such as walking, housework, gardening, shopping, climbing stairs)	1	2	3	4	5	6	7
3. SOCIAL ACTIVITIES (such as talking, playing with pets/children, visiting friends/relatives)	1	2	3	4	5	6	7
4. WORK/SCHOOL-RELATED ACTIVITIES* (tasks you have to do at work/in school)	1	2	3	4	5	6	7
5. SLEEPING	1	2	3	4	5	6	7

*If you are not employed or self-employed, these should be tasks you have to do most days.

HOW MUCH **DISCOMFORT OR DISTRESS** HAVE YOU FELT **DURING THE LAST 2 WEEKS?**

	A Very Great Deal	A Great Deal	A Good Deal	Moderate Amount	Some	Very Little	None
6. How much discomfort or distress have you felt over the last 2 weeks as a result of CHEST TIGHTNESS?	1	2	3	4	5	6	7



ASTHMA QUALITY OF LIFE QUESTIONNAIRE (S)

SELF-ADMINISTERED

Part. ID: - -

Part. Initials:

Visit:

Visit Date: / /

Coordinator ID:

IN GENERAL, **HOW MUCH OF THE TIME DURING THE LAST 2 WEEKS DID YOU:**

	All of the Time	Most of the Time	A Good Bit of the Time	Some of the Time	A Little of the Time	Hardly Any of the Time	None of the Time
7. Feel CONCERNED ABOUT HAVING ASTHMA?	1	2	3	4	5	6	7
8. Feel SHORT OF BREATH as a result of your asthma?	1	2	3	4	5	6	7
9. Experience asthma symptoms as a RESULT OF BEING EXPOSED TO CIGARETTE SMOKE?	1	2	3	4	5	6	7
10. Experience a WHEEZE in your chest?	1	2	3	4	5	6	7
11. Feel you had to AVOID A SITUATION OR ENVIRONMENT BECAUSE OF CIGARETTE SMOKE?	1	2	3	4	5	6	7

HOW MUCH **DISCOMFORT OR DISTRESS** HAVE YOU FELT DURING THE LAST 2 WEEKS?

	A Very Great Deal	A Great Deal	A Good Deal	Moderate Amount	Some	Very Little	None
12. How much discomfort or distress have you felt over the last 2 weeks as a result of COUGHING?	1	2	3	4	5	6	7

IN GENERAL, **HOW MUCH OF THE TIME DURING THE LAST 2 WEEKS DID YOU:**

	All of the Time	Most of the Time	A Good Bit of the Time	Some of the Time	A Little of the Time	Hardly Any of the Time	None of the Time
13. Feel FRUSTRATED as a result of your asthma?	1	2	3	4	5	6	7
14. Experience a feeling of CHEST HEAVINESS?	1	2	3	4	5	6	7



ASTHMA QUALITY OF LIFE QUESTIONNAIRE (S)

SELF-ADMINISTERED

Part. ID: - -

Part. Initials:

Visit:

Visit Date: / /

Coordinator ID:

IN GENERAL, **HOW MUCH OF THE TIME DURING THE LAST 2 WEEKS** DID YOU:

	All of the Time	Most of the Time	A Good Bit of the Time	Some of the Time	A Little of the Time	Hardly Any of the Time	None of the Time
15. Feel CONCERNED ABOUT THE NEED TO USE MEDICATION for your asthma?	1	2	3	4	5	6	7
16. Feel the need to CLEAR YOUR THROAT?	1	2	3	4	5	6	7
17. Experience asthma symptoms as a RESULT OF BEING EXPOSED TO DUST?	1	2	3	4	5	6	7
18. Experience DIFFICULTY BREATHING OUT as a result of your asthma?	1	2	3	4	5	6	7
19. Feel you had to AVOID A SITUATION OR ENVIRONMENT BECAUSE OF DUST?	1	2	3	4	5	6	7
20. WAKE UP IN THE MORNING WITH ASTHMA SYMPTOMS?	1	2	3	4	5	6	7
21. Feel AFRAID OF NOT HAVING YOUR ASTHMA MEDICATION AVAILABLE?	1	2	3	4	5	6	7
22. Feel bothered by HEAVY BREATHING?	1	2	3	4	5	6	7
23. Experience asthma symptoms as a RESULT OF THE WEATHER OR AIR POLLUTION OUTSIDE?	1	2	3	4	5	6	7
24. Were you WOKEN AT NIGHT by your asthma?	1	2	3	4	5	6	7
25. AVOID OR LIMIT GOING OUTSIDE BECAUSE OF THE WEATHER OR AIR POLLUTION?	1	2	3	4	5	6	7



ASTHMA QUALITY OF LIFE QUESTIONNAIRE (S)

SELF-ADMINISTERED

Part. ID: - -

Part. Initials:

Visit:

Visit Date: / /

Coordinator ID:

IN GENERAL, **HOW MUCH OF THE TIME DURING THE LAST 2 WEEKS** DID YOU:

	All of the Time	Most of the Time	A Good Bit of the Time	Some of the Time	A Little of the Time	Hardly Any of the Time	None of the Time
26. Experience asthma symptoms as a RESULT OF BEING EXPOSED TO STRONG SMELLS OR PERFUME?	1	2	3	4	5	6	7
27. Feel AFRAID OF GETTING OUT OF BREATH?	1	2	3	4	5	6	7
28. Feel you had to AVOID A SITUATION OR ENVIRONMENT BECAUSE OF STRONG SMELLS OR PERFUME?	1	2	3	4	5	6	7
29. Has your asthma INTERFERED WITH GETTING A GOOD NIGHT'S SLEEP?	1	2	3	4	5	6	7
30. Have a feeling of FIGHTING FOR AIR?	1	2	3	4	5	6	7

HOW LIMITED HAVE YOU BEEN **DURING THE LAST 2 WEEKS?**

	Severely Limited Most Not Done	Very Limited	Moderately Limited Several Not Done	Slightly Limited	Very Slightly Limited Very Few Not Done	Hardly Limited At All	Not Limited Have Done All Activities
31. Think of the OVERALL RANGE OF ACTIVITIES that you would have liked to have done during the last 2 weeks. How much has your range of activities been limited by your asthma?	1	2	3	4	5	6	7



ASTHMA QUALITY OF LIFE QUESTIONNAIRE (S)

SELF-ADMINISTERED

Part. ID: - -

Part. Initials:

Visit:

Visit Date: / /

Coordinator ID:

HOW LIMITED HAVE YOU BEEN DURING THE LAST 2 WEEKS?

	Totally Limited	Extremely Limited	Very Limited	Moderate Limitation	Some Limitation	A Little Limitation	Not at all Limited
32. Overall, among ALL THE ACTIVITIES that you have done during the last 2 weeks, how limited have you been by your asthma?	1	2	3	4	5	6	7

DOMAIN CODE:

Symptoms: 6, 8, 10, 12, 14, 16, 18, 20, 22, 24, 29, 30

Activity Limitation: 1, 2, 3, 4, 5, 11, 19, 25, 28, 31, 32

Emotional Function: 7, 13, 15, 21, 27

Environmental Stimuli: 9, 17, 23, 26



(Coordinator Completed by Interview)

ASTHMA HISTORY

1. Approximately how old were you when chest symptoms suggesting asthma first appeared? (Enter '00' if participant was under 1 year.) (1000) ____ years

Did these symptoms appear immediately after or as a result of:

1a. a respiratory infection such as a cold or pneumonia? (1020) ₁ Yes ₀ No ₈ Don't Know

1b. an occupational or job change? (1030) ₁ Yes ₀ No ₈ Don't Know

1c. a household move? (1040) ₁ Yes ₀ No ₈ Don't Know

➔ If participant is male, skip to Q2.

1d. a pregnancy? (1050) ₁ Yes ₀ No ₈ Don't Know

1e. a hormonal change (e.g., menopause)? (1060) ₁ Yes ₀ No ₈ Don't Know

2. How old were you when a doctor first diagnosed you with asthma? (1070) ____ years

3. Have any of your immediate blood relatives been told by a physician that they have asthma? (Check the 'N/A' box if the participant does not have biological siblings or children.)

3a. Mother (1090) ₁ Yes ₀ No ₈ Don't Know

3b. Father (1100) ₁ Yes ₀ No ₈ Don't Know

3c. Brother(s) or Sister(s) (1110) ₁ Yes
₀ No
₈ Don't Know
₉ N/A

3d. Child(ren) (1120) ₁ Yes
₀ No
₈ Don't Know
₉ N/A



ASTHMA SYMPTOMS

4. How do you categorize your asthma symptoms throughout the course of the year? (1130) ₁ Relatively the same all year
→ If 'Vary by season(s)', do your asthma symptoms worsen during the... ₂ Vary by season(s)
- 4a. Winter? (1140) ₁ Yes ₀ No
- 4b. Spring? (1150) ₁ Yes ₀ No
- 4c. Summer? (1160) ₁ Yes ₀ No
- 4d. Fall? (1170) ₁ Yes ₀ No
5. In the last 12 months, how many... (Enter '00' if none)
- 5a. Asthma episodes have you had that required emergency care or an unscheduled office visit? (1180) ____ episodes
- 5b. Overnight hospitalizations have you had due to asthma? (1190) ____ hospitalizations
- 5c. Courses of systemic corticosteroid therapy (e.g., prednisone, IM, IV) for asthma have you taken? (1200) ____ courses
- 5d. Days of work, school, or housework have you missed due to asthma? (1210) ____ days
→ If Q5d > 0, complete Q5di.
- 5di. In the past 3 months, how many days of work, school, or housework have you missed due to asthma? (1220) ____ days
6. Have you ever been admitted to an intensive care unit for asthma? (1250) ₁ Yes ₀ No
→ If **NO**, skip to Q7.
- 6a. How many times have you been admitted to an intensive care unit for asthma? (1260) ____
- 6b. Have you ever had invasive mechanical ventilation? (1270) ₁ Yes ₀ No ₈ Don't Know
- 6c. Have you ever had non-invasive mechanical ventilation? (1280) ₁ Yes ₀ No ₈ Don't Know



ASTHMA TRIGGERS

7. Do any of the following currently provoke your asthma?

- | | | | | |
|--|--------|---|--|--|
| 7a. Exercise/Sports/Play | (1290) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7b. Menstrual cycle
(If participant is male or a postmenopausal female, leave blank.) | (1300) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7c. Aspirin or non-steroidal anti-inflammatory drugs (e.g., Aleve, Motrin) | (1310) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7d. Respiratory infections (e.g., colds) | (1320) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7e. Irritants (e.g., pollution, odors, perfumes, chemicals, household cleaners) | (1330) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7f. Weather conditions (e.g., change in weather, humidity) | (1340) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7g. Exposure to cold air | (1350) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7h. Emotional factors (e.g., stress, laughing) | (1360) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7i. Tobacco smoke | (1370) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7j. Food additives/preservatives (e.g., MSG, sulfites) | (1380) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7k. Allergies (e.g., dust, animals, pollens) | (1390) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7l. Other | (1400) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | |

If **YES**, please specify

(1400D) _____

ALLERGIES

8. To which of the following did a doctor or other health practitioner say you were allergic?

- | | | | | |
|---------------|--------|---|--|--|
| 8a. Medicines | (1410) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
|---------------|--------|---|--|--|

If **YES**, please list:

(1410D) _____



8b. Foods (1420) ₁ Yes ₀ No ₈ Don't Know

If **YES**, please list:

(1420D) _____

8c. Things you breathe in or are exposed to (e.g., dust, pollens, molds, animal fur, feathers, dander) (1430) ₁ Yes ₀ No ₈ Don't Know

8d. Stinging insects such as bees or wasps (1440) ₁ Yes ₀ No ₈ Don't Know

8e. Latex (1450) ₁ Yes ₀ No ₈ Don't Know

8f. Other (1460) ₁ Yes ₀ No

If **YES**, describe:

(1460D) _____

9. Have you ever had eczema / atopic dermatitis (i.e., prolonged itchy, scaly skin rash)? (1470) ₁ Yes ₀ No ₈ Don't Know

9a. If **YES**, was your eczema diagnosed by a doctor? (1500) ₁ Yes ₀ No

10. Have any of your immediate blood relatives been told by a physician that they have allergies/eczema/hay fever? (Check the 'N/A' box if the participant does not have biological siblings or children.)

10a. Mother (1570) ₁ Yes ₀ No ₈ Don't Know

10b. Father (1580) ₁ Yes ₀ No ₈ Don't Know

10c. Brother(s) or Sister(s) (1590) ₁ Yes
₀ No
₈ Don't Know
₉ N/A

10d. Child(ren) (1600) ₁ Yes
₀ No
₈ Don't Know
₉ N/A



SMOKING HISTORY

11. Did you grow up in a household where you were exposed to tobacco smoke? (1730) ₁ Yes ₀ No
12. Do you currently smoke cigarettes or other tobacco products? (1740) ₁ Yes ₀ No
→ If **NO**, skip to Q13.
- 12a. Record smoking history in pack-years*. (1750) ____ . ____ pack-years

→ **SKIP TO Q15.**

*Pack-years = # packs per day X # years smoked at that quantity (1 pack contains 20 cigarettes)

13. Were you ever a smoker of cigarettes or other tobacco products? (1760) ₁ Yes ₀ No
→ If **NO**, skip to Q14.
- 13a. Record smoking history in pack-years*. (1770) ____ . ____ pack-years

*Pack-years = # packs per day X # years smoked at that quantity (1 pack contains 20 cigarettes)

14. Do you currently live in a household where you are exposed to tobacco smoke? (1780) ₁ Yes ₀ No

VAPING AND HOOKAH HISTORY

15. Do you currently vape (i.e., use nicotine or any other substances in an e-cigarette device) or use a hookah (waterpipe)? (1790) ₁ Yes ₀ No
→ If **NO**, skip to Q16.
- 15a. How frequently do you vape or use a hookah? (1800) ₁ Infrequently (less than one day a month)
→ If **INFREQUENTLY** or **OCCASIONALLY**, skip to Q17. ₂ Occasionally (at least one day a month but less than one day a week)
₃ Weekly (at least one day a week but not daily)
₄ Daily
- 15ai. How many days a week do you vape or use a hookah? (1810) ____ days
- 15aai. How many times a day do you vape or use a hookah? (1820) ____ times



15aiii. How many years have you vaped or used a hookah? (1830) ____ years

→ **SKIP TO Q17.**

16. Have you ever vaped or used a hookah in the past? (1840) ₁ Yes ₀ No
→ If **NO**, skip to Q17.

16a. Approximately how many years did you vape or use a hookah? (1850) ____ years

16b. When was the last time that you vaped or used a hookah? _____ / _____ / _____
(1860) (1870) (1880)

17. Do you currently live in a household where you are exposed to others vaping or using a hookah? (1890) ₁ Yes ₀ No

18. Do you spend time in social settings (e.g., parties, clubs, study groups, etc.) where you are exposed to others vaping or using a hookah? (1900) ₁ Yes ₀ No

COMMENTS: (6000)



(Coordinator Completed by Interview)

ASTHMA HISTORY

1. Approximately how old was the participant when chest symptoms suggesting asthma first appeared? (1000-1010) ____ years ____ months
2. Has a doctor diagnosed the participant with asthma? (1065) ₁ Yes ₀ No
- 2a. If **YES**, how old was the participant when a doctor first diagnosed him/her with asthma? (1070-1080) ____ years ____ months
3. Have any of the participant's immediate blood relatives been told by a physician that they have asthma? (Check the 'N/A' box if the participant does not have biological siblings or children.)
- 3a. Mother (1090) ₁ Yes ₀ No ₈ Don't Know
- 3b. Father (1100) ₁ Yes ₀ No ₈ Don't Know
- 3c. Brother(s) or Sister(s) (1110) ₁ Yes
₀ No
₈ Don't Know
₉ N/A
- 3d. Child(ren) (1120) ₁ Yes
₀ No
₈ Don't Know
₉ N/A

ASTHMA SYMPTOMS

4. How do you categorize the participant's asthma symptoms throughout the course of the year?
→ If 'Vary by season(s)', do the participant's asthma symptoms worsen during the...
- 4a. Winter? (1140) ₁ Yes ₀ No
- 4b. Spring? (1150) ₁ Yes ₀ No
- 4c. Summer? (1160) ₁ Yes ₀ No
- 4d. Fall? (1170) ₁ Yes ₀ No



5. In the last 12 months, how many... *(Enter '00' if none)*
- 5a. Asthma episodes has the participant had that required emergency care or an unscheduled office visit? (1180) ____ episodes
- 5b. Overnight hospitalizations has the participant had due to asthma? (1190) ____ hospitalizations
- 5c. Courses of systemic corticosteroid therapy (e.g., prednisone, IM, IV) for asthma has the participant taken? (1200) ____ courses
- 5d. Days of work, school/daycare, or housework has the participant missed due to asthma?
➔ If Q5d > 0, complete Q5di. (1210) ____ days
- 5di. In the past 3 months, how many days of work, school/daycare, or housework has the participant missed due to asthma? (1220) ____ days
- 5e. Days of work, school, or housework has the participant's parent/guardian or another caretaker missed because of the participant's asthma symptoms?
➔ If Q5e > 0, complete Q5ei. (1230) ____ days
- 5ei. In the past 3 months, how many days of work, school, or housework has the participant's parent/guardian or another caretaker missed due to asthma? (1240) ____ days
6. Has the participant ever been admitted to an intensive care unit for asthma? (1250) ₁ Yes ₀ No
➔ If **NO**, skip to Q7.
- 6a. How many times has the participant been admitted to an intensive care unit for asthma? (1260) ____
- 6b. Has the participant ever had invasive mechanical ventilation? (1270) ₁ Yes ₀ No ₈ Don't Know
- 6c. Has the participant ever had non-invasive mechanical ventilation? (1280) ₁ Yes ₀ No ₈ Don't Know



ASTHMA TRIGGERS

7. Do any of the following currently provoke the participant's asthma?

- | | | | | |
|---|--------|---|--|--|
| 7a. Exercise/Sports/Play | (1290) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7b. Menstrual cycle
<i>(If participant is male or a pre-menarche female, leave blank.)</i> | (1300) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7c. Aspirin or non-steroidal anti-inflammatory drugs (e.g., Aleve, Motrin) | (1310) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7d. Respiratory infections (e.g., colds) | (1320) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7e. Irritants (e.g., pollution, odors, perfumes, chemicals, household cleaners) | (1330) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7f. Weather conditions (e.g., change in weather, humidity) | (1340) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7g. Exposure to cold air | (1350) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7h. Emotional factors (e.g., stress, laughing) | (1360) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7i. Tobacco smoke | (1370) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7j. Food additives/preservatives (e.g., MSG, sulfites) | (1380) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7k. Allergies (e.g., dust, animals, pollens) | (1390) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
| 7l. Other | (1400) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | |

If **YES**, please specify

(1400D) _____

ALLERGIES

8. To which of the following did a doctor or other health practitioner say the participant was allergic?

- | | | | | |
|---------------|--------|---|--|--|
| 8a. Medicines | (1410) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₈ Don't Know |
|---------------|--------|---|--|--|

If **YES**, please list:

(1410D) _____



8b. Foods (1420) ₁ Yes ₀ No ₈ Don't Know

If **YES**, please list:

(1420D) _____

8c. Things the participant breathes in or is exposed to (e.g., dust, pollens, molds, animal fur, feathers, dander) (1430) ₁ Yes ₀ No ₈ Don't Know

8d. Stinging insects such as bees or wasps (1440) ₁ Yes ₀ No ₈ Don't Know

8e. Latex (1450) ₁ Yes ₀ No ₈ Don't Know

8f. Other (1460) ₁ Yes ₀ No

If **YES**, describe:

(1460D) _____

9. Has the participant ever had eczema / atopic dermatitis (i.e., prolonged itchy, scaly skin rash)?
→ If **NO** or **DON'T KNOW**, skip to Q10. (1470) ₁ Yes ₀ No ₈ Don't Know

9a. At what age did the participant FIRST have eczema? (1480-1490) ____ years ____ months

9b. Was the eczema diagnosed by a doctor? (1500) ₁ Yes ₀ No

9c. During the past 12 months, how would you generally describe the participant's eczema?
→ If **NONE**, skip to Q10. (1510) ₁ None
₂ Mild
₃ Moderate
₄ Severe

9d. Which parts of the participant's body were ever affected by eczema in the past 12 months?

9di. Head (1520) ₁ Yes ₀ No

9dii. Arms/Hands (1530) ₁ Yes ₀ No

9diii. Trunk (mid-section or torso) (1540) ₁ Yes ₀ No

9div. Legs/Feet (1550) ₁ Yes ₀ No

9dv. Other (1560) ₁ Yes ₀ No



If **YES**, please specify

(1560D) _____

10. Have any of the participant's immediate blood relatives been told by a physician that they have allergies/eczema/hay fever?

