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PATIENT ID: _____

Patient Initials: ____ _

INSTRUCTIONS: Fill this form out if the patient is admitted for evaluation/treatment of any possible ACS event (MI, ACS, etc.) BE SURE TO SUBMIT ALL DOCUMENTING MATERIALS TO AXIO RESEARCH AS SOON AS POSSIBLE.

1.	Date of onset of symptoms (mm/dd/yyyy) ACS_om	
· ·	ACS_On	setDt //Use (MM/DD/YYYY)
2.	Date of admission (mm/dd/yyyy) ACS_adminDt	/// Use (MM/DD/YYYY)
3.	Date of discharge (mm/dd/yyyy)	// Use (MM/DD/YYYY)
4.	Which of the following did the patient experience?	1 MI 2 UNSTABLE ANGINA
	ACS_event	3 NO DOCUMENTED ISCHEMIA
5.	Did patient experience angina or angina equivalent symptoms within 24 hours prior to event?	□₀ NO □₁ YES
6.	If yes, what was the duration of symptoms? <u>ACS_AnginaDuration</u>	□ 1 <1HR BUT > 5 MIN □ 3 24-48 HOURS □ 2 1-24 HOURS □ 4 >48 HOURS
7.	Were cardiac enzyme measurements taken? If yes, add values to the Enzyme log form ACS_enzyme	0 NO
8.	Were ECGs obtained? ACS_ECG If yes, please fill out an ECG form for each	□₀ NO □₁ YES
9.	Did patient undergo PCI within 24 hours or CABG within 72 hours of the onset of symptoms?	□ 1 NO □ 2 PCI 3 CABG
10.	Was revascularization procedure performed FOLLOWING the event? If yes, please fill out Revascularization form. ACS_Reva	1 NO 2 PCI 3 CABG
11.	Did the patient die within 30 days of this event? ACS_Fatal If yes, please complete Death form.	0 NO





0 10		
SITE ID:		

PATIENT ID: _____

Table Name: AE

Patient Initials:

Instructions: Please complete for any adverse event

(Do NOT fill out this page if AE was diagnosed as MI, other coronary event, stroke, or other CNS event please fill out the corresponding Cardiac Event or CNS Event page)

1.	Start Date (mm/dd/yyyy) AE_StDate	//	Use (MM / DD / YYYY)
2.	Is the condition continuing?	₀ No	1 YES
3.	If no, End Date (mm/dd/yyyy) AE_EndDate	//	Use (MM / DD / YYYY)
4.	Give a description of the adverse event		
5.	Was the event/condition serious?	□_₀ No	1 YES
Resı resul impo	rent is Serious if it meets any of the criteria be Its in death, is life-threatening, requires in-par ts in persistent or significant disability/incapac rtant event. e event is serious, complete the SAE i	tient hospitalization or prolongation ity, is a congenital anomaly/birth d	lefect and/or is a medically
6.	Severity	□ NO SYMPTOMS	2 MODERATE
7.	Did the event/condition require treatment?	NO TREATMENT REQUIRED DRUG TREATMENT 2 SURGICAL TREATMENT	3 BOTH DRUGS AND SURGERY
8.	Sequelae <u>AE_Outcome</u> If yes for Death, fill out Death from.	1 RECOVERED, NO SEQUELAE 2 RECOVERED WITH SEQUELAE	3 NOT RECOVERED DEATH
9.	Was the event/condition related to or caused by the study drug? AE_Cause1	1 DEFINITELY NOT RELATED 2 PROBABLY NOT RELATED	POSSIBLY RELATED ₄ RELATED
10.	Did the event/condition result in any changes to the use of the study drug? If yes, fill out Dose Adjustment form.	 ☐ 0 NO CHANGES MADE ☐ 1 STUDY DRUG(S) USE INTERRUPTED 	 2 STUDY DRUG(S) DOSE DECREASED 3 STUDY DRUG(S) DISCONTINUED