(Check the 'N/A' box if the participant does not have biological siblings or children.)

10a. Mother

(1570) ₁ Yes ₀ No ₈ Don't Know

10b. Father

(1580) ₁ Yes ₀ No ₈ Don't Know

10c. Brother(s) or Sister(s)

(1590) ₁ Yes
₀ No
₈ Don't Know
₉ N/A

10d. Child(ren)

(1600) ₁ Yes
₀ No
₈ Don't Know
₉ N/A

SMOKING AND VAPING HISTORY

11. Did the participant's mother smoke tobacco or use a hookah (waterpipe) while she was pregnant with the participant?

(1610) ₁ Yes ₀ No ₈ Don't Know

➔ If **NO** or **DON'T KNOW**, skip to Q13.

12. During which part(s) of the pregnancy did the participant's mother smoke tobacco or use a hookah?

12a. First 3 months

(1620) ₁ Yes ₀ No ₈ Don't Know

12b. Middle 3 months

(1630) ₁ Yes ₀ No ₈ Don't Know

12c. Last 3 months

(1640) ₁ Yes ₀ No ₈ Don't Know

13. Did the participant's mother vape (i.e. use nicotine or any other substance in an e-cigarette device) while she was pregnant with the participant?

(1642) ₁ Yes ₀ No ₈ Don't Know

➔ If **NO** or **DON'T KNOW**, skip to Q15.



14. During which part(s) of the pregnancy did the participant's mother vape?
- 14a. First 3 months (1644) ₁ Yes ₀ No ₈ Don't Know
- 14b. Middle 3 months (1646) ₁ Yes ₀ No ₈ Don't Know
- 14c. Last 3 months (1648) ₁ Yes ₀ No ₈ Don't Know
15. Between the time the participant was born and when he/she turned 5 years of age, or present if less than 5 years of age, were there any tobacco smokers or users of a hookah in any household in which the participant spent time? (Include any households the participant regularly spent time in.)
 → If **NO** or **DON'T KNOW**, skip to Q16.
- 15a. Did the participant's mother (or stepmother or female guardian) smoke or use a hookah? (1660) ₁ Yes ₀ No ₈ Don't Know
- 15b. Did the participant's father (or stepfather or male guardian) smoke or use a hookah? (1670) ₁ Yes ₀ No ₈ Don't Know
- 15c. Were there any other smokers or users of a hookah in the household? (1680) ₁ Yes ₀ No ₈ Don't Know
16. At the present time, are there any tobacco smokers or users of a hookah in any household in which the participant spends time? (Include any households the participant regularly spends time in.)
 → If **NO** or **DON'T KNOW**, skip to Q17.
- 16a. Does the participant's mother (or stepmother or female guardian) smoke or use a hookah? (1700) ₁ Yes ₀ No ₈ Don't Know
- 16b. Does the participant's father (or stepfather or male guardian) smoke or use a hookah? (1710) ₁ Yes ₀ No ₈ Don't Know
- 16c. Are there any other smokers or users of a hookah in the household? (1720) ₁ Yes ₀ No ₈ Don't Know
17. Between the time the participant was born and when he/she turned 5 years of age, or present if less than 5 years of age, were there any individuals who vaped in any household in which the participant spent time? (Include any households the participant regularly spent time in.)
 → If **NO** or **DON'T KNOW**, skip to Q18.



- 17a. Did the participant's mother (or stepmother or female guardian) vape? (1740) ₁ Yes ₀ No ₈ Don't Know
- 17b. Did the participant's father (or stepfather or male guardian) vape? (1750) ₁ Yes ₀ No ₈ Don't Know
- 17c. Were there any other individuals who vaped in the household? (1760) ₁ Yes ₀ No ₈ Don't Know
18. At the present time, are there any individuals who vape in any household in which the participant spends time? (Include any households the participant regularly spends time in.) (1770) ₁ Yes ₀ No ₈ Don't Know
➔ If **NO or DON'T KNOW, STOP HERE.**
- 18a. Does the participant's mother (or stepmother or female guardian) vape? (1780) ₁ Yes ₀ No ₈ Don't Know
- 18b. Does the participant's father (or stepfather or male guardian) vape? (1790) ₁ Yes ₀ No ₈ Don't Know
- 18c. Are there any other individuals who vape in the household? (1800) ₁ Yes ₀ No ₈ Don't Know

COMMENTS: (6000)



(Coordinator Completed)

Height and Weight

The participant should remove shoes and heavy articles of clothing for these measurements.

1. Height (1000) ____ cm
2. Weight (1010) ____ . ____ kg

Clinic Use Only

Body Mass Index (BMI) = $Q2 / (Q1/100)^2$

BMI = ____ . ____

Circumference Measurements

The participant should be standing, facing forward, with shoulders relaxed for these measurements. Use a plastic measuring tape.

3. Waist circumference (1020) ____ . ____ cm
→ Measure at the narrowest circumference between the bottom of the ribcage and the top of the iliac crest following normal expiration.
4. Hip circumference (1030) ____ . ____ cm
→ Measure at the largest point between the iliac crest and the symphysis pubis.
5. Neck circumference (1040) ____ . ____ cm
→ Measure at mid neck height between mid cervical spine to mid anterior neck. If an Adam's apple is present, measure just below the prominence.

COMMENTS: (6000)



Part. ID: - -

Part. Initials:

Visit:

Visit Date: / /

Coordinator ID:

Childhood Asthma Control Test for children 4 to 11 years old.

Know the score.

This test will provide a score that may help your doctor determine if your child's asthma treatment plan is working or if it might be time for a change.

How to take the Childhood Asthma Control Test

- Step 1** Let your child respond to **the first four questions (1 to 4)**. If your child needs help reading or understanding the question, you may help, but let your child select the response. Complete the remaining **three questions (5 to 7)** on your own and without letting your child's response influence your answers. There are no right or wrong answers.
- Step 2** Write the number of each answer in the score box provided.
- Step 3** Add up each score box for the total.
- Step 4** Take the test to the doctor to talk about your child's total score.

19
or less

If your child's score is 19 or less, it may be a sign that your child's asthma is not controlled as well as it could be. No matter what the score, bring this test to your doctor to talk about your child's results.

Have your child complete these questions.

1. How is your asthma today?

 0 Very bad	 1 Bad	 2 Good	 3 Very good	SCORE <input type="checkbox"/>
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2. How much of a problem is your asthma when you run, exercise or play sports?

 0 It's a big problem, I can't do what I want to do.	 1 It's a problem and I don't like it.	 2 It's a little problem but it's okay.	 3 It's not a problem.	<input type="checkbox"/>
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3. Do you cough because of your asthma?

 0 Yes, all of the time.	 1 Yes, most of the time.	 2 Yes, some of the time.	 3 No, none of the time.	<input type="checkbox"/>
---------------------------------------	--	--	---------------------------------------	--------------------------

4. Do you wake up during the night because of your asthma?

 0 Yes, all of the time.	 1 Yes, most of the time.	 2 Yes, some of the time.	 3 No, none of the time.	<input type="checkbox"/>
---------------------------------------	--	--	---------------------------------------	--------------------------

Please complete the following questions on your own.

5. During the last 4 weeks, how many days did your child have any daytime asthma symptoms?

5 Not at all	4 1-3 days	3 4-10 days	2 11-18 days	1 19-24 days	0 Everyday	<input type="checkbox"/>
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6. During the last 4 weeks, how many days did your child wheeze during the day because of asthma?

5 Not at all	4 1-3 days	3 4-10 days	2 11-18 days	1 19-24 days	0 Everyday	<input type="checkbox"/>
------------------------	----------------------	-----------------------	------------------------	------------------------	----------------------	--------------------------

7. During the last 4 weeks, how many days did your child wake up during the night because of asthma?

5 Not at all	4 1-3 days	3 4-10 days	2 11-18 days	1 19-24 days	0 Everyday	<input type="checkbox"/>
------------------------	----------------------	-----------------------	------------------------	------------------------	----------------------	--------------------------

TOTAL

Please turn this page over to see what your child's total score means. _____

CONCOMITANT MEDICATIONS FOR ASTHMA/ALLERGY AND ADVERSE EVENTS

Part. ID: _____ - _____ - _____
 Part. Initials: _____
 Visit: _____

(Coordinator completed)

Instructions: Since signing the informed consent or last study visit, list all prescription and over-the-counter (OTC) concomitant medications used to treat asthma/allergy symptoms and adverse events. Do not list routine use of study drugs or rescue medications. Check the "None" box if the participant has not started taking any medications since signing the informed consent or last study visit. If the medication is not related to an adverse or laboratory event, leave the event number missing and check the "N/A" box. If the participant is still taking the medication at the end of the current visit, check the "ongoing at current visit" check box and leave the stop date missing. All ongoing medications should be reviewed at subsequent visits to document the stop date of a medication. At the last study visit or an early termination visit, review all ongoing medication and indicate a stop date or check the "ongoing at final visit" check box on the data collection forms and update the medication data in the AsthmaNet data entry application.

At the final study visit or early termination visit, forward all concomitant medications for asthma/allergy and adverse event-related medications forms to the DCC.

None

NAME OF MEDICATION (1000)	CODE (1010)	RELATED EVENT (1020)	DOSE (1030)	UNITS (1040)	FREQUENCY (1050)	ROUTE (1055)	START DATE (MM/DD/YYYY) (1060)	STOP DATE (MM/DD/YYYY) (1070)	ONGOING AT CURRENT VISIT (1080)	ONGOING AT FINAL VISIT (1090)
---		Event ___ <input type="checkbox"/> NA					__ / __ / __	__ / __ / __	<input type="checkbox"/>	<input type="checkbox"/>
---		Event ___ <input type="checkbox"/> NA					__ / __ / __	__ / __ / __	<input type="checkbox"/>	<input type="checkbox"/>
---		Event ___ <input type="checkbox"/> NA					__ / __ / __	__ / __ / __	<input type="checkbox"/>	<input type="checkbox"/>
---		Event ___ <input type="checkbox"/> NA					__ / __ / __	__ / __ / __	<input type="checkbox"/>	<input type="checkbox"/>
---		Event ___ <input type="checkbox"/> NA					__ / __ / __	__ / __ / __	<input type="checkbox"/>	<input type="checkbox"/>
---		Event ___ <input type="checkbox"/> NA					__ / __ / __	__ / __ / __	<input type="checkbox"/>	<input type="checkbox"/>



**UNITS, FREQUENCY, AND ROUTE CODES FOR
USE ON THE CONCOMITANT MEDICATIONS FOR
ASTHMA/ALLERGY AND ADVERSE EVENTS
FORM (CMED)**

AsthmaNet

Codes for Units (Q1040)	
Code	Units
1	mg
2	mcg (µg)
3	ml
4	mg/ml
5	mEq
6	g
7	U
8	teaspoon
9	tablespoon
10	patch
11	puffs (oral inhalation)
12	nasal spray
13	packet
14	1 drop
15	mm
16	percent
98	no units
99	other

Codes for Frequency (Q1050)		
Code	Frequency	
1	QD	1 time a day
2	BID	2 times a day
3	TID	3 times a day
4	QID	4 times a day
5	q4h	every 4 hours
6	q5h	every 5 hours
7	q6h	every 6 hours
8	q8h	every 8 hours
9	q12h	every 12 hours
10	q24h	every 24 hours
11	hs	every night at bedtime
12	PRN	as required
13	qod	every other day
14	qw	once a week
15	biw	2 times per week
16	tiw	3 times per week
17	5 times per week	
18	every 5 days	
19	once a month	
20	taper dose	
99	other	

Codes for Route (Q1055)	
Route	Route Desc
1	Epidural Injection
2	External/Topical
3	Inhalation
4	Intraarterial Injection
5	Intraarticular/Intracapsular Injection
6	Intramuscular Injection – IM
7	Intrathecal Injection
8	Intravenous Injection – IV
9	Medicated Gums
10	Misc. Injection
11	Nasal
12	Nebulization
13	Ophthalmic
14	Oral
15	Otic
16	Patch
17	Rectal
18	Subcutaneous Injection – SQ
19	Sublingual
20	Swallowed
21	Urological
22	Vaginal



(Coordinator Completed by Interview)

Note: If you are a parent or guardian responding for a child, "you" is referring to the child who is the study participant.

Please answer the following questions with respect to your cold history over the past 12 months.

1. Who is the respondent? (1000) ₁ Self/Participant
₂ Parent/Guardian
₃ Other (specify)
(1000D) _____
2. In the past 12 months, how many respiratory tract infections/colds did you experience? (Enter '00' if none.)
→ If '00', STOP HERE. (1010) ____ colds in past 12 months
3. In the past 12 months, how severe were your colds usually? (1020) ₁ Extremely mild
₂ Mild
₃ Moderate
₄ Severe
4. In the past 12 months, has a cold EVER made your asthma worse?
→ If NO, STOP HERE. (1030) ₁ Yes ₀ No
5. In the past 12 months, when you had a cold, how often did it make your asthma worse? (1040) ₁ Rarely
₂ Sometimes
₃ Usually
₄ Always
6. In the past 12 months, when colds made your asthma worse, how severe did your asthma usually get? (1050) ₁ Extremely mild
₂ Mild
₃ Moderate
₄ Severe

COMMENTS: (6000)



(Coordinator Completed by Interview)

Note: If you are a parent or guardian responding for a child, "you" is referring to the child who is the study participant.

1. Who is the respondent? (1000) ₁ Self/Participant
₂ Parent/Guardian
₃ Other (specify)
(1000D) _____

GENERAL HOUSE CHARACTERISTICS

(‘House’ is meant to refer to the place where you live most of the time.)

2. How long have you lived in the current house? (1010-1020) ____ years ____ months
(Estimate if uncertain.)
3. Does your house use a wood burning stove as a primary source of heat? (1030) ₁ Yes ₀ No ₈ Don't Know
4. Does your house use an air conditioner? (1040) ₁ Yes ₀ No ₈ Don't Know
5. Does your house use an evaporative cooler (swamp cooler)? (1050) ₁ Yes ₀ No ₈ Don't Know
6. Does your house use a humidifier? (Include humidifier built into the heating system of your house.) (1060) ₁ Yes ₀ No ₈ Don't Know
7. Does your house use a dehumidifier? (Include dehumidifier built into the cooling system of your house.) (1070) ₁ Yes ₀ No ₈ Don't Know
8. Has there been water damage to your house, basement, or its contents during the past 12 months? (1080) ₁ Yes ₀ No ₈ Don't Know
9. Has there been any mold or mildew, on any surfaces, inside your house in the past 12 months? (1090) ₁ Yes ₀ No ₈ Don't Know
➔ If **NO** or **DON'T KNOW**, skip to Q11.
10. Which rooms have or have had mold or mildew?
- 10a. Bathroom(s) (1100) ₁ Yes ₀ No



- 10b. Basement or attic (1110) ₁ Yes ₀ No
- 10c. Kitchen (1120) ₁ Yes ₀ No
- 10d. Your bedroom (1130) ₁ Yes ₀ No
- 10e. Other bedrooms (1140) ₁ Yes ₀ No
- 10f. Living or family room (1150) ₁ Yes ₀ No
- 10g. Other (1160) ₁ Yes ₀ No

If **YES**, please specify

(1160D) _____

11. Do you ever see cockroaches in your house? (1170) ₁ Yes ₀ No
 ➔ If **NO**, skip to Q13.

12. In which room(s) have you seen cockroaches?

- 12a. Kitchen (1180) ₁ Yes ₀ No
- 12b. Basement or attic (1190) ₁ Yes ₀ No
- 12c. Bathroom(s) (1200) ₁ Yes ₀ No
- 12d. Living or family room (1210) ₁ Yes ₀ No
- 12e. Your bedroom (1220) ₁ Yes ₀ No
- 12f. Other bedrooms (1230) ₁ Yes ₀ No
- 12g. Garage (1240) ₁ Yes ₀ No
- 12h. Other (1250) ₁ Yes ₀ No

If **YES**, please specify

(1250D) _____

13. Do you ever see rodents (mice, rats) or rodent droppings in your house? (1260) ₁ Yes ₀ No
 ➔ If **NO**, skip to Q15.

14. In which room(s) have you seen rodents or rodent droppings?

- 14a. Kitchen (1270) ₁ Yes ₀ No
- 14b. Basement or attic (1280) ₁ Yes ₀ No
- 14c. Bathroom(s) (1290) ₁ Yes ₀ No



- 14d. Living or family room (1300) ₁ Yes ₀ No
- 14e. Your bedroom (1310) ₁ Yes ₀ No
- 14f. Other bedrooms (1320) ₁ Yes ₀ No
- 14g. Garage (1330) ₁ Yes ₀ No
- 14h. Other (1340) ₁ Yes ₀ No

If **YES**, please specify

(1340D) _____

15. Are any of the following located on your property or next to your property?

- 15a. Barns (1350) ₁ Yes ₀ No
- 15b. Hay (1360) ₁ Yes ₀ No
- 15c. Woodsheds (1370) ₁ Yes ₀ No
- 15d. Firewood (1380) ₁ Yes ₀ No
- 15e. Chicken coops (1390) ₁ Yes ₀ No
- 15f. Corral (1400) ₁ Yes ₀ No

CHARACTERISTICS OF THE PARTICIPANT'S BEDROOM

(If the participant does not have a bed or bedroom, answer for the place where the participant sleeps.)

16. What is the floor covering in your bedroom?

- (1410) ₁ Rug/carpet
₂ Vinyl tile or linoleum
₃ Wood
₄ Ceramic tile
₅ Other (specify)

(1410D) _____

₉ Don't know

17. What type of mattress is on your bed?

➔ If **NONE**, skip to Q19.

- (1420) ₁ None
₂ Inner spring mattress
₃ Foam mattress
₄ Waterbed
₅ Air mattress
₆ Other (specify)

(1420D) _____

₉ Don't know



18. Is the mattress completely enclosed in an allergy-proof, encasing cover? (1430) ₁ Yes ₀ No
19. Does your bed have a box spring? (1440) ₁ Yes ₀ No
 ➔ If **NO**, skip to Q21.
20. Is the box spring completely enclosed in an allergy-proof, encasing cover? (1450) ₁ Yes ₀ No
21. What type of pillow do you usually sleep with? (1460) ₁ None
 ➔ If **NONE**, skip to Q23.
₂ Feather/down
₃ Foam/Dacron/synthetic
₅ Other (specify)
 (1460D) _____
₉ Don't know
22. Is the pillow completely enclosed in an allergy-proof, encasing cover? (1470) ₁ Yes ₀ No

PETS

23. Does your household have any pets? (1480) ₁ Yes ₀ No
 ➔ If **NO**, skip to Q25.
24. Enter the number of pets that the household has. (*Enter '00' if none. If none to Q24a – Q24d, skip to the next question.*)
- 24a. Cat (1490) ____ (1500) ₁ Indoor ₂ Outdoor ₃ Both
- 24b. Dog (1510) ____ (1520) ₁ Indoor ₂ Outdoor ₃ Both
- 24c. Rabbit, guinea pig, hamster, gerbil, or mouse (1530) ____ (1540) ₁ Indoor ₂ Outdoor ₃ Both
- 24d. Bird (1550) ____ (1560) ₁ Indoor ₂ Outdoor ₃ Both
25. In general, and on a regular basis, are you exposed to any of the following animals?
- 25a. Cat (1570) ₁ Yes ₀ No
- 25b. Dog (1580) ₁ Yes ₀ No
- 25c. Rabbit, guinea pig, hamster, gerbil, or mouse (1590) ₁ Yes ₀ No
- 25d. Bird (1600) ₁ Yes ₀ No
- 25e. Farm animals (1610) ₁ Yes ₀ No



25f. Other (1620) ₁ Yes ₀ No

If **YES**, please specify (1620D) _____

→ **If participant is 6 years of age or older, STOP HERE and complete the source documentation box.**

DAY CARE

26. Did the participant attend day care during the 1st year of life? (1630) ₁ Yes ₀ No

26a. If **YES**, at what age did the day care attendance begin? (1640) ____ months

27. Does the participant currently attend day care? (1650) ₁ Yes ₀ No
→ **If No, STOP HERE and complete the source documentation box.**

27a. Is the day care... (1660) ₁ In home day care
₂ Nonresidential
₃ Mixed

27b. How many children are in the participant's day care room? (1670) ____ children

27c. How many hours per day is the participant at day care? (1680) ____ hours

27d. How many days per week is the participant at day care? (1690) ____ days

27e. How many months per year is the participant at day care? (1700) ____ months

Participant/Guardian Source Documentation

Participant/Guardian Initials: ____ (1710)

Date: ____ / ____ / 20 ____ (1720)
MM DD YYYY

Coordinator Completed

COMMENTS

(6000): _____



(Parent/Legal Guardian or Participant Completed)

Please answer the following questions about your primary household. If you're a college student living away from home during the school year, the questions pertain to your parents' household.

1. Who is the respondent? (1000) ₁ Self/Participant
₂ Parent/Guardian
₃ Other (specify)
(1000D) _____
2. Which category best describes the **highest** grade or educational level that **any member of your household** has achieved? (Check one box only.) (1010) ₀ No High School diploma
₁ GED
₂ High School diploma
₃ Technical training
₄ Some college, no degree
₅ Associate degree
₆ Bachelors degree
₇ Masters degree
₈ MD/PhD/JD/PharmD
₉ Decline to answer
₁₀ Don't know
3. To help us characterize the economic status of our study participants, please indicate which category best describes the **combined annual income**, before taxes, of **all members of your household** for the last year. (Check one box only.) (1020) ₁ Less than \$25,000
₂ \$25,000 - \$49,999
₃ \$50,000 - \$99,999
₄ \$100,000 or more
₉ Decline to answer
₁₀ Don't know
4. How many people (adults and children) are supported by this income reported in Q3? (1030) ____ people

COMMENTS: (6000)



(Coordinator Completed)

PARENTAL HEIGHT – First study visit only or until both are completed

1. Biological mother's height (complete height or check unknown) (1000-1010) ____ feet ____ inches
(1020) ₉ Don't Know
2. Biological father's height (complete height or check unknown) (1030-1040) ____ feet ____ inches
(1050) ₉ Don't Know

PARTICIPANT MEASUREMENTS – Complete at all applicable study visits

3. What type of height measurement was obtained? (1060) ₁ Standing height
₂ Length
- 3a. First measurement (1070) ____ . ____ cm
- 3b. Second measurement (1080) ____ . ____ cm
- 3c. Third measurement (1090) ____ . ____ cm
- 3d. Average height or length measurement (1100) ____ . ____ cm

➔ **Plot average height or length on gender- and age-appropriate growth charts. See study MOP for further details.**

- 3e. In your judgment, was the participant's height or length measurement acceptable? (1110) ₁ Yes ₀ No

3ei. If **NO**, why was it unacceptable? (1120D) _____

4. Weight (shoes off, light clothing) (1130) ____ . ____ kg

➔ **Plot weight on gender- and age-appropriate growth charts. See study MOP for further details.**

ORAL CANDIDIASIS

5. Does the participant have evidence of oral candidiasis? (1140) ₁ Yes ₀ No
➔ **If YES, complete the Clinical Adverse Events (AECLIN) form.**



**DO NOT DATA ENTER THE INFORMATION ON THE REST OF THE FORM EXCEPT THE COMMENTS
(IF APPLICABLE)**

(Licensed Medical Practitioner Completed)

Please indicate current physical findings by checking the appropriate boxes below. If ABNORMAL, please describe concisely.

	Not Done	Normal	Abnormal	
6. Hair and Skin	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
7. Lymph nodes	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
8. Eyes (excluding corrective lenses)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
9. Ears, Nose, and Throat	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
10. Respiratory	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
10a. If Abnormal:			<input type="checkbox"/> Wheeze on inspiration or expiration	
			<input type="checkbox"/> Adventitious sounds other than wheezing	
			<input type="checkbox"/> Other _____	_____ _____
11. Cardiovascular	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
12. Gastrointestinal	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
13. Musculoskeletal	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
14. Neurological	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
15. Mental Status	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
16. Other _____ (check Not Done if non-applicable)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____

Licensed Medical Practitioner Source Documentation

Licensed Medical Practitioner Signature: _____

Printed Name: _____

Date: ____ / ____ / 20 ____
MM DD YYYY

Time: ____ (based on a 24-hour clock)



COMMENTS: (6000)



(Technician Completed)

Complete this form only if the participant is eligible according to the Methacholine Challenge Testing Checklist (METHACHK) form.

Clinic Use Only (Technician Completed)

Use the FEV₁ value from the appropriate spirometry testing form as the baseline reference.

A. Baseline (pre) FEV₁ prior to methacholine challenge ____ . ____ L

B. Methacholine Reversal Reference Value (Question A x 0.90 = ____ . ____ L)

1. Post Diluent FEV₁ (1000) ____ . ____ L
2. Did the participant drop $\geq 20\%$ at the diluent stage? (1010) ₁ Yes ₀ No
➔ If **YES**, proceed to Q5. Record 'Yes' for Q5 and 0 for Q5a.
3. Last concentration of methacholine administered (1020) ____ . ____ mg/ml
4. FEV₁ after last concentration of methacholine administered (1030) ____ . ____ L
5. Did the participant achieve a PC₂₀? (1040) ₁ Yes ₀ No
➔ If **NO**, proceed to Q6.
- 5a. PC₂₀ (1050) ____ . ____ mg/ml
6. Time methacholine challenge ended (based on 24-hour clock) (1060) _____
7. Participant's FEV₁ after standard reversal from methacholine challenge

If participant is continuing with sputum induction, standard reversal = 4 puffs albuterol.

If participant is not continuing with sputum induction, standard reversal = 2 puffs albuterol.

- 7a. FEV₁ (1070) ____ . ____ L
- 7b. Time of FEV₁ in Q7a (based on 24-hour clock) (1080) _____
- 7c. Was the FEV₁ from Q7a \geq the methacholine reversal reference value (B) in the gray box above? (1090) ₁ Yes ₀ No

➔ **If YES, STOP HERE and continue with remaining visit procedures.**

➔ **If NO, proceed to the Additional Treatment for Methacholine Challenge Testing (METHA_ADD_TRT) form.**

COMMENTS: (6000)



(Technician Completed)

Complete this form only if the participant is eligible according to the Pulmonary Procedure Checklist form and successfully completed baseline spirometry session(s).

Exclusions and Confounders

1. Has the participant had any severe acute illness in the past 4 weeks? (1000) ₁ Yes ₀ No
- 1a. If **YES**, has the participant received permission from the supervising physician to proceed with the methacholine challenge testing? (1010) ₁ Yes ₀ No
Physician's Signature: (1020) _____
2. Has the participant used 4 or more days of systemic corticosteroid (e.g., prednisolone, prednisone, Solumedrol, Decadron) for the treatment of an asthma exacerbation in the past 4 weeks? (1050) ₁ Yes ₀ No
3. Does the participant have a baseline (pre-diluent) FEV₁ less than 55% of predicted or less than 1.0 L? (1060) ₁ Yes ₀ No
4. Pregnancy test results (1070) ₁ Positive
(Check N/A if the participant is male, or is female and is post-menopausal, had a hysterectomy or tubal ligation.) ₀ Negative
₉ N/A
5. Is the participant's systolic blood pressure > 200 mm Hg or diastolic blood pressure > 100 mm Hg? (1080) ₁ Yes ₀ No
6. Is there any other reason the participant should not proceed with the methacholine challenge testing? (1100) ₁ Yes ₀ No
If **YES**, explain: (1100D) _____

7. Is the participant eligible to proceed with the diluent (solution #0) pulmonary function testing for the methacholine challenge? (1110) ₁ Yes ₀ No

If any of the shaded boxes are completed, the participant is NOT eligible for the methacholine challenge testing.

→ If YES, proceed to the Methacholine Challenge Testing (METHA) form.

COMMENTS: (6000)



(Technician Completed)

Complete this form only if the participant is eligible according to the Pulmonary Procedure Checklist form and successfully completed baseline spirometry session(s).

Exclusions and Confounders

1. Has the participant had any severe acute illness in the past 4 weeks? (1000) ₁ Yes ₀ No
- 1a. If **YES**, has the participant received permission from the supervising physician to proceed with the methacholine challenge testing? (1010) ₁ Yes ₀ No
- Physician's Signature: (1020) _____
2. During the past 4 weeks, has the participant had any respiratory infections, colds, or bronchitis (see the Methacholine MOP)? (1030) ₁ Yes ₀ No
- 2a. If **YES**, during the past 2 weeks, has the participant had any respiratory infections, colds, or bronchitis (see the Methacholine MOP)? (1040) ₁ Yes ₀ No
3. Has the participant used 4 or more days of systemic corticosteroid (e.g., prednisolone, prednisone, Solumedrol, Decadron) for the treatment of an asthma exacerbation in the past 4 weeks? (1050) ₁ Yes ₀ No
4. Does the participant have a baseline (pre-diluent) FEV₁ less than 70% of predicted? (1060) ₁ Yes ₀ No
5. Pregnancy test results (Check N/A if the participant is male, or is female and has not started menses.) (1070) ₁ Positive
₀ Negative
₉ N/A
6. **If participant's age is ≥ 12 years:** Is the participant's systolic blood pressure > 200 mm Hg or diastolic blood pressure > 100 mm Hg? (1080) ₁ Yes ₀ No
7. **If participant's age is < 12 years:** Is the participant's systolic blood pressure > 180 mm Hg or diastolic blood pressure > 90 mm Hg? (1090) ₁ Yes ₀ No
8. Is there any other reason the participant should not proceed with the methacholine challenge testing? (1100) ₁ Yes ₀ No
- If **YES**, explain: (1100D) _____



9. Is the participant eligible to proceed with the diluent (solution #0) pulmonary function testing for the methacholine challenge? (1110) ₁ Yes ₀ No

If any of the shaded boxes are completed, the participant is NOT eligible for the methacholine challenge testing.

→ If YES, proceed to the Methacholine Challenge Testing (METHA) form.

COMMENTS: (6000)



(Technician Completed)

Complete this form only if the participant did not reverse to 90% of baseline (pre) FEV₁ after the first post-challenge treatment of albuterol.

1. Was an additional treatment used in the first hour? (1000) ₁ Yes ₀ No
➔ If **NO**, skip to Q3.
- 1a. Additional albuterol by MDI (1010) ₁ Yes ₀ No
➔ If **NO**, skip to Q1b.
- Number of additional puffs of albuterol administered (1020) ₁ 2 ₂ 4 ₃ > 4
- 1b. Nebulized Beta-agonist (1030) ₁ Yes ₀ No
- 1c. Subcutaneous epinephrine (1040) ₁ Yes ₀ No
- 1d. Implementation of clinic emergency protocol or algorithm (1050) ₁ Yes ₀ No
- 1e. Other (1060) ₁ Yes ₀ No
- If **YES**, specify: (1060D) _____
2. Participant's FEV₁ after additional treatment within first hour.
- 2a. FEV₁ (1070) ____ . ____ L
- 2b. Time of FEV₁ in Q2a (based on 24-hour clock) (1090) _____
- 2c. Was the FEV₁ from Q2a \geq the methacholine reversal reference value (B) in the gray box on the Methacholine Challenge Testing (METHA) form? (1100) ₁ Yes ₀ No
➔ If **YES, STOP HERE** and continue with remaining visit procedures.
➔ If **NO**, proceed to Q3.
3. Was additional treatment used after one hour? (1110) ₁ Yes ₀ No
➔ If **NO**, skip to Q4.
- 3a. Additional albuterol by MDI (1120) ₁ Yes ₀ No
➔ If **NO**, skip to Q3b.



- Number of additional puffs of albuterol administered (1130) ₁ 2 ₂ 4 ₃ > 4
- 3b. Nebulized Beta-agonist (1140) ₁ Yes ₀ No
- 3c. Subcutaneous epinephrine (1150) ₁ Yes ₀ No
- 3d. Implementation of clinic emergency protocol or algorithm (1160) ₁ Yes ₀ No
- 3e. Treatment in the emergency room (1170) ₁ Yes ₀ No
- 3f. Overnight hospitalization (1180) ₁ Yes ₀ No
→ If **YES**, please complete the Serious Adverse Event (SERIOUS) form.
- 3g. Other (1190) ₁ Yes ₀ No
If **YES**, specify: (1190D) _____
4. Participant's final FEV₁ after methacholine challenge
- 4a. FEV₁ (1200) ____ . ____ L
- 4b. Time of FEV₁ in Q4a (based on 24-hour clock) (1220) _____
- 4c. Was the FEV₁ from Q4a \geq the methacholine reversal reference value (B) in the gray box on the Methacholine Challenge Testing (METHA) form? (1230) ₁ Yes ₀ No
→ If **NO**, complete the source documentation box below.

Physician Source Documentation	
Physician's Signature: _____	(1240)
Date: ____ / ____ / 20 ____ MM DD YYYY	(1250)
Time: ____ : ____ (based on a 24-hour clock)	(1260)

COMMENTS: (6000)



AsthmaNet

POST-ALBUTEROL (4 puffs) SPIROMETRY TESTING

Supervisor ID: _____

Part. ID: _____ - _____ - _____

Part. Initials: _____

Visit: _____

Visit Date: ____ / ____ / 20 ____

Technician ID: _____

(Technician Completed)

Complete this form only if the participant is eligible according to the appropriate Pulmonary Procedure Checklist form and successfully completed baseline spirometry session(s).

➔ **Administer 4 puffs of albuterol and wait 10 to 15 minutes, then perform spirometry.**

1. Time albuterol administered *(based on 24-hour clock)* (1000) _____
2. Time post-albuterol spirometry started *(based on 24-hour clock)* (1010) _____

The reported FEV₁, FVC and FEF Max are the best measurements of all acceptable maneuvers.

3. Highest FVC (1020) ____ . ____ L
4. Highest FEV₁ (1030) ____ . ____ L
5. Highest FEV₁ (% predicted) (1040) _____ % predicted
6. FEF Max (1050) ____ . ____ L/S

The reported FEF₂₅₋₇₅ corresponds to the maneuver where FEV₁ + FVC is maximized.

7. FEF₂₅₋₇₅ (1060) ____ . ____ L/S
8. In your judgment, was the participant's spirometry technique acceptable? (1070) ₁ Yes ₀ No

COMMENTS: (6000)



PAEDIATRIC ASTHMA QUALITY OF LIFE QUESTIONNAIRE WITH STANDARDISED ACTIVITIES (PAQLQ(S))

INTERVIEWER-ADMINISTERED

Modified for AsthmaNet's BARD Study with
permission by Professor Elizabeth Juniper

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JANUARY 2001



PAEDIATRIC ASTHMA QUALITY OF LIFE QUESTIONNAIRE WITH STANDARDISED ACTIVITIES (PAQLQ(S))

THE PAEDIATRIC ASTHMA QUALITY OF LIFE QUESTIONNAIRE HAS BEEN TESTED AND VALIDATED USING THE WORDING AND FORMAT THAT FOLLOWS. IT IS IMPORTANT THAT INTERVIEWERS ADHERE TO THE EXACT WORDING WHEN ADDRESSING THE PATIENT (REGULAR TYPE) AND FOLLOW THE INSTRUCTIONS (ITALICS). DEVIATION FROM BOTH WORDING AND INSTRUCTIONS MAY IMPAIR THE RELIABILITY AND VALIDITY OF THE QUESTIONNAIRE.

PARENTS SHOULD NOT BE PRESENT DURING THE INTERVIEW. IT IS THE CHILD'S OWN EXPERIENCES THAT YOU WANT TO EVALUATE. SOME PARENTS MAY WANT TO INFLUENCE THIS EVALUATION AND SOME CHILDREN MAY WANT TO LOOK TO THE PARENT FOR GUIDANCE.

REASSURE THE CHILD THAT THERE ARE NO RIGHT OR WRONG ANSWERS. DO NOT INTERPRET QUESTIONS FOR CHILDREN. IF THEY HAVE DIFFICULTY, JUST ASK THEM TO DO THE BEST THEY CAN.

MAKE SURE THAT THE CHILD UNDERSTANDS THE TIME FRAME OF "DURING THE LAST WEEK". IF IN DOUBT, ASK THE PARENT TO IDENTIFY AN EVENT THAT OCCURRED A WEEK PREVIOUSLY (E.G., A FOOTBALL MATCH) AND THEN ASK THE CHILD TO THINK ABOUT HOW SHE/HE HAS BEEN SINCE THAT EVENT.

SHOW THE BLUE AND GREEN RESPONSE CARDS TO THE CHILD AND EXPLAIN THE OPTIONS. FOR CHILDREN WHO CAN READ, WE SUGGEST THAT YOU ASK THEM TO READ ALOUD EACH OF THE RESPONSE OPTIONS. FOR YOUNGER CHILDREN, READ THROUGH EACH OF THE RESPONSES WITH THEM. MAKE SURE THAT THE CHILD UNDERSTANDS THE CONCEPT OF THE GRADING FROM 1 (EXTREMELY BOTHERED/ALL OF THE TIME) TO 7 (NOT BOTHERED/NONE OF THE TIME)



I want you to tell me how much you have been bothered by your asthma during the past week. I will tell you which card to use. Pick the number that best describes how much you were bothered by your asthma during the past week.

- A 1. How much have you been bothered by your asthma in **PHYSICAL ACTIVITIES** (such as running, swimming, sports, walking uphill/upstairs and bicycling) during the past week? [BLUE CARD]
- A 2. How much have you been bothered by your asthma in **BEING WITH ANIMALS** (such as playing with pets and looking after animals) during the past week? [BLUE CARD]
- A 3. How much have you been bothered by your asthma in **ACTIVITIES WITH FRIENDS AND FAMILY** (such as playing at recess and doing things with your friends and family) during the past week? [BLUE CARD]
- S 4. How much did **COUGHING** bother you in the past week? [BLUE CARD]
- E 5. How often did your asthma make you feel **FRUSTRATED** during the past week? [GREEN CARD]
- S 6. How often did your asthma make you feel **TIRED** during the past week? [GREEN CARD]
- E 7. How often did you feel **WORRIED, CONCERNED, OR TROUBLED** because of your asthma during the past week? [GREEN CARD]
- S 8. How much did **ASTHMA ATTACKS** bother you during the past week? [BLUE CARD]
- E 9. How often did your asthma make you feel **ANGRY** during the past week? [GREEN CARD]
- S 10. How much did **WHEEZING** bother you during the past week? [BLUE CARD]
- E 11. How often did your asthma make you feel **IRRITABLE (cranky, grouchy*)** during the past week? [GREEN CARD]
(*use only if patient does not understand the word "irritable")
- S 12. How much did **TIGHTNESS IN YOUR CHEST** bother you during the past week? [BLUE CARD]
- E 13. How often did you feel **DIFFERENT OR LEFT OUT** because of your asthma during the past week? [GREEN CARD]
- S 14. How much did **SHORTNESS OF BREATH** bother you during the past week? [BLUE CARD]



- E 15. How often did you feel **FRUSTRATED BECAUSE YOU COULDN'T KEEP UP WITH OTHERS** during the past week? [GREEN CARD]
- S 16. How often did your asthma **WAKE YOU UP DURING THE NIGHT** during the past week? [GREEN CARD]
- E 17. How often did you feel **UNCOMFORTABLE** because of your asthma during the past week? [GREEN CARD]
- S 18. How often did you feel **OUT OF BREATH** during the past week? [GREEN CARD]
- A 19. How often did you feel **YOU COULDN'T KEEP UP WITH OTHERS** because of your asthma during the past week? [GREEN CARD]
- S 20. How often did you have trouble **SLEEPING AT NIGHT**, because of your asthma, during the past week? [GREEN CARD]
- E 21. How often did you feel **FRIGHTENED BY AN ASTHMA ATTACK** during the past week? [GREEN CARD]
- A 22. Think about all the activities that you did in the past week. How much were you bothered by your asthma doing these activities? [BLUE CARD]
- S 23. How often did you have difficulty taking a **DEEP BREATH** in the past week? [GREEN CARD]

DOMAIN CODE:

S = Symptoms
A = Activity Limitation
E = Emotional Function



Part. ID: - -

Part. Initials:

Visit:

Visit Date: / /

Coordinator ID:

RESPONSE SHEET

NAME: _____ NUMBER: _____

DATES OF COMPLETION:

1st: _____ 2nd: _____

3rd: _____ 4th: _____

ITEM

RESPONSES

	1st	2nd	3rd	4th
1. Physical activities	_____	_____	_____	_____
2. Being with animals	_____	_____	_____	_____
3. Activities with friends and family	_____	_____	_____	_____
4. Cough	_____	_____	_____	_____
5. Frustrated	_____	_____	_____	_____
6. Tired	_____	_____	_____	_____
7. Worried/concerned/troubled	_____	_____	_____	_____
8. Asthma attacks	_____	_____	_____	_____
9. Angry	_____	_____	_____	_____
10. Wheezing	_____	_____	_____	_____
11. Irritable	_____	_____	_____	_____
12. Tightness in chest	_____	_____	_____	_____
13. Feeling different or left out	_____	_____	_____	_____
14. Shortness of breath	_____	_____	_____	_____
15. Frustrated can't keep up with others	_____	_____	_____	_____
16. Wake up during the night	_____	_____	_____	_____
17. Uncomfortable	_____	_____	_____	_____
18. Out of breath	_____	_____	_____	_____
19. Can't keep up with others	_____	_____	_____	_____
20. Trouble sleeping at night	_____	_____	_____	_____
21. Frightened by asthma attack	_____	_____	_____	_____
22. Bothered in activities overall	_____	_____	_____	_____
23. Deep breath	_____	_____	_____	_____



RESPONSE OPTIONS

GREEN CARD

1. ALL OF THE TIME
2. MOST OF THE TIME
3. QUITE OFTEN
4. SOME OF THE TIME
5. ONCE IN A WHILE
6. HARDLY ANY OF THE TIME
7. NONE OF THE TIME

BLUE CARD

1. EXTREMELY BOTHERED
2. VERY BOTHERED
3. QUITE BOTHERED
4. SOMEWHAT BOTHERED
5. BOTHERED A BIT
6. HARDLY BOTHERED AT ALL
7. NOT BOTHERED



Part. ID: - -
Part. Initials:
Visit:
Visit Date: / /
Coordinator ID:

PedsQL™

Pediatric Quality of Life Inventory

Version 4.0

PARENT REPORT for YOUNG CHILDREN (ages 5-7)

DIRECTIONS

On the following page is a list of things that might be a problem for **your child**. Please tell us **how much of a problem** each one has been for **your child** during the **past ONE month** by circling:

- 0** if it is **never** a problem
- 1** if it is **almost never** a problem
- 2** if it is **sometimes** a problem
- 3** if it is **often** a problem
- 4** if it is **almost always** a problem

There are no right or wrong answers.
If you do not understand a question, please ask for help.