PATIENT ID: ____ ____

Patient Initials: ____ ___

Table Name: AFUP

INSTRUCTIONS: Please complete at the Amendment 6 Follow-up visit.					
	Visit			VISIT	
1.	Type of visit			NE CALL	2 IN-OFFICE
			J₃VISIT	NOT DONE	AFUP_VisitTp
2.	Date of visit or phone call (MM	/DD/YYYY)	/	/	AFUP_VisitDt
3.	If visit was not done, please indicate why:	1 PARTICIPANT DIED PR		•	Iready completed, please complete
		2 PARTICIPANT DECLIN	ED FURTHEI	R PARTICIPATION	PRIOR TO THIS VISIT
		□3 UNABLE TO CONTACT	PARTICIPA	NT AFTER AT LEA	ST 5 DIFFERENT ATTEMPTS
		(PLEASE CONTACT COORDINAT	ING CENTER	FOR GUIDANCE)	AFUP_VisitNtDn
Query	the participants carefully ab	out the following possik	ole events:	:	
4.	MI: Did the participant have a (If yes, complete ACS Event CRF ar	an MI? Id submit documenting materials	i)	0 NO	1 YES
5.	ACS: Was the participant hos confirmed chest pain/angina/ (If yes, complete ACS Event CRF ar	acute coronary syndrome	?] ₀ NO	THES
6.	Cardiac Revascularization: revascularization of the coror	ary arteries (CABG or PC]0 NO	1 YES
	(If yes, complete Revascularization (materials.)	CRF and submit documenting			AFUP_CardRevas
7.	Cerebrovascular Revascular have a revascularization of the		ant]o NO	1 YES
	endarterectomy, carotid PTA (If yes, complete Revascularization of materials))? CRF and submit documenting			AFUP_CerebRevas
8.	Peripheral Revascularization revascularization of peripheral]0 NO	1 Yes
	femoral angioplasty)? (If yes, complete Revascularization (materials)				AFUP_PeriRevas
9.	Stroke/TIA: Did the participa		ent	0 NO	1 YES
	that fits the definition of a pos (If yes, complete CNS Event CRF ar		\$)		AFUP_StrokeTIA



PATIENT ID: ____ ____

Patient Initials: ____ ___

Current Lipid Therapies

Table Name: LI

INSTRUCTIONS:	Please complete this form noting all lipid medications the participant is routinely taking
at the time of this	s visit.

	Visit		ERIM VISIT	FINAL VISIT
	Statins			
1.	Atorvastatin (Lipitor)	LI_Ator	□₀ NO	1 YES
2.	Dose per day in <u>mg</u> :	LI_AtorDose		
3.	Fluvastatin (Lescol)	LI_Fluv	□₀ NO	1 YES
4.	Dose per day in <u>mg</u> :	LI_FluvDose		
5.	Lovastatin (Mevacor, Altopre, A	Altocor) LI_Lova	□₀ NO	1 YES
6.	Dose per day in <u>mg</u> :	LI_LovaDose		
7.	Pravastatin (Pravachchol)	LI_Prava	□_₀ No	1 YES
8.	Dose per day in <u>mg</u> :	LI_PravaDose		
9.	Rosuvastatin (Crestor)	LI_Rosu	□_₀ No	1 YES
10.	Dose per day in <u>mg</u> :	LI_RosuDose		
11.	Simvastatin (Zocor)	LI_Simv	□₀ No	1 YES
12.	Dose per day in <u>mg</u> :	LI_SimvDose		
13.	Other statin	LI_OthSta	□_₀ No	1 YES
14.	Please specify:	LI_SpcSta		
15.	Dose per day in mg :	LI_OthStaDose		
	Combination Medications			
For t	he combination medication, plea	nse enter the dose in	this format (xx/xx)	
16.	Lovastatin + niacin (Advicor)	LI_Advi	□₀ NO	1 YES
17.	Dose per day in <u>mg</u> :	LI_AdviDose		
18.	Atorvastatin + amlodipine (Cad	uet) _{LI_Cadu}	0 NO	1 YES
19.	Dose per day in <u>mg</u> :	LI_CaduDose		
20.	Simvastatin +ezetimibe (Vytorin) LI_Vyto	□_0 NO	1 YES
21.	Dose per day in <u>mg</u> :	LI_VytoDose		
22.	Pravastatin + aspirin (Pravigaro	Pac) _{LI_Pravi}	□₀ NO	1 YES
23.	Dose per day in <u>mg</u> :	LI_PraviDose		
24.	Simvastatin + niacin (Simcor)	LI_Simc		1 YES
25.	Dose per day in <u>mg</u> :	LI_SimcDose		
26.	Other combination medication:	LI_OthCom	□₀ No	1 YES



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Patient Initials: ____ ___ ___