In the past **ONE month**, how much of a **problem** has your child had with ...

PHYSICAL FUNCTIONING (problems with...)	Never	Almost Never	Some-times	Often	Almost Always
1. Walking more than one block	0	1	2	3	4
2. Running	0	1	2	3	4
3. Participating in sports activity or exercise	0	1	2	3	4
4. Lifting something heavy	0	1	2	3	4
5. Taking a bath or shower by him or herself	0	1	2	3	4
6. Doing chores, like picking up his or her toys	0	1	2	3	4
7. Having hurts or aches	0	1	2	3	4
8. Low energy level	0	1	2	3	4

EMOTIONAL FUNCTIONING (problems with...)	Never	Almost Never	Some-times	Often	Almost Always
1. Feeling afraid or scared	0	1	2	3	4
2. Feeling sad or blue	0	1	2	3	4
3. Feeling angry	0	1	2	3	4
4. Trouble sleeping	0	1	2	3	4
5. Worrying about what will happen to him or her	0	1	2	3	4

SOCIAL FUNCTIONING (problems with...)	Never	Almost Never	Some-times	Often	Almost Always
1. Getting along with other children	0	1	2	3	4
2. Other kids not wanting to be his or her friend	0	1	2	3	4
3. Getting teased by other children	0	1	2	3	4
4. Not able to do things that other children his or her age can do	0	1	2	3	4
5. Keeping up when playing with other children	0	1	2	3	4

SCHOOL FUNCTIONING (problems with...)	Never	Almost Never	Some-times	Often	Almost Always
1. Paying attention in class	0	1	2	3	4
2. Forgetting things	0	1	2	3	4
3. Keeping up with school activities	0	1	2	3	4
4. Missing school because of not feeling well	0	1	2	3	4
5. Missing school to go to the doctor or hospital	0	1	2	3	4



(Coordinator Completed)

Complete this form for female participants ages 6 and older. All female participants ages 6 and older or her parent/guardian must review the completed form and provide source documentation below.

1. Is the participant unable to bear children due to any of the following reasons?
- | | | | |
|---|--------|--|--|
| 1a. Pre-menarche | (1000) | <input checked="" type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No |
| ➔ If YES , stop here and have the parent/guardian complete the source documentation box below. | | | |
| 1b. Post-menopausal (at least one year since last menses) | (1010) | <input checked="" type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No |
| 1c. Hysterectomy | (1020) | <input checked="" type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No |
| 1d. Tubal ligation | (1030) | <input checked="" type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No |

➔ **If any of the shaded boxes are filled in, a pregnancy test is not required. Proceed to the source documentation box below.**

2. Pregnancy test results
- | | | | |
|---|--------|---|--|
| ➔ If pregnancy test results are positive, the participant must be terminated from study participation. Complete the appropriate Termination of Study Participation form and follow study termination procedures. | (1040) | <input checked="" type="checkbox"/> ₁ Positive | <input type="checkbox"/> ₀ Negative |
|---|--------|---|--|

Participant/Guardian Source Documentation	
Participant/Guardian Initials: ____	(1050)
Date: ____ / ____ / 20 ____	(1060)
MM DD YYYY	

COMMENTS: (6000)



(Coordinator Completed by Interview)

PRIOR DISEASES, ILLNESSES, AND SURGERIES

Have you had any diseases, illnesses, conditions, or surgeries related to the following areas?

					If Yes, Comment
1. Blood, Lymph, or Immune Systems	(1000)	<input type="checkbox"/> ₁ Yes	<input type="checkbox"/> ₀ No	(1000D)	_____
2. Eyes	(1010)	<input type="checkbox"/> ₁ Yes	<input type="checkbox"/> ₀ No	(1010D)	_____
3. Breasts	(1020)	<input type="checkbox"/> ₁ Yes	<input type="checkbox"/> ₀ No	(1020D)	_____
4. Endocrine Systems	(1030)	<input type="checkbox"/> ₁ Yes	<input type="checkbox"/> ₀ No	(1030D)	_____
5. Heart and Blood Vessels	(1040)	<input type="checkbox"/> ₁ Yes	<input type="checkbox"/> ₀ No	(1040D)	_____
6. Liver or Pancreas	(1050)	<input type="checkbox"/> ₁ Yes	<input type="checkbox"/> ₀ No	(1050D)	_____
7. Kidneys or Urinary Tract System	(1060)	<input type="checkbox"/> ₁ Yes	<input type="checkbox"/> ₀ No	(1060D)	_____
8. Reproductive System	(1070)	<input type="checkbox"/> ₁ Yes	<input type="checkbox"/> ₀ No	(1070D)	_____
9. Muscles or Bones	(1080)	<input type="checkbox"/> ₁ Yes	<input type="checkbox"/> ₀ No	(1080D)	_____
10. Nervous System	(1090)	<input type="checkbox"/> ₁ Yes	<input type="checkbox"/> ₀ No	(1090D)	_____
11. Psychiatric	(1100)	<input type="checkbox"/> ₁ Yes	<input type="checkbox"/> ₀ No	(1100D)	_____
12. Drug Allergies	(1110)	<input type="checkbox"/> ₁ Yes	<input type="checkbox"/> ₀ No	(1110D)	_____
13. Other	(1120)	<input type="checkbox"/> ₁ Yes	<input type="checkbox"/> ₀ No	(1120D)	_____

COMMENTS: (6000)



(Coordinator Completed by Interview)

Note: If you are a parent or guardian responding for a child, "you" is referring to the child who is the study participant.

1. Who is the respondent? (1000) ₁ Self/Participant
₂ Parent/Guardian
₃ Other (specify)
(1000D) _____

PRIOR DISEASES, ILLNESSES, AND SURGERIES

Have you had any diseases, illnesses, conditions, or surgeries related to the following areas?

- | | | | | | If Yes, Comment |
|---|--------|---|--|--|-----------------|
| 2. Skin | (1010) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | (1010D) | _____ |
| 3. Ears, Nose, or Throat | | | | | |
| 3a. Have you ever had allergic rhinitis (hay fever)? | (1020) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₉ Don't know | |
| 3b. Have you ever had nasal polyps? | (1030) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₉ Don't know | |
| 3c. Do you have chronic or recurrent sinusitis (treated with antibiotics and/or surgery)? | (1040) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₉ Don't know | |
| 3d. Have you ever been diagnosed with vocal cord dysfunction? | (1050) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₉ Don't know | |
| 3e. Have you ever had other conditions related to the ear, nose, or throat? | (1060) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | (1060D) | _____ |
| 4. Lung - other than asthma | | | | | |
| 4a. Have you ever had pneumonia? | (1070) | <input type="checkbox"/> ₁ Yes | <input type="checkbox"/> ₀ No | <input type="checkbox"/> ₉ Don't know | |



If Yes, Comment

- 4ai. If **YES**, were you diagnosed by chest x-ray? (1080) ₁ Yes ₀ No ₉ Don't know
- 4aai. If **YES**, were you treated with antibiotics? (1090) ₁ Yes ₀ No ₉ Don't know
- 4b. Have you ever had bronchitis? (1100) ₁ Yes ₀ No ₉ Don't know
- 4c. Have you ever had other conditions related to the lungs (besides asthma)? (1110) ₁ Yes ₀ No (1110D) _____
5. Stomach or Intestines
- 5a. Do you have gastroesophageal reflux disease (GERD)? (1120) ₁ Yes ₀ No ₉ Don't know
- 5b. Have you ever had other conditions related to the stomach or intestines? (1130) ₁ Yes ₀ No (1130D) _____
6. Sleep Disorder
- 6a. Have you been diagnosed with sleep disordered breathing (sleep apnea)? (1150) ₁ Yes ₀ No (1150D) _____
- 6ai. If **YES**, are you being treated with CPAP or BiPAP? (1160) ₁ Yes ₀ No
- 6b. Have you ever had other sleep disorders? (1170) ₁ Yes ₀ No (1170D) _____
7. Have you ever had other conditions that have not been mentioned on this form? (1180) ₁ Yes ₀ No (1180D) _____

COMMENTS: (6000)



(Coordinator Completed by Interview)

Note: If you are a parent or guardian responding for a child, "you" is referring to the child who is the study participant.

1. Who is the respondent? (1000) ₁ Self/Participant
₂ Parent/Guardian
₃ Other (specify)
(1000D) _____

Next I will read a list of medications that are used to treat asthma and allergies. Please indicate if you have used each medication **during the past 12 months FOR ASTHMA OR ALLERGIES**. If you have used a particular medication, please indicate to the best of your knowledge the date it was last taken.

During the past 12 months were the following medications used FOR ASTHMA OR ALLERGIES?

**If Yes, indicate date medication was last taken
Month / Day / Year**

2. Short-acting Inhaled Beta-Agonists by Inhaler (e.g., albuterol, Primatene Mist, Maxair, ProAir, Proventil, Ventolin, Xopenex) (1010) ₁ Yes ₀ No ₉ Don't Know
_____ / _____ / 20 _____
(1020) (1030) (1040)
- 2a. If YES, indicate average weekly puffs in the past month (Enter '000' if none used) (1050) _____ weekly puffs
3. Rescue treatment via a Nebulizer Machine (e.g., albuterol, ipratropium, Combivent, Xopenex, levalbuterol) (1060) ₁ Yes ₀ No ₉ Don't Know
_____ / _____ / 20 _____
(1070) (1080) (1090)
4. Long-acting Inhaled Beta-Agonists (e.g., Serevent, Foradil, salmeterol, formoterol) (1100) ₁ Yes ₀ No ₉ Don't Know
→ **Do not consider combination medications.** _____ / _____ / 20 _____
(1110) (1120) (1130)
5. Oral Beta-Agonists (e.g., albuterol, Brethine, Bricanyl, metaproterenol, Proventil, Ventolin, Repetabs, Volmax) (1140) ₁ Yes ₀ No ₉ Don't Know
_____ / _____ / 20 _____
(1150) (1160) (1170)



6. Oral Theophylline (short-acting or sustained release) (1180) ₁ Yes $\frac{\text{____}}{(1190)} / \frac{\text{____}}{(1200)} / 20 \frac{\text{____}}{(1210)} \text{---}$
₀ No
₉ Don't Know

**If Yes, indicate date medication was last taken
Month / Day / Year**

7. Inhaled Anticholinergic by Inhaler (e.g., Atrovent, Combivent, Spiriva) (1220) ₁ Yes $\frac{\text{____}}{(1230)} / \frac{\text{____}}{(1240)} / 20 \frac{\text{____}}{(1250)} \text{---}$
₀ No
₉ Don't Know

8. Leukotriene Antagonist / 5LO Inhibitors (e.g., Accolate, Zflo, Singulair) (1260) ₁ Yes $\frac{\text{____}}{(1270)} / \frac{\text{____}}{(1280)} / 20 \frac{\text{____}}{(1290)} \text{---}$
₀ No
₉ Don't Know

9. IgE Blocker (e.g., Xolair) (1300) ₁ Yes $\frac{\text{____}}{(1310)} / \frac{\text{____}}{(1320)} / 20 \frac{\text{____}}{(1330)} \text{---}$
₀ No
₉ Don't Know

10. Oral Steroids FOR ASTHMA (e.g., Prednisone, Prelone, PEDIAPRED, Medrol, Orapred, Decadron, dexamethasone) (1340) ₁ Yes $\frac{\text{____}}{(1350)} / \frac{\text{____}}{(1360)} / 20 \frac{\text{____}}{(1370)} \text{---}$
₀ No
₉ Don't Know

10a. If **YES**, in the past 12 months, how many courses of steroids by mouth have you taken FOR ASTHMA? (1380) ₁ 1 course
₂ 2 courses
₃ 3 courses
₄ 4 courses
₅ 5 courses
₆ More than 5 courses

11. Injectable Steroids FOR ASTHMA (e.g., Medrol, Solumedrol, Decadron, dexamethasone, triamcinolone, Kenalog, hydrocortisone IV) (1390) ₁ Yes $\frac{\text{____}}{(1400)} / \frac{\text{____}}{(1410)} / 20 \frac{\text{____}}{(1420)} \text{---}$
₀ No
₉ Don't Know



12. Steroids by Inhaler (1430) ₁ Yes _____ / _____ / 20 _____
 (e.g., Asmanex Twisthaler, QVAR, Flovent, ₀ No (1440) / (1450) / (1460)
 Pulmicort Flexhaler) ₉ Don't
 → Do not consider combination Know
 medications.

→ If YES, complete Q12a – Q12c

12a. Indicate most recent type of inhaled steroid taken (1470) _____ code
 (refer to PRIOR_TRT_CARD reference card)

12ai. If **Other**, specify the name of the medication (1470D) _____

12b. Indicate number of daily puffs used (1480) _____ daily puffs

12c. Indicate the total number of months that you used the (1490) _____ months
 inhaled steroid out of the past 12 months

**If Yes, indicate date
medication was last taken
Month / Day / Year**

13. Steroids by Nebulizer (1500) ₁ Yes _____ / _____ / 20 _____
 (e.g., Pulmicort Respules, budesonide) ₀ No (1510) / (1520) / (1530)
 → If YES, complete Q13a – Q13c ₉ Don't
 Know

13a. Indicate most recent type of nebulized steroid taken (1535) _____ code
 (refer to PRIOR_TRT_CARD reference card)

13ai. If **Other**, specify the name of the medication (1500D) _____

13b. Indicate number of daily treatments used (1540) _____ daily treatments

13c. Indicate the total number of months that you used the (1550) _____ months
 nebulized steroid out of the past 12 months

14. Long-Acting Beta-Agonist and Inhaled Steroid (1560) ₁ Yes _____ / _____ / 20 _____
 Combination Medications ₀ No (1570) / (1580) / (1590)
 (e.g., Advair Diskus, Symbicort MDI, Dulera ₉ Don't
 MDI) Know
 → If YES, complete Q14a – Q14c

14a. Indicate most recent type of combination medication (1600) _____ code
 taken (refer to PRIOR_TRT_CARD reference card)

14ai. If **Other**, specify the name of the medication (1600D) _____

14b. Indicate number of daily puffs used (1610) _____ daily puffs

14c. Indicate the total number of months that you used the (1620) _____ months
 combination medication out of the past 12 months



During the past 12 months were the following nasal treatments used FOR ALLERGIES?

- | | | | |
|--|--------|---|--|
| 15. Nasal Steroids
(e.g., Beconase, Vancenase, Flonase, Nasacort, Nasalide, Nasarel, Omnaris, Rhinocort, Nasonex) | (1630) | <input type="checkbox"/> ₁ Yes
<input type="checkbox"/> ₀ No
<input type="checkbox"/> ₉ Don't Know | $\frac{\text{____}}{(1640)} / \frac{\text{____}}{(1650)} / 20 \frac{\text{____}}{(1660)} \text{---}$ |
| 16. Non-steroidal Anti-allergic Nasal Medications
(e.g., Nasalcrom, Astelin, Astepro, ipratropium) | (1670) | <input type="checkbox"/> ₁ Yes
<input type="checkbox"/> ₀ No
<input type="checkbox"/> ₉ Don't Know | $\frac{\text{____}}{(1680)} / \frac{\text{____}}{(1690)} / 20 \frac{\text{____}}{(1700)} \text{---}$ |

During the past 12 months were the following general allergy treatments used?

**If Yes, indicate date medication was last taken
Month / Day / Year**

- | | | | |
|--|--------|---|--|
| 17. Anti-allergic Oral Medications
(e.g., fexofenadine, loratadine, cetirizine, chlorpheniramine) | (1710) | <input type="checkbox"/> ₁ Yes
<input type="checkbox"/> ₀ No
<input type="checkbox"/> ₉ Don't Know | $\frac{\text{____}}{(1720)} / \frac{\text{____}}{(1730)} / 20 \frac{\text{____}}{(1740)} \text{---}$ |
|--|--------|---|--|

During the past 12 months were the following skin treatments used FOR ECZEMA OR ALLERGIES?

- | | | | |
|--|--------|---|--|
| 18. Topical Steroids – Prescription
(e.g., Synalar, Lidex, Dermacin, Fluocinonide) | (1750) | <input type="checkbox"/> ₁ Yes
<input type="checkbox"/> ₀ No
<input type="checkbox"/> ₉ Don't Know | $\frac{\text{____}}{(1760)} / \frac{\text{____}}{(1770)} / 20 \frac{\text{____}}{(1780)} \text{---}$ |
| 19. Topical Steroids – OTC
(e.g., Hydrocortisone - multiple strengths and products) | (1790) | <input type="checkbox"/> ₁ Yes
<input type="checkbox"/> ₀ No
<input type="checkbox"/> ₉ Don't Know | $\frac{\text{____}}{(1800)} / \frac{\text{____}}{(1810)} / 20 \frac{\text{____}}{(1820)} \text{---}$ |



During the past 12 months were there any
OTHER medications used FOR ASTHMA OR
ALLERGIES?

20. Other Medication FOR ASTHMA OR ALLERGIES (1830) ₁ Yes ₀ No ₉ Don't Know
- _____ / _____ / 20 _____
(1840) (1850) (1860)

20a. If **YES**, specify the name of the medication (1830D) _____

During the past 12 months were the following
treatments used for conditions **OTHER THAN**
ASTHMA?

21. Oral Steroids for Conditions Other Than Asthma (1870) ₁ Yes ₀ No ₉ Don't Know
- (e.g., Prednisone, Prelone, Pediapred, Medrol, Orapred, Decadron, dexamethasone)
- _____ / _____ / 20 _____
(1880) (1890) (1900)

21a. If **YES**, specify indication (1870D) _____

**If Yes, indicate date
medication was last taken
Month / Day / Year**

22. Injectable Steroids for Conditions Other Than Asthma (1910) ₁ Yes ₀ No ₉ Don't Know
- (e.g., Medrol, Solumedrol, Decadron, dexamethasone, triamcinolone, Kenalog, hydrocortisone IV)
- _____ / _____ / 20 _____
(1920) (1930) (1940)

22a. If **YES**, specify indication (1910D) _____

COMMENTS: (6000)



Record the number of the most recent type of inhaled steroid taken in Q12a on the PRIOR_TRT form.

- 100 beclomethasone MDI (1 puff = 40 mcg) (e.g., **QVAR**)
- 101 beclomethasone MDI (1 puff = 80 mcg) (e.g., **QVAR**)
- 102 beclomethasone MDI (1 puff = 100 mcg) (e.g., **QVAR—Canadian**)
- 200 budesonide DPI (1 puff = 90 mcg) (e.g., **Pulmicort Flexhaler**)
- 201 budesonide DPI (1 puff = 180 mcg) (e.g., **Pulmicort Flexhaler**)
- 300 ciclesonide MDI (1 puff = 80 mcg) (e.g., **Alvesco**)
- 301 ciclesonide MDI (1 puff = 160 mcg) (e.g., **Alvesco**)
- 400 flunisolide MDI (1 puff = 80 mcg) (e.g., **Aerospan**)
- 501 fluticasone propionate MDI (1 puff = 44 mcg) (e.g., **Flovent**)
- 502 fluticasone propionate MDI (1 puff = 110 mcg) (e.g., **Flovent**)
- 503 fluticasone propionate MDI (1 puff = 220 mcg) (e.g., **Flovent**)
- 600 fluticasone propionate DPI (1 puff = 50 mcg) (e.g., **Flovent Diskus**)
- 601 fluticasone propionate DPI (1 puff = 100 mcg) (e.g., **Flovent Diskus**)
- 602 fluticasone propionate DPI (1 puff = 250 mcg) (e.g., **Flovent Diskus**)
- 610 fluticasone furoate (1 puff = 100 mcg) (e.g., **Arnuity Ellipta DPI**)
- 611 fluticasone furoate (1 puff = 200 mcg) (e.g., **Arnuity Ellipta DPI**)
- 700 mometasone DPI (1 puff = 110 mcg) (e.g., **Asmanex Twisthaler**)
- 701 mometasone DPI (1 puff = 220 mcg) (e.g., **Asmanex Twisthaler**)
- 702 mometasone furoate (1 puff = 100 mcg) (e.g., **Asmanex HFA**)
- 999 Other

Record the number of the most recent type of nebulized steroid taken in Q13a on the PRIOR_TRT form.

- 10 budesonide (1 neb = 0.25 mg) (e.g., **Pulmicort Respules**)
- 11 budesonide (1 neb = 0.5 mg) (e.g., **Pulmicort Respules**)
- 12 budesonide (1 neb = 1.0 mg) (e.g., **Pulmicort Respules**)
- 99 Other

Record the number of the most recent type of inhaled steroid/long-acting beta-agonist taken in Q14a on the PRIOR_TRT form.

- 1000 budesonide (1 puff = 80 mcg) / formoterol (1 puff = 4.5 mcg) (e.g., **Symbicort MDI**)
- 1001 budesonide (1 puff = 160 mcg) / formoterol (1 puff = 4.5 mcg) (e.g., **Symbicort MDI**)
- 1100 fluticasone propionate (1 puff = 100 mcg) / salmeterol (1 puff = 50 mcg) (e.g., **Advair Diskus**)
- 1101 fluticasone propionate (1 puff = 250 mcg) / salmeterol (1 puff = 50 mcg) (e.g., **Advair Diskus**)
- 1102 fluticasone propionate (1 puff = 500 mcg) / salmeterol (1 puff = 50 mcg) (e.g., **Advair Diskus**)
- 1103 fluticasone propionate (1 puff = 45 mcg) / salmeterol (1 puff = 21 mcg) (e.g., **Advair MDI**)
- 1104 fluticasone propionate (1 puff = 115 mcg) / salmeterol (1 puff = 21 mcg) (e.g., **Advair MDI**)
- 1105 fluticasone propionate (1 puff = 230 mcg) / salmeterol (1 puff = 21 mcg) (e.g., **Advair MDI**)
- 1110 fluticasone furoate (1 puff = 100 mcg) / vilanterol (1 puff = 25 mcg) (e.g., **Breo Ellipta DPI**)
- 1111 fluticasone furoate (1 puff = 200 mcg) / vilanterol (1 puff = 25 mcg) (e.g., **Breo Ellipta DPI**)
- 1200 mometasone (1 puff = 100 mcg) / formoterol (1 puff = 5 mcg) (e.g., **Dulera MDI**)
- 1201 mometasone (1 puff = 200 mcg) / formoterol (1 puff = 5 mcg) (e.g., **Dulera MDI**)
- 9999 Other



(Participant Completed)

The questions in this scale ask you about your feelings and thoughts **during the last month**. In each case, you will be asked to indicate by checking *how often* you felt or thought a certain way. Please check only one box for each question.

	Never	Almost Never	Sometimes	Fairly Often	Very Often
1. In the last month, how often have you been upset because of something that happened unexpectedly? (1000)	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄
2. In the last month, how often have you felt that you were unable to control the important things in your life? (1010)	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄
3. In the last month, how often have you felt nervous and "stressed?" (1020)	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄
4. In the last month, how often have you felt confident about your ability to handle your personal problems? (1030)	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄
5. In the last month, how often have you felt that things were going your way? (1040)	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄
6. In the last month, how often have you found that you could not cope with all the things that you had to do? (1050)	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄
7. In the last month, how often have you been able to control irritations in your life? (1060)	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄
8. In the last month, how often have you felt that you were on top of things? (1070)	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄
9. In the last month, how often have you been angered because of things that happened that were outside of your control? (1080)	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄
10. In the last month, how often have you felt difficulties were piling up so high that you could not overcome them? (1090)	<input type="checkbox"/> ₀	<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄

Participant Source Documentation

Participant Initials: ____ (1100)

 Date: ____ / ____ / 20 ____ (1110)
MM DD YYYY

Time: ____ (based on a 24-hour clock) (1120)



(Participant Completed)

The following statements are about how asthma affects the quality of your life. For each statement, please check the one answer that comes closest to the way asthma has affected your life.

		Not at all	A little bit	Somewhat	Quite a bit	Very much
1. In the <u>past 4 weeks</u> , I worried about the long-term effects of asthma on my health (1000)		<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
2. In the <u>past 4 weeks</u> , I had to worry about asthma triggers (1010)		<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
3. In the <u>past 4 weeks</u> , my asthma was on my mind (1020)		<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
4. In the <u>past 4 weeks</u> , it was hard to get a good night's sleep because of my asthma (1030)		<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
5. In the <u>past 4 weeks</u> , I felt like I couldn't enjoy life because of my asthma (1040)		<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
6. In the <u>past 4 weeks</u> , I felt that asthma was controlling my life (1050)		<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
7. In the <u>past 4 weeks</u> , I felt frustrated that I couldn't make plans in advance because of my asthma (1060)		<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
8. In the <u>past 4 weeks</u> , <i>because of my asthma</i> , everyday activities were a struggle (1070)		<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
9. In the <u>past 4 weeks</u> , asthma placed stress on my relationships with family, friends, significant others, or co-workers (1080)		<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
10. In the <u>past 4 weeks</u> , <i>because of my asthma</i> , I felt frustrated that I have to do things differently than people who don't have asthma (1090)		<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
11. In the <u>past 4 weeks</u> , I felt like I missed out on doing things with others because of my asthma (1100)		<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅
12. In the <u>past 4 weeks</u> , <i>because of my asthma</i> , I had to do a lot of planning to make sure I always had an inhaler ready (1110)		<input type="checkbox"/> ₁	<input type="checkbox"/> ₂	<input type="checkbox"/> ₃	<input type="checkbox"/> ₄	<input type="checkbox"/> ₅



Part. ID: ____ - ____ - ____

Part. Initials: ____

Visit: ____

Visit Date: ____ / ____ / 20 ____

Coordinator ID: ____

Participant Source Documentation

Participant Initials: ____ (1120)

Date: ____ / ____ / 20 ____ (1130)
MM DD YYYY

Time: ____ (based on a 24-hour clock) (1140)



“Attach Registry Form
Label Here”

AsthmaNet REGISTRY FORM

Participant's Last Name: _____

Participant's First Name: _____

Participant's Initials: _____

Coordinator ID: _____

(Coordinator Completed by Interview)

Search the AsthmaNet Registry. If the participant has incomplete status or is not found in the registry, complete the Registry form and enter/update the participant's information appropriately.

ADMINISTRATIVE

1. Three-digit ID for site registering participant and maintaining source documentation: (SITE_REG) _____
2. Is the participant ≥ 18 years old? (1000) ₁ Yes ₀ No
→ If **NO**, skip to Q3.
- 2a. IF **YES**: Did the participant sign and date an AsthmaNet Protocol Informed Consent and a HIPAA Authorization Form? (1010) ₁ Yes ₀ No
→ If **NO**, STOP HERE. Data cannot be entered into the AsthmaNet Registry.
- 2ai. IF **YES**: Record the date the consent form was signed. (1020) ____ / ____ / _____
→ Skip to Q5.
3. If the participant is < 18 years old, did the parent/legal guardian sign and date an AsthmaNet Protocol Informed Consent and a HIPAA Authorization Form? (1030) ₁ Yes ₀ No
→ If **NO**, STOP HERE. Data cannot be entered into the AsthmaNet Registry.
- 3a. If **YES**: Record the date the consent form was signed. (1040) ____ / ____ / _____
4. Did the participant sign and date an AsthmaNet Protocol Informed Assent and HIPAA Authorization form according to local IRB rules and regulations? (1050) ₁ Yes ₀ No
→ If **NO**, STOP HERE. Data cannot be entered into the AsthmaNet Registry.
₂ Not required by IRB
→ If **NOT REQUIRED**, skip to Q5.
- 4a. If **YES**: Record the date assent was given. (1060) ____ / ____ / _____

DEMOGRAPHICS

5. Participant's date of birth (Ask the participant his/her date of birth.) (1070) ____ / ____ / _____
6. Participant's gender (1080) ₁ Male ₂ Female



Participant's Last Name: _____

Participant's First Name: _____

7. Participant's ethnic background
(Ask the participant to identify his/her ethnic background.)
- (1090) ₁ Hispanic or Latino
₂ Not Hispanic or Latino
8. Participant's racial background
(Ask the participant to identify all that apply. Check at least one Yes.)
- 8a. American Indian or Alaskan Native (1100) ₁ Yes ₀ No
- 8b. Asian (1110) ₁ Yes ₀ No
- 8c. Black or African American (1120) ₁ Yes ₀ No
- 8d. White (1130) ₁ Yes ₀ No
- 8e. Native Hawaiian or Other Pacific Islander (1140) ₁ Yes ₀ No
9. Participant's primary racial identification (Ask the parent/guardian or participant which category best describes the participant, and check only one box.)
- (1150) ₁ American Indian or Alaskan Native
₂ Asian or Pacific Islander
₃ Black or African American
₄ White
₅ Hispanic or Latino
₆ Other
- (1160) _____

Registry Form Storage Instructions:

Print the participant's Registry Report with his/her name on the report. Registry Reports and completed Registry forms should be stored alphabetically by participant's last name in the AsthmaNet Registry binder.

REGISTRY FORMS AND REPORTS SHOULD NOT BE SENT TO THE DCC.

Participant/Guardian Source Documentation

Participant/Guardian Initials: _____

Date: ____ / ____ / 20 ____
MM DD YYYY

(Coordinator Completed)

This form and a final resolution report (including relevant documents) written by the Principal Investigator should be faxed to the DCC at (717) 531-4359 within 72 hours of notification of a serious event. Also fax the Clinical Adverse Events form (AECLIN), the Concomitant Medications for Asthma and Allergies (CMED) form, and any relevant source documents.

1. Date of Adverse Event (1000) ____ / ____ / 20 ____
MM DD YYYY
2. Description of Adverse Event (ICD9 Code) (1010) ____ . ____
Describe: (1010D) _____
3. Is the participant currently taking study drug? (1020) ₁ Yes ₀ No
→ If **NO**, skip to Q6.
4. Time interval between the last administration of the study drug and the Adverse Event (1030) ____
5. What was the unit of time for the interval in Question #4? (1040) ₁ Second(s)
₂ Minute(s)
₃ Hour(s)
₄ Day(s)
6. Why was the event serious?
 - 6a. Fatal event (1050) ₁ Yes ₀ No
 - 6b. Life-threatening event (1060) ₁ Yes ₀ No
 - 6c. Inpatient hospitalization required (1070) ₁ Yes ₀ No
→ If **NO**, skip to Q6d.
 - 6ai. Admission date (1080) ____ / ____ / 20 ____
MM DD YYYY
 - 6aii. Discharge date (1090) ____ / ____ / 20 ____
MM DD YYYY
 - 6d. Hospitalization prolonged (1100) ₁ Yes ₀ No
 - 6e. Disabling or incapacitating (1110) ₁ Yes ₀ No
 - 6f. Overdose (1120) ₁ Yes ₀ No



- 6g. Cancer (1130) ₁ Yes ₀ No
- 6h. Congenital anomaly (1140) ₁ Yes ₀ No
- 6i. Serious laboratory abnormality with clinical symptoms (1150) ₁ Yes ₀ No
- 6j. Height failure (per protocol MOP) (1160) ₁ Yes ₀ No
- 6k. Pregnancy (1170) ₁ Yes ₀ No ₉ N/A
- 6l. Other (1180) ₁ Yes ₀ No

If **YES**, describe:

(1180D) _____

7. What in your opinion caused the event?

- 7a. Toxicity of study drug(s) (1190) ₁ Yes ₀ No
- 7b. Withdrawal of study drug(s) (1200) ₁ Yes ₀ No
- 7c. Concurrent medication (1210) ₁ Yes ₀ No

If **YES**, describe:

(1210D) _____

7d. Other condition or event

(1220) ₁ Yes ₀ No

If **YES**, describe:

(1220D) _____

(Investigator Completed)

8. Was the event expected or unexpected? (1240) ₁ Expected ₂ Unexpected
9. Was the event possibly, probably, or definitely related to study participation? (1250) ₁ Yes ₀ No

DO NOT ENTER THE FOLLOWING QUESTIONS: FOR REPORTING PURPOSES ONLY.

10. If participant died, cause of death: _____

11. Was an autopsy performed? Yes No

If YES, attach report or send as soon as possible.



REPORTING INVESTIGATOR:

Please provide a typed summary of the event including: the participant's status in the study, whether study drugs will be continued, follow-up treatment plans, and communication with the treating physicians and participant or participant's parent/guardian.

COMMENTS: (6000)

Name: _____

Signature: _____

Date: ___ / ___ / 20___
 MM DD YYYY

(Coordinator Completed)

PARTICIPANT MEASUREMENTS – Complete at all applicable study visits

1. What type of height measurement was obtained? (1060) ₁ Standing height
₂ Length

1a. First measurement (1070) ____ . ____ cm

1b. Second measurement (1080) ____ . ____ cm

1c. Third measurement (1090) ____ . ____ cm

1d. Average height or length measurement (1100) ____ . ____ cm

→ **Plot average height or length on gender- and age-appropriate growth charts. See study MOP for further details.**

- 1e. In your judgment, was the participant's height or length measurement acceptable? (1110) ₁ Yes ₀ No

1ei. If **NO**, why was it unacceptable? (1120D) _____

2. Weight (shoes off, light clothing) (1130) ____ . ____ kg

→ **Plot weight on gender- and age-appropriate growth charts. See study MOP for further details.**

ORAL CANDIDIASIS

3. Does the participant have evidence of oral candidiasis? (1140) ₁ Yes ₀ No

→ **If YES, complete the Clinical Adverse Events (AECLIN) form.**



DO NOT DATA ENTER THE INFORMATION ON THE REST OF THE FORM EXCEPT THE COMMENTS (IF APPLICABLE)

Please indicate current physical findings by checking the appropriate boxes below. If ABNORMAL, please describe concisely.

	Not Done	Normal	Abnormal	
4. Hair and Skin	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
5. Eyes, Ears, Nose, and Throat	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
6. Respiratory	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____
6a. If Abnormal:			<input type="checkbox"/>	Wheeze on inspiration or expiration
			<input type="checkbox"/>	Adventitious sounds other than wheezing
			<input type="checkbox"/>	Other _____

Coordinator Source Documentation

Coordinator Signature: _____

Printed Name: _____

Date: ____ / ____ / 20 ____
MM DD YYYY

Time: ____ (based on a 24-hour clock)

COMMENTS: (6000)



Supervisor ID: _____

Part. ID: ____ - ____ - ____

Part. Initials: _____

Visit: _____

Visit Date: ____ / ____ / 20 ____

Technician ID: _____

(Technician Completed)

Complete this form only if the participant is eligible according to the appropriate Pulmonary Procedure Checklist form.

1. Time spirometry started (*based on 24-hour clock*) (1010) _____

The reported FEV₁, FVC and FEF Max are the best measurements of all acceptable maneuvers.

2. Highest FVC (1020) ____ . ____ L

3. Highest FEV₁ (1030) ____ . ____ L

4. Highest FEV₁ (% predicted) (1040) _____ % predicted

5. FEF Max (1050) ____ . ____ L/S

The reported FEF₂₅₋₇₅ corresponds to the maneuver where FEV₁ + FVC is maximized.

6. FEF₂₅₋₇₅ (1060) ____ . ____ L/S

7. In your judgment, was the participant's spirometry technique acceptable? (1070) ₁ Yes ₀ No

COMMENTS: (6000)



(Technician Completed)

1. Date of Read (1000) ____ / ____ / 20 ____
MM DD YYYY
2. Rate slide's quality: (1010) ₁ Very good
→ Comment: (6000) ₂ Good
₃ Acceptable
₄ Poor but readable
₅ Not readable
3. Record the number on the slide(s) that was (were) read (1020) ____
→ **These are numbers that were assigned to the slides at each site.** (1030) ____
4. Total Cell Count (1040) ____ . ____ x 10⁴ cells/ml
→ **Transcribe Total Cell Count from the Sputum Processing Worksheet.**

Differential Cell Counts

5. Squamous Cells (1050) ____ . ____ %

The parameters below are calculated following exclusion of squamous cells.

6. Epithelial Cells (1060) ____ . ____ %
7. Macrophages (1070) ____ . ____ %
8. Neutrophils (1080) ____ . ____ %
9. Eosinophils (1090) ____ . ____ %
10. Lymphocytes (1100) ____ . ____ %



(Technician Completed)

Complete this form only if the participant is eligible according to the Sputum Induction Checklist (SPUTUMCHK) form.

(If attempting sputum induction for the first time in this protocol or participant has not had an adequate sample at prior attempts, do not complete Q1.)

1. For this protocol, what was the duration of sputum induction the first time the participant's sample was processed within 4 hours after collection? (1000) ____ . ____ minutes

Duration of sputum induction at current visit should not exceed this.

2. Sputum induction start time *(based on 24-hour clock)* (1010) ____
3. Sputum induction stop time *(based on 24-hour clock)* (1020) ____
4. Duration of sputum induction collection phase at this visit (1030) ____ . ____ minutes
- 4a. Was the duration ≥ 4 minutes? (1040) ₁ Yes ₀ No
5. Volume of sputum sample at this visit (1050) ____ . ____ ml
- 5a. Is the volume adequate for processing? (1060) ₁ Yes ₀ No

6. Is the sample adequate for laboratory analysis? (1070) ₁ Yes ₀ No
If either shaded box in Q4a or Q5a is completed, the sputum sample is not adequate and should not be sent for processing.

→ If YES, the technician processing the sample should complete the Sputum Induction Lab Values (SPUTLAB) form.



7. Participant's FEV₁ immediately after completion of sputum induction:

- 7a. FEV₁ (1080) ____ . ____ L
- 7b. FEV₁ (% predicted) (1090) ____ % predicted
- 7c. Time of FEV₁ in Q7a (based on 24-hour clock) (1100) ____
- 7d. Percent difference in FEV₁ $\frac{(\text{Reference} - \text{Q7a})}{\text{Reference}} \times 100$ (1110) ____ . ____ %

Reference = FEV₁ used for assessment of eligibility for SI.

- 7e. Did the participant's FEV₁ drop > 10% from reference FEV₁ as indicated in Q7d? (1120) ₁ Yes ₀ No

→ **If NO, STOP HERE and continue with remaining visit procedures.**

→ **If YES, proceed to the Additional Treatment for Sputum Induction (SPUTUM_ADD_TRT) form.**

COMMENTS: (6000)



(Technician Completed)

Complete this form only if the participant is eligible according to the appropriate Pulmonary Procedure Checklist form and successfully completed baseline spirometry session(s).

(Only complete Q1 for participants who completed a methacholine challenge at this visit.)

1. Was the participant's FEV₁ after reversal from the methacholine challenge \geq 90% of the baseline FEV₁ (i.e., greater than or equal to the methacholine reversal reference value (B) in the gray box on the Methacholine Challenge Testing (METHA) form)? (1000) ₁ Yes ₀ No

1a. If **NO**, has the participant received permission from the supervising physician to proceed with sputum induction testing? (1010) ₁ Yes ₀ No

Physician's Signature: (1020) _____

2. Participant's FEV₁ used for assessment of eligibility for sputum induction (1030) ____ . ____ L

3. Participant's FEV₁ (% predicted) used for assessment of eligibility for sputum induction (1040) ____ % predicted

4. Was the participant's FEV₁ (% predicted) from Q3 \geq 50% predicted? (1050) ₁ Yes ₀ No

5. Has the participant used any smokeless tobacco products (e.g., chew, snuff) today? (1055) ₁ Yes ₀ No

6. Is there any other reason the participant should not proceed with sputum induction? (1060) ₁ Yes ₀ No

If **YES**, explain: (1060D) _____

7. Is the participant eligible for sputum induction? (1070) ₁ Yes ₀ No
If any of the shaded boxes are completed, the participant is NOT eligible for sputum induction.

→ If YES, proceed to the Sputum Induction (SPUTUM) form.

COMMENTS: (6000)



(Technician Completed)

Complete this form only if the participant has experienced > 10% fall in FEV₁ immediately after completion of sputum induction.

Clinic Use Only

Sputum Induction Reversal Reference Value: Reference X 0.90 = ____ . ____ L

Reference = FEV₁ used for assessment of eligibility for Sputum Induction.