27.	Please specify: LI_SpcCom		
28.	Dose per day in <u>mg</u> : LI_OthComDose		
	Fibrates		
29.	Gemfibrozil (Lopid) LI_Gemf	0 NO	Yes
30.	Dose per day in <u>mg</u> : LI_GemfDose		
31.	Fenofibrate (Antara, Lofibra, Tricor or Triglide) LI_Feno	0 NO	Yes
32.	Dose per day in <u>mg</u> : LI_FenoDose		
33.	Fenofibric acid (Trilipix, Fibricor) LI_FenoAcid	0 NO	Yes
34.	Dose per day in <u>mg</u> : LI_FenoAcidDose		
35.	Clofibrate (Atromid-S) LI_Clof	0 NO	Yes
36.	Dose per day in <u>mg</u> : LI_ClofDose		
37.	Other fibrate: LI_OthFib	0 NO	Yes
38.	Please specify: LI_SpcFib		
39.	Dose per day in <u>mg</u> : LI_OthFibDose		
	Niacins		
40.	Niaspan LI_Niaspan	□₀ No	Yes
41.	Dose per day in <u>mg</u> : LI_NiaspanDose		
42.	Slo-Niacin LI_SloNia	0 NO1	Yes
43.	Dose per day in <u>mg</u> : LI_SloNiaDose		
44.	Niacin SR LI_SRNia	0 NO1	Yes
45.	Dose per day in <u>mg</u> : LI_SRNiaDose		
46.	Niacin IR LI_IRNia	0 NO	Yes
47.	Dose per day in <u>mg</u> : LI_IRNiaDose		
	Resins (bile acid sequestrants)		
48.	Cholestyramine (Questran, Questran Light, Prevalite, Locholest, Locholest Light) LI_Cholesty		ES
49.	Dose per day in gram : LI_CholestyDose		
50.	Colestipol (Cholestid) LI_Colesti		ES
51.	Dose per day in gram : LI_ColestiDose		
52.	Colesevelam HCL (WelChol) LI_Colesev		ES
53.	Dose per day in <u>mg</u> : LI_ColesevDose		
54.	Other resins: LI_OthRes		ES
55.	Please specify: LI_SpcRes		



PATIENT ID:	 	

Patient Initials: ____ ___ __

56.	Dose per day in <u>mg</u> : LI_OthResDose	
	Selective Cholesterol absorption inhibitors	
57.	Ezetimibe (Zetia)	□_0 NO □1 YES
58.	Dose per day in mg : LI_EzetiDose	
	Ask the participant if they are participating in another of	clinical trial involving lipid modifying therapies.
	Are they participating in a clinical trial of lipid modifying therapy?	0 NO 1 YES
	If yes, please indicate the name of the trial, the agent b the investigational product (realizing that it may be the	• •
60.	Investigational drug: anacetrapib, - Clinical Trial – "DEFINE" _{LI_Define}	□ NO □1 YES
61.	Dose per day in <u>mg</u> : LI_DefineDose	
62.	Investigational drug; dalcetrapib – Clinical Trial –"Dal-ACUTE" LI_Acute	□₀ NO □₁ YES
63.	Dose per day in <u>mg</u> : LI_AcuteDose	
64.	Other lipid modifying therapy trial?	□₀ NO □₁ YES
65.	If so, what is the name of the trial? LI_TrialName	
66.	Name of investigational product: LI_TrialProd	
67.	Dose per day in <u>mg</u> : LI_TrialDose	



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Patient Initials:

Table Name: SSQ

Stroke Symptoms Questionnaire

INSTRUCTIONS: Please complete at the Amendment 6 Follow-up visit.

1.	Date of contact (MM/DD/YYYY)	SSQ_VisitDt
		//
2.	Has the patient had any sudden loss in the	□₀ NO □₁ YES
	ability to speak clearly for no obvious reason?	SSQ_Speak
3.	Has the patient had any sudden paralysis	□₀ NO □₁ YES
	or weakness of an arm, leg or one side of the body?	SSQ_Paralysis
4.	Has the patient experienced any sudden	□₀ NO □₁ YES
	dizziness, sensation of spinning, loss of balance or sudden veering or lurching to	SSQ_Dizzy
	one side while walking?	
5.	Has the patient had any numbness,	□ NO □1 YES
	tingling or a dead feeling of an arm, leg or down one side of the body (not due to	SSO Numb
	leaning on or keeping the arm or leg in a	SSQ_NUIID
	certain position)?	
6.	Has the patient had any sudden loss of	NO I YES
	vision in one or both eyes, loss of the ability to see things to one side, or double vision?	
		SSQ_VISION

If **YES** to any of the above, please have the patient sign a medical release of information form and request the medical records from the patient's personal physician to determine whether there has been a possible ischemic neurological event (stroke or TIA). Complete the CNS Event form and submit documenting materials to the Elaine Nasco at Axio.