➔ Administer 2 puffs of albuterol and wait 10-15 minutes, then perform spirometry.

1. Participant's FEV₁ after initial 2 puffs of albuterol

- 1a. FEV₁ (1000) ____ . ____ L
- 1b. FEV₁ (% predicted) (1010) ____ % predicted
- 1c. Time of FEV₁ from Q1a (based on 24-hour clock) (1020) _____
- 1d. Was the FEV₁ from Q1a \geq the sputum induction reversal reference value in the gray box above? (1030) ₁ Yes ₀ No
- ➔ If **YES**, stop here and continue with remaining visit procedures.
- ➔ If **NO**, administer 2 puffs of albuterol and wait 10-15 minutes, then perform spirometry. Proceed to Q2.

2. Participant's FEV₁ after 2 additional puffs of albuterol

- 2a. FEV₁ (1040) ____ . ____ L
- 2b. FEV₁ (% predicted) (1050) ____ % predicted
- 2c. Time of FEV₁ from Q2a (based on 24-hour clock) (1060) _____
- 2d. Was the FEV₁ from Q2a \geq the sputum induction reversal reference value in the gray box above? (1070) ₁ Yes ₀ No
- ➔ If **NO**, complete the source documentation box below.

Physician Source Documentation

Physician Signature: _____ (1080)

Date: ____ / ____ / 20 ____ (1090)
 MM DD YYYY

Time: ____ : ____ (based on a 24-hour clock) (1100)



(Participant Completed)

The following questions ask about the effect of your asthma on your ability to work, attend classes, and perform regular daily activities. When you think about the past seven days, do not include today. Please check the box or fill in the blank as indicated.

1. Are you currently employed (working for pay)? (1000) ₁ Yes ₀ No
➔ If **NO**, skip to Question 5.
2. In general, how many hours per week do you usually work? (1010) ____ . ____ hours
3. During the past seven days, how many hours did you miss from work because of problems associated with your asthma? Include hours you missed because you were sick, times you went in late, left early, etc. because you were experiencing problems with your asthma. (Do not include time you missed to participate in this study.) (1020) ____ . ____ hours
4. During the past seven days, how much did asthma affect your productivity while you were working? Think about days you were limited in the amount or kind of work you could do, days you accomplished less than you would like, or days you could not do your work as carefully as usual. If asthma affected your work only a little, choose a low number. Choose a high number if asthma affected your work a great deal.

Asthma had no effect on my work

0 1 2 3 4 5 6 7 8 9 10

CIRCLE A NUMBER

Asthma completely prevented me from working

Coordinator Completed

(1030) ____

5. Do you currently attend classes in an academic setting (middle school, high school, college, graduate school, additional course work, etc.)? (1040) ₁ Yes ₀ No
➔ If **NO**, skip to Question 9.
6. In general, how many hours per week do you usually attend classes? (1050) ____ . ____ hours
7. During the past seven days, how many hours did you miss from class or school because of problems associated with your asthma? (Do not include time you missed to participate in this study.) (1060) ____ . ____ hours



8. During the past seven days, how much did asthma affect your productivity while in school or attending classes in an academic setting? Think about days your attention span was limited, you had trouble with comprehension or days in which you could not take tests as effectively as usual. If asthma affected your productivity at school or in class only a little, choose a low number. Choose a high number if asthma affected your productivity a great deal.

Asthma had no effect on my class work

0 1 2 3 4 5 6 7 8 9 10

CIRCLE A NUMBER

Asthma completely prevented me from doing my class work

Coordinator Completed

(1070) ____

9. During the past seven days, how much did your asthma affect your ability to do your regular daily activities, other than work at a job or attend classes? By regular activities, we mean the usual activities you do, such as work around the house, shopping, childcare, exercising, studying, etc. Think about times you were limited in the amount or kind of activities you could do and times you accomplished less than you would like. If asthma affected your activities only a little, choose a low number. Choose a high number if asthma affected your activities a great deal.

Asthma had no effect on my daily activities

0 1 2 3 4 5 6 7 8 9 10

CIRCLE A NUMBER

Asthma completely prevented me from doing my daily activities

Coordinator Completed

(1080) ____

Participant Source Documentation

Participant Initials: ____ (1090)

Date: ____ / ____ / 20 ____ (1100)
MM DD YYYY

Time: ____ (based on a 24-hour clock) (1110)



(Coordinator Completed)

Complete Question 1 at all visits 0A1, 0B, 0C, 0D, and 1-13.

1. Diary and Peak Flow Compliance

- 1a. Number of full days since the last visit (1000) ____ days
- 1b. Number of days where AM and PM scheduled sessions are complete (AM and PM PEF and all diary questions for AM and PM answered) (1010) ____ days
- 1c. Percent compliance (1020) ____ . ____ %

→ **If the compliance value in Q1c is less than 75%, re-emphasize the importance of completing scheduled diary assessments and peak flows.**

Complete Question 2 for post-randomization visits and early post-randomization terminations only.

2. Scheduled Diskus[®] Compliance

- 2a. Number of scheduled puffs since the last visit (1030) ____ puffs
- 2b. Number of remaining puffs reflected on scheduled Diskus[®] counter(s) (1040) ____ puffs

→ **Do not count puffs during the 12 hour hold period prior to the visit.**

→ **If two or more used Diskuses[®] are returned (i.e., out of their pouches), then total the values reflected on all counters.**

- 2c. Number of puffs taken (1050) ____ puffs
- 2d. Percent compliance = $Q2c/Q2a \times 100$ (1060) ____ . ____ %

→ **If the participant took less than 75% of the scheduled Diskus[®] puffs, re-emphasize the importance of maintaining the daily dosing schedule.**

COMMENTS: (6000)



(Coordinator Completed by Interview)

Complete this form during post-randomization visits and scheduled phone contacts. Log attempts at phone contacts in the table below.

For Clinic Use Only				
Phone Contact Attempt	Coordinator ID	Date	Time	Contact Occurred?
1	_____	___ / ___ / _____	___ : ___ AM <input type="checkbox"/> PM <input type="checkbox"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
2	_____	___ / ___ / _____	___ : ___ AM <input type="checkbox"/> PM <input type="checkbox"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
3	_____	___ / ___ / _____	___ : ___ AM <input type="checkbox"/> PM <input type="checkbox"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
4	_____	___ / ___ / _____	___ : ___ AM <input type="checkbox"/> PM <input type="checkbox"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	_____	___ / ___ / _____	___ : ___ AM <input type="checkbox"/> PM <input type="checkbox"/>	<input type="checkbox"/> Yes <input type="checkbox"/> No

1. Who is the respondent?

- (1000) ₁ Self/Participant
₂ Parent/Guardian
₃ Other (specify)

(1000D) _____

2. Type of contact

- (1010) ₁ Phone
₂ Study visit

➔ If phone contact, ask the participant to retrieve his/her Asthma Monitoring Log for reference.

If you are interviewing a parent or guardian responding for a child, remind them that “you” is referring to the child who is the study participant.

Ask the participant the following questions:

3. Since your last study contact, have you had any increase in asthma symptoms (e.g., cough, wheeze, phlegm, shortness of breath)?

- (1020) ₁ Yes ₀ No

3a. If **YES**, explain:

(1020D) _____



4. Have you been taking your study Diskus[®] every morning and evening? (1030) ₁ Yes ₀ No
→ If **NO**, review study procedures with participant or his/her parent/guardian.
5. Have you been completing the spiroteI[®] diary every morning and evening? (1040) ₁ Yes ₀ No
→ If **NO**, review study procedures with participant or his/her parent/guardian.
6. Have you been performing three peak flow maneuvers every morning and evening? (1050) ₁ Yes ₀ No
→ If **NO**, review study procedures with participant or his/her parent/guardian.
7. Since your last study contact, have you been admitted to a hospital for an overnight stay of at least one night for your asthma? (1060) ₁ Yes ₀ No
→ If **YES**, complete a Significant Asthma Exacerbation (P5_SIGEX) form and a Serious Adverse Event Reporting Form (SERIOUS) for each event.
- 7a. If **YES**, how many times were you admitted? (1070) ____
8. Since your last study contact, have you been seen at an emergency department or urgent care facility for your asthma? (1080) ₁ Yes ₀ No
- 8a. If **YES**, how many times were you seen? (1090) ____
9. Since your last study contact, have you been seen for a clinic/office visit to a physician (primary care physician or other), physician's assistant, or nurse practitioner for your asthma? *Do not consider/include study visits.* (1100) ₁ Yes ₀ No
- 9a. If **YES**, how many times were you seen? (1110) ____
10. Since your last study contact, have you missed at least one half day of work, housework, school or daycare because of your asthma? *Do not count time off to attend study visits.* (1120) ₁ Yes ₀ No
- 10a. If **YES**, how many full or half days were missed due to asthma? (1130) ____ . ____ days



10b. If **YES**, what was the primary activity missed?

- (1140) ₁ Work
₂ School
₃ Daycare
₄ Housework
₅ Other (specify)

(1140D) _____

10c. If **YES**, was the activity missed due to:

10ci. worsening of asthma symptoms?

(1150) ₁ Yes ₀ No

10cii. time off to see your healthcare provider? *Do not consider/include study visits.*

(1160) ₁ Yes ₀ No

10ciii. side effects of your asthma medication?

(1170) ₁ Yes ₀ No

11. Since your last study contact, have you been prescribed any new medications for your asthma?

(1180) ₁ Yes ₀ No

11a. If **YES**, were you treated with prednisone or another systemic corticosteroid?

(1190) ₁ Yes ₀ No

→ If **YES**, complete a Significant Asthma Exacerbation (P5_SIGEX) form and update CMED and AECLIN.

11b. If **YES**, did you receive any other treatments for your asthma?

(1200) ₁ Yes ₀ No

11bi. If **YES**, list and update CMED:

(1200D) _____

12. Since your last study contact, have you had any medical problems unrelated to asthma?

(1210) ₁ Yes ₀ No

12a. If **YES**, comment:

(1210D) _____

→ If **YES**, complete AECLIN.

13. Since your last study contact, have you had any changes to your medications for conditions other than asthma?

(1220) ₁ Yes ₀ No

13a. If **YES**, explain:

(1220D) _____

→ If **YES**, complete or update the appropriate Concomitant Medications form (CMED, CMED_NON), if applicable.



COMMENTS: (6000)



(Coordinator Completed)

This questionnaire is to be completed at Visits 4, 7, 10 and 13 by the AsthmaNet coordinator who was primarily responsible for the participant's BARD visits during the preceding 14 weeks. If a randomized participant terminates prior to the end of a given treatment period, this form should be completed at the time of the termination visit. If a participant achieves treatment arm drop-out or treatment failure status, or he/she has an exacerbation near the end of a treatment period, this form should be completed when he/she stops taking his/her blinded Diskus[®] and transitions to open-label Flovent[®].

1. **Blinded Scheduled Diskus[®] Contents**

Participants in the BARD study are randomized to receive a blinded Diskus[®], the contents of which change from one 14-week treatment period to the next. You are blinded to the actual contents of the Diskus[®] at any given time. The Diskus[®] contains one of the following treatments (per puff). Please check the box next to the treatment that you believe the participant received **over the past 14 weeks**.

- (1000) ₁ fluticasone 100 mcg
(Age 5-11 Track only)
- ₂ fluticasone 100 mcg +
salmeterol 50 mcg
- ₃ fluticasone 250 mcg
- ₄ fluticasone 250 mcg +
salmeterol 50 mcg
- ₅ fluticasone 500 mcg
(Age 12-17 and Age 18+
Tracks only)

2. How sure are you about your answer in Q1?

- (1010) ₁ Absolutely sure – I know
what the Diskus[®] contains
- ₂ Moderately sure
- ₃ Somewhat sure
- ₄ Not sure at all – purely
guess

3. Please comment with respect to any observations you made that helped you make your choice in Q1.
(1020D)

Coordinator Source Documentation

Coordinator's Initials: ____ (1030)

Date: ____ / ____ / 20 ____ (1040)
MM DD YYYY



(Coordinator Completed)

1. Has the participant or parent/legal guardian signed the BARD main study informed consent document? (1000) ₁ Yes ₀ No

1a. If **YES**, record the date the form was signed. (1010) ____ / ____ / 20 ____
MM DD YYYY

2. **Age 5-11 and Age 12-17 Tracks Only:** Has the participant signed and dated the assent form or, if the participant is less than the local age of assent, has the participant given verbal assent? (1020) ₁ Yes ₀ No

3. Has the participant consented to genetic testing? (1030) ₁ Yes ₀ No

➔ **Participant must answer 'Yes' to the first question in the genetics consent section to participate. He/she may answer 'No' to the second question regarding consent for contact and still participate.**

4. Is the participant 5 years of age or older? (1040) ₁ Yes ₀ No

5. Does the participant report having at least one African American/Black biological grandparent? (1050) ₁ Yes ₀ No

6. Does the participant plan to move away from the clinical site in the upcoming 16 months? (1060) ₁ Yes ₀ No

7. Has the participant used investigative drugs and/or enrolled in an intervention trial in the past 30 days, or does the participant have plans to enroll in such a trial during the BARD study? (1070) ₁ Yes ₀ No

8. Does the participant have a medical contraindication to LABA (salmeterol) or a history of adverse reactions to ICS (fluticasone) or LABA preparations or any of their ingredients? (1080) ₁ Yes ₀ No

9. Has the participant received systemic corticosteroid treatment for any condition in the past 4 weeks? (1090) ₁ Yes ₀ No

10. Has the participant experienced an asthma exacerbation requiring systemic corticosteroids in the past 4 weeks? (1100) ₁ Yes ₀ No

11. Has the participant experienced a life-threatening asthma exacerbation requiring treatment with intubation and mechanical ventilation, or resulting in a hypoxic seizure in the past 2 years? (1110) ₁ Yes ₀ No



Complete Q12 only if IRB approval has NOT yet been obtained for protocol version 24.1.

12. Has the participant had a respiratory tract infection in the past 4 weeks? (1120) Yes No

Complete Q13 only if IRB approval has been obtained for protocol version 24.1.

13. Has the participant had a respiratory tract infection in the past 2 weeks? (1125) Yes No

14. Is the participant eligible to proceed? (1130) Yes No

If any of the shaded boxes is completed, the participant is ineligible.

→ If YES, proceed with remaining Visit 0A procedures.

→ If NO, STOP HERE.

Participant/Guardian Source Documentation

Participant/Guardian Initials: ____ (1140)

Date: ____ / ____ / 20____ (1150)
MM DD YYYY

COMMENTS: (6000)



(Coordinator Completed)

1. Has the participant required 6 or more courses of systemic corticosteroids for treatment of his/her asthma in the past year? (1000) ₁ Yes ₀ No
2. Has the participant been on a stable dose of his/her asthma controller (i.e., ICS or ICS/LABA) for the past 2 weeks? (1010) ₁ Yes ₀ No

Clinic Use Only

Record information on the participant's current ICS or ICS/LABA medications from the Prior Asthma/Allergy Treatment (PRIOR_TRT) form:

- a. ICS Code from Q1470, Q1535, or Q1600: _____
ai. ICS generic name (from PRIOR_TRT_CARD) _____
- b. # Daily puffs/treatments from Q1480, Q1540, or Q1610: _____ puffs/treatments
- c. ICS mcg/puff or mg/neb (from PRIOR_TRT_CARD): _____ mcg/mg
- d. ICS daily dose in mcg/mg (b x c): _____ mcg/mg
- e. Is the participant currently using LABA or tiotropium/Spiriva? Yes No

➔ ***Compare d to values on the ICS Dose and Step Determination reference card (P5_ICSDOSESTEP). Record ICS dose level in Q3.***

➔ ***Use P5_ICSDOSESTEP to determine the participant's current asthma guideline therapy step. Record in Q4.***

3. Participant's current ICS dose level (1020) ₁ Low
₂ Medium
₃ High

4. Participant's current asthma guideline therapy step (1030) _____

➔ ***Individuals on step 2 or 3 therapy will begin the run-in on 1xICS (refer to P5_FLOVENTDOSE).***

➔ ***Individuals on step 4 or 5 therapy will begin the run-in on 2-2.5xICS (refer to P5_FLOVENTDOSE).***

5. Open-label Flovent[®] Diskus[®] to be dispensed at this visit (1035) ₁ FP 50 mcg
₂ FP 100 mcg
₃ FP 250 mcg



Clinic Use Only

6. Participant's ACT/C-ACT score at this visit
 → **Total the values across all questions to get the overall score.**

6a. Is the participant's ACT/C-ACT score <20? (1040) ₁ Yes ₀ No

6ai. If **YES**, is the participant's guideline step ≤ 4? (1050) ₁ Yes ₀ No
 → Skip to Q7.

Complete Q6aii only if IRB approval has NOT been obtained for protocol version 24.0.

6aii. If **NO**, is the participant's guideline step ≥ 3? (1060) ₁ Yes ₀ No

7. Based on input from the participant and the study physician, will the participant need to use intranasal steroids at any time during the study? (1070) ₁ Yes ₀ No

7a. If **YES**, is the participant willing to use a single intranasal steroid at a stable dose continuously for the duration of the study? (1080) ₁ Yes ₀ No

8. Is the participant currently receiving allergen immunotherapy (e.g., allergy shots) other than an established maintenance regimen implemented continuously for a minimum of 3 months? (1090) ₁ Yes ₀ No

9. Has the participant smoked cigarettes, a pipe, cigar, marijuana, electronic cigarettes (e-cigs), or any other substance in the past year? (1100) ₁ Yes ₀ No

10. **Age 18+ Track Only:** Does the participant have a smoking history less than 10 pack-years? (1110) ₁ Yes ₀ No

11. **Age 5-11 and 12-17 Tracks Only:** Does the participant have a smoking history less than 5 pack-years? (1120) ₁ Yes ₀ No

12. Is the participant potentially able to bear children? (1130) ₁ Yes ₀ No ₉ N/A
 (If participant is male, check N/A and skip to Q13)

12a. If **YES**, is the participant currently pregnant or lactating? (1140) ₁ Yes ₀ No

12b. If **YES**, does the participant agree to use one of the approved methods indicated on the Birth Control Methods reference card (BIRTH_CTRL) for the duration of the study? (1150) ₁ Yes ₀ No



13. Does the participant have current evidence of any of the conditions listed on the Exclusionary Medical Conditions reference card (P5_EXCLMED), or any chronic diseases (other than asthma) that would prevent participation in the trial or put the participant at risk by participation? (1160) ₁ Yes ₀ No

13a. If **YES**, describe: (1160D) _____

14. During the past 2 weeks, has the participant used any medications known to significantly interact with corticosteroid disposition (e.g., carbamazepine, erythromycin or other macrolide antibiotics, phenobarbital, phenytoin, rifampin, ketoconazole)? (1170) ₁ Yes ₀ No

15. Has the participant used any of the drugs listed on the Exclusionary Drugs reference card (P5_EXCLDRUG) during the designated washout periods? (1180) ₁ Yes ₀ No

15a. If **YES**, list: (1180D) _____

16. Is the participant currently taking prescription or OTC medication(s) other than those listed on the Allowed Medications reference card (P5_MEDALLOW)? (1190) ₁ Yes ₀ No

16a. If **YES**, list: (1190D) _____

17. Is the participant eligible to proceed? (1200) ₁ Yes ₀ No

If any of the shaded boxes is completed, the participant is ineligible.

→ If YES, proceed with remaining Visit 0A procedures.

Participant/Guardian Source Documentation

Participant/Guardian Initials: ____ (1210)

Date: ____ / ____ / 20 ____ (1220)
MM DD YYYY

COMMENTS: (6000)



(Coordinator Completed)

Section 1: Spirometry

1. Is the participant able to perform reproducible spirometry according to ATS criteria? (1000) ₁ Yes ₀ No
➔ If **NO**, STOP HERE. Participant is ineligible for the study.
2. Is the participant's pre-bronchodilator (baseline) FEV₁ ≥ 40% of predicted? (1010) ₁ Yes ₀ No
3. Is the participant's post-bronchodilator (after 4 puffs of albuterol) FEV₁ ≥ 40% of predicted? (1020) ₁ Yes ₀ No

4. Is the participant eligible to proceed? (1030) ₁ Yes ₀ No

If both of the shaded boxes in Q2 and Q3 are completed, the participant is ineligible.

- ➔ If **YES**, continue with remaining visit procedures and complete Section 2.
➔ If **NO**, STOP HERE.