If **YES** to any of the above, but their physician has NOT diagnosed a stroke or TIA, please encourage the patient to discuss these symptoms with their PCP. Notify your PI about the patient's symptoms. Your PI may want to call the patient's PCP to discuss whether a neurological work-up is warranted. The need for a neurological work-up may depend on the nature of the patient's symptoms, concomitant illnesses and medications. It should be explained to the patient that it is their responsibility to pursue further diagnosis and treatment. Call the patient to ascertain they have followed-up with their PCP and request records for event documentation as needed. Complete the CNS Event form and submit documenting materials to Elaine Nasco at Axio.

PAGE 1 of 1

DATE



Patient Initials: ____ ___

INSTRUCTIONS: Please complete at the Baseline visit (the start of the Run-In Place) Rame: BL

1.	Visit Date (mm/dd/yyyy)		BL_VisDt	
2.	Date of baseline blood draw	//	/	Use (MM/DD/YYYY) Date
	(mm/dd/yyyy)	/	/	Use (MM / DD / YYYY)
3.	Was blood drawn in a fasting state?	□₀ No	1 YES	BL_Fasting
4-5.	Screening LDL		BL_LDL	1 MG/DL BL_LDLUnit
6-7.	Screening HDL		BL_HDL	1 MG/DL BL_HDLUnit 2 MMOL/L
8-9.	Screening Triglyceride		BL_Tg	1 MG/DL BL_TgUnit 2 MMOL/L
10.	Was patient taking statins prior to screening?	□₀ NO	1 Yes	BL_LipidOnStatin
11.	Has patient ever taken niacin or Niaspan?	□₀ NO	1 YES	BL_PriorNiacin
Indic	ate which lipid modifying treatments (if ar	ny) the patient	t has taken with	in the <u>past month</u>
12.	Statins	□₀ NO	1 Yes	BL_Statin
13.	Bile Acid sequestrates	□₀ No	1 YES	BL_BileAcid
14.	Nicotinic acid other than niacin/niaspan	₀ No	1 YES	BL_Nicotin
15.	Fibric acids	□_₀ No	1 YES	BL_Fibric
16.	Cholesterol absorption inhibitors	₀ No	1 YES	BL_Absorb
17.	Other lipid modifying agents	₀ No	1 YES	BL_OtherDr
18.	Therapeutic lifestyle changes (diet & exercise) ONLY	□₀ No	1 YES	BL_TLC
19.	Was patient counseled at this visit regarding diet/exercise for lipid control?	□₀ NO	1 YES	BL_counsel





SITE ID:	PATIENT ID:
	Patient Initials:

Table Name: FLab

LDL FOLLOW-UP

INSTRUCTIONS: Fill this form at each visit that requires a blood draw.

	Visit	1 Молтн	3 MONTHS 6 MONTHS
	INCL_Age	12 Months	24 MONTHS 36 MONTHS
		48 Months	END OF STUDY IF OTHER VISIT,
			MONTH
1.	Date of lipid & serum chemistry sample collection FLab_LipidDt	////	Use (MM/DD/YYYY)
2.	Was blood drawn for lipids and serum chemistries in a fasting state?	□₀ NO	1 YES
			1 MG/DL FLab_LDLUnits
3-4.	Reported LDL-C from this draw	FLab_LDL	2 MMOL/L





Patient Initials: ____ _

INSTRUCTIONS: Fill out this form each time a cardiac enzyme measurement is taken. Table Name: ENZ

If the enzymes were taken due to a possible ACS event be sure to submit all documenting materials to Axio Research as soon as possible and fill out all the additional forms related to the event.

1.	Date enzymes were drawn	ENZ_Date	Use (MM/DD/YYYY)
2-3.	Time enzymes were drawn	ENZ_TimeMin TimeHH : Use 24 hour cloc	
4-5.	СК		1 U/L
		ENZ_CK	2 MLU/ML ENZ_CKUnit 3 UKAT/L
6-7.	The lab's CK upper limit of normal		1 U/L
		ENZ_CK_ULN	2 MLU/ML ENZ_CK_ULNUnit
			₃ UKAT/L
8-9.	CK-MB		0 NG/ML 3 U/L
		ENZ_CKMB	□_1 UG/L □_4 MLU/ML
		ENZ_CKMBUnit	2 %5 UKAT/L
10- 11.	The lab's CK-MB upper limit of		0 NG/ML 3 U/L
	normal	ENZ_CKMB_ULN	□ 1 UG/L □ 4 MLU/ML
		ENZ_CKMB_ULNUnit	2 % 5 UKAT/L
12- 13.	Troponin	ENZ_Trop EN:	I NG/ML 2 UG/L
14- 15.	The lab's Troponin upper limit of normal	ENZ_Trop_ULN	I NG/ML 2 UG/L





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PATIENT ID: _____

Patient Initials: ____ _

INSTRUCTIONS: Fill this form out if the patient is admitted for evaluation/treatment of any possible CNS Event (Stroke, TIA, etc.)

BE SURE TO SUBMIT ALL DOCUMENTING MATERIALS TO AXIO RESEARCH AS SOON AS POSSIBLE.

				Table Name: CNS
1.	Give the start date for event / symptom	///		Use (MM/DD/YYYY)
2.	Primary etiology:	☐1 ISCHEMIC STROKE	3 TRANSIE	INT ISCHEMIC ATTACK
	CNS_Type	2 PRIMARY HEMORRHAGIC STROKE		TO DETERMINE ER ISCHEMIC OR RHAGIC
3.	Did the event/condition require hospitalization?	0 NO CNS_hospital	1 YES	
4.	If yes, Date of admission	//		Use (MM/DD/YYYY)
5.	If yes, Date of discharge	//		Use (MM/DD/YYYY)
6.	Did symptoms persist for more than 24 hrs?	0 NO CNS_24Hr	1 YES	
7.	CT obtained CNS_CT	□ 0 NO	1 YES	
8.	If yes, Date of CT scan	///		Use (MM/DD/YYYY)
9.	MRI obtained CNS_MRI	o No	1 YES	
10.	If yes Date of MRI scan	//		Use (MM/DD/YYYY)
11.	Cardiac Echo obtained CNS_Echo	□ 0 NO	1 YES	
12.	If yes Date of Cardiac echo	///		Use (MM/DD/YYYY)
13.	Carotid Doppler obtained CNS_Doppler	□_0 NO	1 YES	
14.	If yes Date of Carotid Dopper exam	//		Use (MM/DD/YYYY)
15.	MRA/Angiography obtained CNS_MRA	□_0 NO	1 YES	
16.	If yes Date of MRA/Angiography	///		Use (MM/DD/YYYY)



Neuroimaging studies demonstrate:

- No neuroimaging evidence of acute cerebral infarction (or no neuroimaging performed) [noinfarct]
 - 1) Yes
 - 2) No
- 2. Neuroimaging evidence of acute cerebral infarction on MRI DWI images [Infarct_MRIDWI]
 - 1) Yes
 - 2) No
- 3. Neuroimaging evidence of acute cerebral infarction on MRI T2/Flair (or MRI sequence not specified) [infarctt2flair]
 - 1) Yes
 - 2) No
- 4. Neuroimaging evidence of acute cerebral infarction on CT brain [infarctCT]
 - 1) Yes
 - 2) No