Section 2: Spirotel[®] and Inhaler Technique

5. Is the participant able to use the spirotel[®] e-diary/PEF meter correctly, as evidenced by achieving a score of 13 on the Spirotel[®] Performance Checklist (SPIROTEL_PERF)? (1040) ₁ Yes ₀ No
6. Is the participant able to use a metered dose inhaler (MDI) properly, as evidenced by achieving a score of 11 on the MDI Inhalation Technique Checklist (Without Spacer) (TECH_MDI_NOSP) or a score of 12 on the MDI Inhalation Technique Checklist (With Spacer) (TECH_MDI_SP)? (1050) ₁ Yes ₀ No
7. Is the participant able to use a Diskus[®] properly, as evidenced by achieving a score of 10 on the Diskus[®] Inhalation Technique Checklist (TECH_DISKUS)? (1060) ₁ Yes ₀ No

8. Is the participant eligible to proceed? (1070) ₁ Yes ₀ No

If any of the shaded boxes in Section 2 is completed, the participant is ineligible.

- ➔ If **YES**, continue with remaining visit procedures and complete Section 3.
➔ If **NO**, STOP HERE.



Section 3: Asthma Verification

9. Did the participant's FEV₁ improve $\geq 12\%$ in response to four puffs of albuterol (as part of the pre/post procedure at this visit)? (1080) ₁ Yes ₀ No
10. Does the participant have valid source documentation within the past 12 months for an acceptable overread AsthmaNet albuterol reversibility test (AsthmaNet systems and procedures only) showing an improvement of $\geq 12\%$ in response to albuterol?
→ If **NO**, skip to Q11. (1090) ₁ Yes ₀ No
- 10a. Pre-bronchodilator FEV₁ (1100) ____ . ____ liters
- 10b. Post-bronchodilator FEV₁ (1110) ____ . ____ liters
- 10c. Total bronchodilator puffs administered (1120) ____ puffs
- 10d. Source documentation date (1130) $\frac{\text{MM}}{\text{MM}} / \frac{\text{DD}}{\text{DD}} / 20 \frac{\text{YYYY}}{\text{YYYY}}$
- 10e. Technician ID (1140) _____
- 10f. Supervisor ID, if applicable (1150) _____
11. Does the participant have valid source documentation within the past 12 months of two acceptable overread spiromgrams reflecting an absolute relative change in % predicted FEV₁ of $\geq 12\%$ (AsthmaNet systems and procedures only)?
→ If **NO**, skip to Q12. (1160) ₁ Yes ₀ No
- 11a. % predicted FEV₁ (first test) (1170) ____ %
- 11b. Source documentation date (first test) (1180) $\frac{\text{MM}}{\text{MM}} / \frac{\text{DD}}{\text{DD}} / 20 \frac{\text{YYYY}}{\text{YYYY}}$
- 11c. Technician ID (first test) (1190) _____
- 11d. Supervisor ID, if applicable (first test) (1200) _____
- 11e. % predicted FEV₁ (second test) (1210) ____ %
- 11f. Source documentation date (second test) (1220) $\frac{\text{MM}}{\text{MM}} / \frac{\text{DD}}{\text{DD}} / 20 \frac{\text{YYYY}}{\text{YYYY}}$
- 11g. Technician ID (second test) (1230) _____
- 11h. Supervisor ID, if applicable (second test) (1240) _____



12. Does the participant have valid source documentation within the past 12 months for an acceptable overread AsthmaNet methacholine challenge (AsthmaNet systems, methacholine, and procedures only) with a $PC_{20} \leq 16$ mg/ml (on ICS) or a $PC_{20} \leq 8$ mg/ml (off ICS)?
→ If **NO**, skip to Q13. (1250) ₁ Yes ₀ No
- 12a. ICS status at time of challenge (1255) ₁ on ICS ₂ off ICS
- 12b. PC_{20} (1260) ____ . ____ mg/ml
- 12c. Source documentation date (1270) ____ / ____ / 20 ____
MM DD YYYY
- 12d. Technician ID (1280) ____
- 12e. Supervisor ID, if applicable (1290) ____

13. Is the participant eligible for the study? (1300) ₁ Yes ₀ No

If all of the shaded boxes in Section 3 are completed, the participant has not yet met eligibility criteria concerning asthma verification. Results from spirometry and/or the methacholine challenge at Visit 0B must confirm eligibility for the participant to continue beyond 0B. Answer Q13 'No'.

If any of the shaded boxes in Section 3 is not completed, the participant has met asthma verification eligibility criteria. Answer Q13 'Yes'.

→ Continue with Section 4.

Section 4: Relatives

14. Does the participant know of any first-degree blood relatives (i.e., parents, children, siblings/half-siblings) who have enrolled in BARD and successfully completed Screen Visit A (0A)? (1310) ₁ Yes ₀ No
- 14a. If **YES**, are any of the relatives the participant's identical siblings? (1320) ₁ Yes ₀ No
- If **YES**, the participant is ineligible. STOP HERE.
- If **NO**, complete the Biological Relative Tracking (P5_RELATIVE) form.

COMMENTS: (6000)



(Coordinator Completed)

1. Did the participant meet the asthma verification criteria at Visit 0A? (1000) ₁ Yes ₀ No

→ If Q13 on P5_ELIG3 is answered 'Yes', then answer Q1 'Yes'.
→ If **YES**, STOP HERE and continue with remaining visit procedures.
→ If **NO**, continue with Q2.

2. Does the participant qualify for a methacholine challenge by the criteria on the METHACHK_ADULT (Age 18+ Track) or METHACHK_PED (Age 5-11 and Age 12-17 Tracks) form? (1010) ₁ Yes ₀ No

→ If **NO**, skip to Q4.

3. Does the participant have a methacholine PC₂₀ ≤ 16 mg/ml? (1020) ₁ Yes ₀ No

4. Does the participant's baseline (pre-methacholine) % predicted FEV₁ reflect an absolute relative change of ≥ 12% as compared to his or her baseline (pre-albuterol) % predicted FEV₁ at Visit 0A? (1030) ₁ Yes ₀ No

5. Does the participant's baseline (pre-methacholine) % predicted FEV₁ reflect an absolute relative change of ≥ 12% as compared to his or her post-albuterol % predicted FEV₁ at Visit 0A? (1040) ₁ Yes ₀ No

6. Is the participant eligible to proceed? (1050) ₁ Yes ₀ No

If any of Q3-Q5 is answered 'Yes,' the participant is eligible.

→ If **YES**, continue with remaining visit procedures.
→ If **NO**, STOP HERE. The participant is ineligible for the study. Complete a Termination of Study Participation (P5_TERM) form.

COMMENTS: (6000)



(Coordinator Completed)

1. Since enrollment, has the participant received treatment with any excluded medications (P5_EXCLDRUG)? (1000) ₁ Yes ₀ No

1a. If **YES**, list: (1000D) _____

2. Does the participant wish to withdraw consent? (1010) ₁ Yes ₀ No

3. Is there any new information that makes the participant ineligible according to the eligibility criteria? (1020) ₁ Yes ₀ No

3a. If **YES**, describe: (1020D) _____

4. Is the participant eligible to proceed? (1030) ₁ Yes ₀ No

If any of the shaded boxes is completed, the participant is ineligible.

➔ If **YES**, proceed with remaining visit procedures.

➔ If **NO**, STOP HERE. The participant is ineligible for the study. Complete a Termination of Study Participation (P5_TERM) form.

COMMENTS: (6000)



(Coordinator Completed)

(Complete Q1 and Q2 at Visit 1 only)

1. Local Lab Results: CBC with Differential Cell Count

- 1a. WBC (1000) ____ . ____ K/ μ L
- 1b. Eosinophils (absolute count) (1010) ____ cells/ μ L
- 1c. Eosinophils (differential) (1020) ____ . ____ %

➔ **Forward the local lab report with the participant ID recorded to the DCC with this form. All identifying information on the report should be blackened out prior to sending it to the DCC.**

2. Denver Lab: Blood Sample for ImmunoCAP/Total IgE and Cotinine Measurements

- 2a. Did you collect a blood sample (8 ml tiger-top tube) for these tests? (1030) ₁ Yes ₀ No
➔ If **NO**, skip to Q3.
- 2b. Was a serum sample processed? (1040) ₁ Yes ₀ No
➔ If **NO**, skip to Q3.
- 2bi. Was an aliquot labeled for ImmunoCAP/Total IgE? (1050) ₁ Yes ₀ No
- 2bii. Was an aliquot labeled for cotinine? (1060) ₁ Yes ₀ No
- 2biii. Number of aliquots labeled for storage/banking (1070) ____



(Complete Q3 at Visits 0C, 0D, 1, 4, 7, 10, and 13 only)

Denver Lab: Overnight Urine Collection

→ **Complete Q3 for baseline samples obtained during the run-in at Visits 0C, 0D or 1 and for samples returned at the end of each treatment period (Visits 4, 7, 10, and 13). Each participant should have only one baseline sample.**

3. Did the participant return an overnight urine sample at this visit? (1080) ₁ Yes ₀ No
→ If **NO**, STOP HERE.

3a. Total sample volume (1090) _____ ml

3b. Collection start date (1100) ____ / ____ / 20____
MM DD YYYY

3c. Collection start time (based on 24-hour clock) (1110) _____

3d. Collection stop date (1120) ____ / ____ / 20____
MM DD YYYY

3e. Collection stop time (based on 24-hour clock) (1130) _____

3f. Was an aliquot labeled for cortisol? (1140) ₁ Yes ₀ No

3g. Was an aliquot labeled for creatinine? (1150) ₁ Yes ₀ No

3h. Number of aliquots barcode labeled for storage/banking (1160) ____

→ **Aliquots should be barcoded for storage/banking and entered into Biological Sample Tracking only for the baseline overnight sample.**

COMMENTS: (6000)



(Parent/Legal Guardian or Participant Completed)

This questionnaire is to be completed by the BARD participant or parent/guardian at Visits 4, 7, 10 and 13. If a randomized participant terminates prior to the end of a given treatment period, please ask the participant or parent/guardian to complete this form during the termination visit. If a participant achieves treatment arm drop-out or treatment failure status, or he/she has an exacerbation near the end of a treatment period, this form should be completed when he/she stops taking his/her blinded Diskus[®] and transitions to open-label Flovent[®].

Coordinators should ensure that participants understand their choices for Question #2 before they begin to complete the form.

Note: If you are a parent or guardian responding for a child, "you" is referring to the child who is the study participant.

1. Who is the respondent? (1000) ₁ Self/Participant
₂ Parent/Guardian
₃ Other (specify)
(1000D) _____
2. **Blinded Scheduled Diskus[®] Contents** (1010) ₁ fluticasone 100 mcg (Flovent[®] 100) (Age 5-11 Track only)
₂ fluticasone 100 mcg + salmeterol 50 mcg (Advair[®] 100/50)
₃ fluticasone 250 mcg (Flovent[®] 250)
₄ fluticasone 250 mcg + salmeterol 50 mcg (Advair[®] 250/50)
₅ fluticasone 500 mcg (Flovent[®] 500) (Age 12-17 and Age 18+ Tracks Only)
- As a BARD study participant, you were randomized to receive a Diskus[®] that contains one of the following treatments (per puff). The contents of the Diskus[®] change at certain points during the study. Please check the box next to the treatment that you believe you received **over the past 14 weeks.**
3. How sure are you about your answer to Question 2? (1020) ₁ Absolutely sure – I know what the Diskus[®] contains
₂ Moderately sure
₃ Somewhat sure
₄ Not sure at all – purely a guess



4. Please comment with respect to any observations you made that helped you make your choice in Question 2 (for example: **taste, smell, or physical sensations** related to your scheduled Diskus®).

(1030)

- ₁ I have no comments
₂ I noticed the following:
(Describe below)

(1030D)

Participant/Guardian Source Documentation

Participant/Guardian Initials: ___ (1040)

Date: ___ / ___ / 20 ___ (1050)
MM DD YYYY

(Parent/Legal Guardian or Participant Interview Completed)

Complete this form at all visits where baseline spirometry is required. If any medications other than the study Diskus[®] or rescue Ventolin[®] were used, record the medication(s) on the Concomitant Medications for Asthma/Allergy and Adverse Events (CMED) form.

1. Have you consumed caffeine in the past 4 hours? (1000) ₁ Yes ₀ No
Examples: Pepsi, Coke, Coffee, Mountain Dew, Tea, Rootbeer, Red Bull, 5-hour ENERGY
2. Have you used medications with caffeine in the past 4 hours? (1010) ₁ Yes ₀ No
Examples: Anacin, Darvon compound, Esgic, Excedrin, Fiorinal, Fioricet, No Doz, Norgesic, Vivarin
3. Have you used any weight loss medications in the past 4 hours? (1020) ₁ Yes ₀ No
Examples: Belviq, bitter orange, Xenadrine, EFX, Thermorexin, Qsymia
4. Have you consumed any food containing alcohol or beverages containing alcohol in the past 4 hours? (1030) ₁ Yes ₀ No
5. Have you used any oral antihistamines in the past 48 hours? (1040) ₁ Yes ₀ No
Examples: Allegra, Benadryl, Chlor-Trimeton, Clarinex, Claritin, Tylenol PM
6. Have you used any nasal antihistamines in the past 6 hours? (1050) ₁ Yes ₀ No
Examples: Astelin, Astepro, Livostin, Patanase
7. Have you used any ophthalmic antihistamines in the past 6 hours? (1060) ₁ Yes ₀ No
Examples: Alaway, Elestat, Emadine, Opitvar, Pataday, Patanol, Zaditor
8. Have you used any oral decongestants or cold remedies in the past 48 hours? (1070) ₁ Yes ₀ No
Examples: pseudoephedrine (Sudafed), Tylenol Allergy
9. Have you used any nasal decongestants in the past 6 hours? (1080) ₁ Yes ₀ No
Examples: oxymetazoline (Afrin)
10. Have you used a rescue intermediate-acting inhaled beta-agonist in the past 6 hours? (1090) ₁ Yes ₀ No
Examples: albuterol (Proventil), study RESCUE (Ventolin[®])



11. Have you used any smokeless tobacco products today? (1100) ₁ Yes ₀ No
Examples: chewing tobacco, snuff
12. **(Complete at Visit 0A)** Have you used any long-acting inhaled beta-agonist in the past 24 hours? (1110) ₁ Yes ₀ No
Examples: salmeterol (Serevent, Advair), formoterol (Foradil, Symbicort, Dulera)
13. **(Complete at Visits 2-13)** Have you used your blinded scheduled Diskus[®] in the past 12 hours? (1120) ₁ Yes ₀ No ₉ N/A
- ➔ **If participant is currently taking open-label Flovent[®], answer N/A.**
14. At this time, is your asthma worse because of recent exposure to triggers? (1130) ₁ Yes ₀ No
Examples: cold air, smoke, allergens, recent exercise, a recent respiratory tract infection, or other pulmonary infection
15. Is there any other reason you should not proceed with spirometry testing? (1140) ₁ Yes ₀ No
If **YES**, explain: (1140D) _____

16. Is the participant eligible to proceed with the spirometry testing? (1150) ₁ Yes ₀ No

If any of the shaded boxes is completed, the participant is ineligible for spirometry.

➔ **If YES, proceed to Q17 or the next form/procedure listed on the visit procedure checklist.**

If participant is in the Age 18+ Track and is less than 21 years old, complete Q17 at Visits 0A1, 0B, 0C/0D (if methacholine challenge is being performed), and 1-12.

At Visits 0A and 13, refer to height recorded on the Adult Body Measurements (BODYMEAS_ADULT) form; do not record on this form.

17. Height (without shoes) (1160) _____ cm

COMMENTS: (6000)



(Coordinator Completed)

Complete Q1 and Q2 at Visit 1 Only. At Visits 0B, 0C, and 0D, skip to Q3.

1. Did the participant receive prednisone or another systemic corticosteroid during the run-in for treatment of an exacerbation or for an unrelated adverse event or any other reason? (1000) ₁ Yes ₀ No

1a. If **YES**, date of final dose of prednisone or other systemic corticosteroid (1010) ____ / ____ / 20 ____
MM DD YYYY

1b. If **YES**, as of today, has the participant washed out from the final dose of prednisone or other systemic corticosteroid for at least 14 days? (1020) ₁ Yes ₀ No

➔ If **NO**, Visit 1 needs to be delayed until the washout has been met. Stop the current visit and reschedule accordingly.

2. Was the participant fully qualified for randomization on a P5_RAND_ELIG form at a prior screen visit? (1025) ₁ Yes ₀ No

2a. If **YES**, at which visit did the participant meet all randomization criteria? (1030) ____

➔ If Q2 is answered **YES**, skip to Q4.

Section 1: Eligibility for Randomization Visit

3. Since the last visit, has the participant met 'lack of asthma control' conditions according to the information on the Spirotel® Eligibility Assessment Report (P5_ELIG_RPT)? (1035) ₁ Yes ₀ No

➔ If the 'Lack of Asthma Control' column of the report indicates that lack of asthma control criteria were met on any of the days since the last visit, then answer Q3 'Yes'. If the participant required rescheduling of Visit 0B due to noncompliance, examine only the days following the previous 0B upload (i.e., days corresponding to the 2 week extension).

4. E-Diary and Peak Flow Compliance for Eligibility

4a. Has the participant completed at least 75% of the AM and PM sessions, including peak flows, since the last visit? (1040) ₁ Yes ₀ No ₉ N/A

➔ Refer to the Spirotel® Eligibility Assessment Report generated at this visit. If the participant required rescheduling of Visit 0B due to noncompliance, refer to the report that summarizes compliance since the previous 0B upload. At Visit 1, if only one day separated current visit from previous visit and there are no full days on which to assess this criterion, answer Q4a 'N/A.'



5. Diskus[®] Compliance
- 5a. Number of scheduled puffs since the last visit (1050) _____ puffs
- 5b. Number of remaining puffs reflected on Diskus[®] counter(s) (1060) _____ puffs
- ➔ If two used (i.e., out of their pouches) Diskuses[®] are returned, then total the values reflected on both counters.
- 5c. Number of puffs taken (1070) _____ puffs
- ➔ If the same Diskus[®] was used across two visits, then the number of puffs taken is calculated as: Q5b (previous P5_RAND_ELIG) – Q5b (current P5_RAND_ELIG).
- ➔ If new Diskus(es)[®] were dispensed, then the number of puffs taken is calculated as: 60 x (# used Diskuses[®]) – Q5b.
- 5d. Percent compliance = $\frac{Q5c}{Q5a} \times 100$ (1080) _____ %
- 5e. Has the participant taken at least 75% of the scheduled puffs from his/her Diskus[®] since the last visit? (1090) ₁ Yes ₀ No

6. Does the participant meet all eligibility criteria to schedule/complete the randomization visit (Visit 1)? (1100) ₁ Yes ₀ No

If any shaded boxes are completed, the participant may be ineligible to complete Visit 1.

➔ If YES, then continue with Section 2 of this form.

Visit 0B:

- ➔ If NO, and the only gray box selected is for Q1035 (Q1035 = 0), complete Section 2 of this form. If Q1150 is answered Yes, complete Visit 0B and schedule Visit 0C.
- ➔ If NO, and the participant has not met both compliance criteria and this is his/her first attempt at Visit 0B, then complete Section 2 of this form. If Q1150 is answered Yes, retrain him/her on e-diary and peak flow procedures and Diskus[®] dosing, rerun the Visit 0A Visit Scheduler Report, and reschedule Visit 0B in 2 weeks. Enter this form as a single form at Visit 0B.
- ➔ If NO, and the participant has not met both compliance criteria and this is his/her second attempt at Visit 0B due to noncompliance, then he/she has failed to meet compliance requirements for two visits and is ineligible for further study participation. Complete Section 2 of this form and complete a Termination of Study Participation (P5_TERM) form. The participant is ineligible to continue regardless of the response to Q1150. Enter this form with the 0B packet.

Visit 0C:

- ➔ If NO and the participant has not failed to meet compliance criteria for two visits, then complete Section 2 of this form. If Q1150 is answered Yes, complete Visit 0C and schedule Visit 0D.



- ➔ If **NO** and the participant has failed to meet compliance criteria at two visits, then he/she is ineligible to continue. Complete Section 2 of this form and complete a Termination of Study Participation (P5_TERM) form. The participant is ineligible to continue regardless of the response to Q1150. Enter this form with the 0C packet.

Visit 0D:

- ➔ If **NO**, and this is Visit 0D, then the participant has reached the end of the run-in and is ineligible. Complete Section 2 of this form and complete a Termination of Study Participation (P5_TERM) form. The participant is ineligible to continue regardless of the response to Q1150. Enter this form with the 0D packet.

Visit 1:

- ➔ If **NO**, and this is Visit 1, and the participant was fully qualified for randomization at a prior screen visit (Q2 is answered 'Yes'), then continue with Section 2 of this form. Counsel participant on e-diary and/or Diskus[®] compliance, as appropriate.

Section 2: Run-In Exacerbation Assessment

7. Has the participant experienced at least 1 asthma exacerbation requiring treatment with systemic corticosteroids since the last visit? (1110) ₁ Yes ₀ No
➔ If **NO**, skip to Q8.
- 7a. Did any of the exacerbations require hospitalization? (1120) ₁ Yes ₀ No
- 7b. Has the participant had three exacerbations during the run-in? (1130) ₁ Yes ₀ No
- 7c. Was the participant noncompliant with ICS dosing or e-diary/PEF completion as recorded in Q4 and Q5 above? (1140) ₁ Yes ₀ No

8. Does the participant meet eligibility criteria to continue in the study based on the run-in exacerbation assessment? (1150) ₁ Yes ₀ No

If any shaded boxes in Section 2 are completed, the participant is ineligible for the study.

- ➔ If **NO**, complete a Termination of Study Participation (P5_TERM) form.
- ➔ If **YES**, but Q1100 is answered 'No', refer to the instructions in the gray box for Q6.
- ➔ If **YES**, continue with the current visit if the participant has been deemed eligible according to Q1100, or continue to Visit 1 if the participant has been deemed eligible at Visit 0C or 0D and can proceed with randomization today.

COMMENTS: (6000)



(Coordinator Completed)

Complete this form each time a participant experiences an asthma exacerbation according to the definition below.

1. Did the participant experience a worsening of his/her asthma that required a prescription for a systemic corticosteroid to prevent a serious outcome? (1000) ₁ Yes ₀ No

1a. If **YES**, was the course of systemic corticosteroids separated from any previous courses for treatment of worsening asthma by at least 7 days? (1010) ₁ Yes ₀ No

2. Did the participant experience a new significant asthma exacerbation? (1020) ₁ Yes ₀ No

If both of the shaded boxes above are completed, the participant experienced a new exacerbation event.

➔ If **YES**, complete the rest of this form and record the event on the Clinical Adverse Events (AECLIN) form using ICD-9 code 493.92.

➔ If **NO**, STOP HERE and continue with remaining visit procedures. Do NOT enter or submit this form to the DCC.

3. Date systemic corticosteroids were prescribed/started for exacerbation conditions (1030) ____ / ____ / 20 ____
MM DD YYYY

4. Has the participant been prescribed any of the following medications (excluding study Diskus[®]) since exacerbation conditions started? (1040) ₁ Yes ₀ No

➔ If **YES** to any of Q4a-Q4f, complete the Concomitant Medications for Asthma/Allergy and Adverse Events (CMED) form.

4a. Inhaled corticosteroids (1050) ₁ Yes ₀ No

4b. Nebulized bronchodilator (1060) ₁ Yes ₀ No

4c. Oral corticosteroids (1070) ₁ Yes ₀ No

4ci. If **YES**, total days of treatment for this event (1080) ____ days

4d. IM or IV steroids (1090) ₁ Yes ₀ No



4e. Antibiotics (1100) ₁ Yes ₀ No

4f. Other (describe) (1110) ₁ Yes ₀ No

(1110D) _____

5. Did the participant seek care for exacerbation conditions? (1120) ₁ Yes ₀ No

→ If **NO** and participant is randomized, **skip to Q8**.

→ If **NO** and participant is not yet randomized, **skip to Q12**.

6. What type of care was sought?

6a. Study Investigator or Coordinator? (1130) ₁ Yes ₀ No

6ai. If **YES**, indicate type of contact

(1140) ₁ Scheduled clinic visit
₂ Unscheduled clinic visit
₃ Phone contact

6b. Primary Care or Other Physician? (1150) ₁ Yes ₀ No

6bi. If **YES**, indicate the type of contact

(1160) ₁ Scheduled clinic visit
₂ Unscheduled clinic visit
₃ Phone contact

6c. Emergency Department visit? (1170) ₁ Yes ₀ No

6d. Urgent care visit? (1180) ₁ Yes ₀ No

7. Was the participant hospitalized? (1190) ₁ Yes ₀ No

→ If **YES**, complete the Serious Adverse Event Reporting Form (SERIOUS).

If **YES**,

7a. Duration of hospital stay (1200) ____ . ____ days

7b. Was intubation or ventilation assistance required? (1210) ₁ Yes ₀ No

7c. Was the participant admitted to the intensive care unit? (1220) ₁ Yes ₀ No

→ If participant is not yet randomized, **skip to Q12**.

(Visits 1-13 only) Treatment Failure Assessment for Randomized Participants

8. Has the participant been prescribed 10 or more days of prednisone treatment for asthma exacerbation(s) during the current treatment period? (1230) ₁ Yes ₀ No



9. Did the participant experience two distinct exacerbations during the current treatment period? (1240) ₁ Yes ₀ No

10. Did the participant experience treatment failure? (1250) ₁ Yes ₀ No

If any of the shaded boxes in Q7, Q8 or Q9 is completed, the participant is considered a treatment failure.

→ If **YES**, record the treatment failure event on the Clinical Adverse Events (AECLIN) form using ICD-9 code 000.00 and complete the rest of this form.

→ If **YES**, the participant should stop dosing from his/her current blinded scheduled Diskus[®] and should be given open-label 5xICS (Age 5-11 Track: 250 mcg BID; Age 12-17 Track and Age 18+ Track: 500 mcg BID). While on 5xICS, the participant will wash out for 14-21 days from the final dose of prednisone and then complete the first visit of the next treatment period.

→ If **NO**, skip to Q12.

11. Date treatment failure conditions were met (1260) ____ / ____ / 20____
MM DD YYYY

12. Physician Narrative Assessment

Physician Source Documentation

Physician's Signature: _____ (1270)

Printed Name: _____

Date: ____ / ____ / 20____ (1280)
MM DD YYYY

Time: ____ (based on a 24-hour clock) (1290)

COMMENTS: (6000)



Scheduled AM Assessment (4 AM – 1 PM, inclusive)

- Q1. Number of times the participant woke up last night due to asthma symptoms (numeric 0 – 9)
- Q2. Number of puffs the participant will take from the study Diskus[®] this morning (numeric 0 – 9)
- Q3. Has the participant taken any puffs from his/her RESCUE albuterol inhaler in the past 4 hours? (1 = Yes, 0 = No)

Nighttime Symptoms (symptoms experienced since the PM e-diary assessment was completed)

- Q4. Shortness of Breath score (0, 1, 2, 3)
- Q5. Chest tightness score (0, 1, 2, 3)
- Q6. Wheezing score (0, 1, 2, 3)
- Q7. Coughing score (0, 1, 2, 3)
- Q8. Phlegm/Mucus score (0, 1, 2, 3)

Scheduled PM Assessment (5 PM – 3 AM, inclusive)

- Q9. Number of puffs the participant will take from the study Diskus[®] tonight (numeric 0 – 9)
- Q10. Has the participant taken any puffs from his/her RESCUE albuterol inhaler during the past 4 hours? (1 = Yes, 0 = No)

Symptoms since waking this morning (symptoms experienced since the AM e-diary assessment was completed)

- Q11. Shortness of Breath score (0, 1, 2, 3)
- Q12. Chest tightness score (0, 1, 2, 3)
- Q13. Wheezing score (0, 1, 2, 3)
- Q14. Coughing score (0, 1, 2, 3)
- Q15. Phlegm/Mucus score (0, 1, 2, 3)



- Q16. Number of albuterol puffs taken in the past 24 hours to prevent symptoms (for example: before exercise, before smoke exposure, or before exposure to pets) (numeric 0 – 40)
- Q17. Number of RESCUE albuterol puffs taken for asthma symptoms or low peak flow during past 24 hours (numeric 0 – 40)
- Q18. Was the participant absent from daycare, school, or work during the past 24 hours due to asthma symptoms? (1 = Yes, 0 = No, 9 = N/A)
- Q19. Was the participant seen by a healthcare provider (doctor's office, ER, urgent care, study site) for an unscheduled visit in the past 24 hours due to asthma symptoms? (1 = Yes, 0 = No)
- Q20. Did the participant take prednisone in the past 24 hours for treatment of his/her asthma? (1 = Yes, 0 = No)



The spiroteI[®] diary questions and peak flow (PEF) measurements are important records of your asthma treatment and condition. All diary questions must be answered to complete a session.

You will have 20 minutes to complete a scheduled AM or PM session. For specific instructions on how to use the spiroteI[®] device refer to the How to Use Your spiroteI[®] Electronic Diary and Peak Flow Meter (HTSPIROTEL) handout. Start completing diary assessments the night of your visit.

Scheduled Morning (AM) Evaluation: Turn on device between 4 AM and 1 PM. Device will ask 'Scheduled Session?'. Select Yes and select ">" on the screen to proceed.

1. **# Times woke up due to asthma:** The number of times you woke up last night due to asthma.
2. **# Puffs from study Diskus in AM:** The number of puffs you will take from the study Diskus this morning.
3. **Took RESCUE albuterol in past 4 hours?:** Have you taken any puffs from your RESCUE albuterol inhaler in the past 4 hours?

Nighttime Symptoms* (symptoms experienced since the PM e-diary assessment was completed):

4. Shortness of Breath Score overnight
5. Chest Tightness Score overnight
6. Wheezing Score overnight
7. Coughing Score overnight
8. Phlegm/Mucus Score overnight

Review Diary Data? Press Yes and then ">" to review your responses. Press No and then ">" to save the responses and proceed to PEFs.

After you complete the diary questions, you will perform 3 PEF blows and the highest will be reported to you. The 'Highest PEF (L/M)' will have a "traffic light" indicator with it. The indicator will point to green if your PEF is good, yellow if your PEF is in the "caution" zone, and red if your PEF is less than your 50% PEF value.

Morning Alerts: You will receive an alert reminding you to take your morning medications. If your PEF is in the yellow or red zone, you will receive an alert to follow your Action Plan. During the Run-In you may receive an alert indicating that you might be ready for randomization. Please call your clinic after receiving the alert.

* See symptom score scale on back

Scheduled Evening (PM) Evaluation: Turn on device between 5 PM and 3 AM. Device will ask 'Scheduled Session?'. Select Yes and select ">" on the screen to proceed.

1. **# Puffs from study Diskus in PM:** The number of puffs you will take from the study Diskus tonight.
2. **Took RESCUE albuterol in past 4 hours?:** Have you taken any puffs from your RESCUE albuterol inhaler in the past 4 hours?

Symptoms* since waking this morning (symptoms experienced since the AM e-diary assessment was completed)

3. Shortness of Breath Score since waking this morning
4. Chest Tightness Score since waking this morning
5. Wheezing Score since waking this morning
6. Coughing Score since waking this morning
7. Phlegm/Mucus Score since waking this morning

Evening evaluation continues on the back.

* See symptom score scale on back

8. **#preventive puffs in 24 hours:** Number of albuterol puffs taken in the past 24 hours to prevent symptoms (for example: before exercise, before smoke exposure, or before exposure to pets)
9. **# RESCUE Puffs in 24 hours for asthma:** Number of RESCUE albuterol puffs taken for asthma symptoms or low peak flow during past 24 hours. Preventive RESCUE albuterol puffs (e.g., prior to exercise, smoke exposure, or exposure to pets) should not be counted when answering this question.
10. **Absent from work or school/daycare in past 24 hrs for asthma?:** Were you absent from daycare, school, or work/ housework during the past 24 hours due to asthma symptoms?
11. **Unscheduled visit in past 24 hours for asthma symptoms:** Were you seen by a healthcare provider (doctor's office, ER, urgent care, study site) for an unscheduled visit in the past 24 hours due to asthma symptoms?
12. **Prednisone for asthma treatment in past 24 hours?:** Did you take prednisone in the past 24 hours for treatment of your asthma?

Review Diary Data? Press Yes and then ">" to review your responses. Press No and then ">" to save the responses and proceed to PEFs.

After you complete the diary questions, you will perform 3 PEF blows and the highest will be reported to you with traffic light indicator.

Evening Alerts: You will receive an alert reminding you to take your evening medications. If your PEF is in the yellow or red zone, you will receive an alert to follow your Action Plan. During the Run-In you may receive an alert indicating that you might be ready for randomization. Please call your clinic after receiving the alert.

Symptom Score Scale:

- 0 = Absent: No symptom
- 1 = Mild: Symptom was minimally troublesome (i.e., not sufficient to interfere with normal daily activity or sleep)
- 2 = Moderate: Symptom was sufficiently troublesome to interfere with normal daily activity or sleep
- 3 = Severe: Symptom was so severe as to prevent normal activity and/or sleep

AsthmaNet

BARD spirotel[®] Reference Card

(Coordinator Completed)

Section 1: Eligibility for Study

1. Since Visit 0A, has the participant experienced an asthma exacerbation requiring treatment with systemic corticosteroids? (1000) ₁ Yes ₀ No

Complete Q2 only if IRB approval has NOT yet been obtained for protocol version 23.0.

2. Has the participant met 'lack of asthma control' conditions according to the information on the Spirotel[®] Eligibility Assessment Report (P5_ELIG_RPT)? (1010) ₁ Yes ₀ No

➔ **If the 'Lack of Asthma Control' column of the report indicates that lack of asthma control criteria were met on any of the days since the participant enrolled at 0A, then answer Q2 'Yes'.**

Complete Q3 only if IRB approval has been obtained for protocol version 23.0.

3. Does the participant have an ACQ score ≥ 1.50 at today's visit? (1015) ₁ Yes ₀ No

Clinic Use Only

Calculate the participant's ACQ score: sum the values across the 7 questions, then divide by 7. Round to the nearest hundredth.

___ + ___ + ___ + ___ + ___ + ___ + ___ = ___
Q1 Q2 Q3 Q4 Q5 Q6 Q7 Total

ACQ Score = Total / 7 = ___ . ___

4. Is the participant eligible to continue in the study? (1020) ₁ Yes ₀ No

If any shaded box above is completed, the participant is ineligible for the study.

➔ **If YES, continue to Q5 and complete the remainder of this form.**

➔ **If NO, complete a Termination of Study Participation (P5_TERM) form.**



Section 2: Compliance Assessment

5. E-Diary and Peak Flow Compliance for Eligibility

- 5a. Has the participant completed at least 75% of the AM and PM sessions, including peak flows, since the last visit (Visit 0A or the initial attempt at Visit 0A1)? (1040) ₁ Yes ₀ No

→ **Refer to the Spirotek[®] Eligibility Assessment Report generated at this visit.**

6. Diskus[®] Compliance

- 6a. Number of scheduled puffs since the last visit (0A or initial attempt at 0A1) (1050) ____ puffs

- 6b. Number of remaining puffs reflected on Diskus[®] counter (1060) ____ puffs

- 6c. Number of puffs taken (1070) ____ puffs

→ **If Visit 0A1 was rescheduled due to lack of compliance and the same Diskus[®] was used, then the number of puffs taken is calculated as: Q6b (previous P5_STEPDOWN_ASSESS) – Q6b (current P5_STEPDOWN_ASSESS).**

→ **If a new Diskus[®] was dispensed, then number of puffs taken is calculated as: 60 – Q6b.**

- 6d. Percent compliance = $\frac{Q6c}{Q6a} \times 100$ (1080) ____ . ____ %

- 6e. Has the participant taken at least 75% of the scheduled puffs from his/her Diskus[®] since Visit 0A or the initial attempt at Visit 0A1? (1090) ₁ Yes ₀ No

→ **If the participant has taken less than 75% of the prescribed puffs, retrain him/her on the study dosing schedule.**



7. Is the participant eligible for step-down of his/her ICS dose to 1xICS? (1100) ₁ Yes ₀ No

If IRB approval has been obtained for protocol version 23.0, answer Q7 'Yes' regardless of compliance, then step the participant's ICS dose down to 1xICS for the remainder of the run-in (refer to P5_FLOVENT_DOSE) and continue to Q8. Complete Visit 0A1, run the Visit 0A1 Scheduler Report, and schedule Visit 0B.

If IRB approval has not yet been obtained for protocol version 23.0, follow the instructions below:

- ***If Q5a is 'No,' the participant is ineligible for the ICS dose reduction. Answer Q7 'No.'***
 - ***If NO, and this is the participant's first attempt at Visit 0A1, STOP HERE. Retrain him/her on e-diary and peak flow procedures (and Diskus[®] dosing, if necessary), rerun the Visit 0A Scheduler Report, and reschedule Visit 0A1 in 2 weeks. Enter this form as a 0A1 single form.***
 - ***If NO, and this is the participant's second attempt at Visit 0A1, then he/she has failed to meet compliance requirements for two visits and is ineligible for further study participation. STOP HERE and complete a Termination of Study Participation (P5_TERM) form. Enter this form with the 0A1 packet.***
- ***If Q5a is 'Yes,' the participant is eligible for the ICS dose reduction. Answer Q7 'Yes,' then step the participant's ICS dose down to 1xICS for the remainder of the run-in (refer to P5_FLOVENT_DOSE) and continue to Q8. Complete Visit 0A1, run the Visit 0A1 Scheduler Report, and schedule Visit 0B.***

8. Open-label Flovent[®] Diskus[®] to be dispensed at this visit (1110) ₁ FP 50 mcg ₂ FP 100 mcg

COMMENTS: (6000)



(Coordinator Completed)

Complete this form only for participants who successfully completed Visit 0A and formally entered the run-in.

1. Has the participant completed the study through Visit 13? (1000) ₁ Yes ₀ No
→ If **YES**, skip to the SIGNATURES section.

2. Who initiated termination of the participant? (1010) ₁ Participant ₂ Clinical Staff
→ **If participant withdrew due to impending clinical staff termination, indicate termination by clinical staff.**
→ **If Clinical Staff, skip to Q4.**

3. Indicate the **primary** reason the participant has withdrawn from the study or the participant's parent/guardian has withdrawn consent.
₁ no longer interested in participating* (1020)
₂ no longer willing to follow protocol*
₃ difficult access to clinic (location, transportation, parking)
₄ unable to make visits during clinic hours
₅ moving out of the area
₆ unable to continue due to personal constraints*
₇ unable to continue due to medical condition unrelated to asthma*
₈ side effects of study medications*
₉ dissatisfied with asthma control
₁₀ other*

***Additional explanation required: (1020D)**

→ **Skip to the SIGNATURES section.**



4. Did clinical staff terminate the participant due to... (Select **YES** for all that apply)
- 4a. pregnancy? (1030) ₁ Yes ₀ No ₉ N/A
 (Check N/A if participant is male.)
 → If **YES**, make arrangements to follow up with participant to gather information on pregnancy outcome.
- 4b. loss to follow-up?* (1040) ₁ Yes ₀ No
- 4bi. If **YES**, date of last contact with participant (1050) ____ / ____ / 20____
MM DD YYYY
- 4bii. If **YES**, type of contact (1060) ₁ In-person visit
₂ Phone call
- 4c. an asthma-related adverse event?* (1070) ₁ Yes ₀ No
- 4d. a medication-related adverse event?* (1080) ₁ Yes ₀ No
- 4e. an adverse event not related to asthma or medications?* (1090) ₁ Yes ₀ No
- 4f. non-compliance with medication dosing?* (1100) ₁ Yes ₀ No
- 4g. non-compliance with diary completion?* (1110) ₁ Yes ₀ No
- 4h. non-compliance with visit attendance?* (1120) ₁ Yes ₀ No
- 4i. non-compliance with peak flow monitoring?* (1130) ₁ Yes ₀ No
- 4j. significant asthma exacerbation or lack of control criteria met while on 2-2.5xICS (Visit 0A1)?* (1140) ₁ Yes ₀ No
- 4k. participant reached end of run-in without meeting lack of asthma control criteria (Visit 0D)? (1150) ₁ Yes ₀ No
- 4l. ineligibility during the run-in (Visits 0A-1) for reasons other than compliance or failure to meet lack of control criteria?* (1160) ₁ Yes ₀ No
- 4m. recruitment ended? (1170) ₁ Yes ₀ No
- 4n. physician determination that study continuation is not in participant's best interest? (1180) ₁ Yes ₀ No
- 4o. treatment failure during period 4?* (1185) ₁ Yes ₀ No
- 4p. hypoxic seizure due to asthma?* (1190) ₁ Yes ₀ No



- 4q. intubation due to asthma?* (1200) ₁ Yes ₀ No
- 4r. need for long-term systemic corticosteroids for illness other than asthma?* (1210) ₁ Yes ₀ No
- 4s. other reason?* (1220) ₁ Yes ₀ No

***Additional explanation required: (1230D)**

- 4t. Indicate the letter (a - s) corresponding to the **primary** reason the participant was terminated. (1240) ____

SIGNATURES

Please complete the following section regardless of the reason for termination of study participation.

I verify that all information collected on the AsthmaNet BARD data collection forms for this participant is correct to the best of my knowledge and was collected in accordance with the procedures outlined in the study protocol.

Coordinator Signature (1250)

____ / ____ / 20 ____ (1260)
MM DD YYYY

Site Investigator Signature (1270)

____ / ____ / 20 ____ (1280)
MM DD YYYY