PATIENT ID: ____ ____

Patient Initials: ____ ___

Table Name: CM

INSTRUCTIONS: Please complete this form noting all medications the patient is routinely taking at the time of this visit. See Manual of Operations for typical drugs in each class.				
	Visit	SELINE	12 MONTHS	24 Months
	36	Months	48 MONTHS	
1.	Beta-blockers CM_	Beta	□₀ NO	1 YES
2.	ACE inhibitors	M_Ace	₀ No	1 YES
3.	Angiotensin II receptor blockers	_ATII	₀ No	1 YES
4.	Calcium channel blockers	CM_CCB	₀ No	1 YES
5.	Diuretics CM	_Diu	₀ No	1 YES
6.	Digitalis preparations	_Digitalis	₀ No	1 YES
7.	Nitrates	_Nit	₀ No	1 YES
8.	Warfarin or heparin analog	_Warfarin	₀ No	1 YES
9.	Aspirin (regularly)	_Aspirin	□₀ No	1 YES
10.	NSAIDs (regularly)	NSAID	₀ No	1 YES
11.	Cox-2 inhibitors	CM_Cox2	₀ No	1 YES
12.	Clopidogrel (Plavix)	CM_Plavix	₀ No	1 YES
13.	Metformin CM_Me	etformin	₀ No	1 YES
14.	Thiazolidinediones (example: rosiglitazone)	M_TZD	□₀ No	1 YES
15.	Sulfona Urea	CM_SulU	□₀ No	1 YES
16.	Insulin	CM_Insulin	□₀ No	1 YES
17.	Other Diabetes Treatments	CM_OtDiab	□₀ No	1 YES
18.	Hormone replacement therapy	CM_HRT	□₀ NO	1 YES
19.	If yes to HRT, Estrogens	CM_HRTes	₀ No	1 YES
20.	If yes to HRT, Progestins	CM_HRTpro	□₀ NO	1 YES
21.	Corticosteroids (other than topical)	CM_Cort	□₀ NO	1 YES
22.	Antibacterial: - erythromycin* (Erythrocin); clarithromycin* (Bioxin)	CM_Antibac	□₀ No	1 YES
23.	Nefazodone* (Serzone)	CM_Serzone	□₀ No	1 YES
24.	Antifungal:- fluconazole* (Diflucan); itraconazole* (Sporonax); ketoconazole* (Nizoral)	CM_Antifun	□₀ No	1 YES
25.	Fibric acid derivative:- gemfibrozil* (Lopid); fenofibrate* (Tricor)	CM_Fibric	□₀ NO	1 YES
26.	Cyclosporine* (Sandimmune)	CM_Cyclo	□₀ No	1 YES
27.	High dose vitamins (not multi-vitamin)	CM_HighVit	₀ No	1 YES
28	If yes, was the patient taking > 400 IU of vitamin E Or >500mg of vitamin C?	it_C_E	₀ No	1 YES
29	Was the patient taking niacin or nicotinic acid?	CM_Niacin	₀ No	1 YES



CONCOMITANT		Site ID:		NT ID: nt Initials:	
-	EDICATIONS				
30	If taking niacin, daily dose		CM_NiacDose	1 500 MG	2 1,000 MG
31	Fish oil or fish oil derivatives or any n-3 fatty	/ acids	CM_FishOil	□₀ No	₁ Yes
	Drugs listed in questions 22-31 are excluded. Follow up with the patient and his/her physician to see if they can be discontinued.				





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Patient Initials: ____ ___

Table Name: DTH

INSTRUCTIONS: Fill this form out if the patient dies at any time during the study.

BE SURE TO SUBMIT ALL DOCUMENTING MATERIALS (if any) TO AXIO RESEARCH AS SOON AS POSSIBLE.

1.	Date of death (mm/dd/yyyy)		
2		///	Use (MM/DD/YYYY)
2.	What was the presumed cause of death?		3 NON-CARDIOVASCULAR
	DTH_Cause	2 VASCULAR, NON-CARDIAC	
3.	For Cardiac, please specify		
	DTH CardiacCause	2 EMD	
		3 CHF	₅ VT/VF
			6 NOT DETERMINED
4.	For vascular, non-cardiac, please specify	1 STROKE	4 NOT DETERMINED
	DTH_VasCause	2 NON-CNS HEMORRHAGE	₅ Other
		3 PULMONARY EMBOLISM	
5.	If other, please specify		
	DTH_VasCauseOth		
6.	For non-cardiovascular, please specify	1 CANCER	4 INFECTION/SEPSIS
	DTH_NonCause	2 PNEUMONIA	5 NOT DETERMINED
		3 OTHER LUNG DISEASE	6 OTHER
7.	If other, please specify		
	DTH_NonCauseOth		
0	W/ac the petient in the ansatz and a second se		
8.	Was the patient in the emergency room or hospitalized at the time of death?	0 NO DTH_Hospital	1 YES
9.	Was an autopsy performed?	0 NO DTH_Autopsy	1 YES
10.	Did the death occur within 30 days of undergoing cardiac surgery or a revascularization procedure?	0 NO DTH_Revas	1 YES





Patient Initials: ____ ___

INS	INSTRUCTIONS: Please complete at the screening visit.			
1.	Visit date Demo_VisDt	//Use	(MM / DD / YYYY)	
2.	Birth date Demo_DOB	// Use	(MM / DD / YYYY)	
3.	Age Demo_Age	(calculated on computer)		
4.	Gender Demo_Sex			
5.	Ethnicity Demo_Ethn	1 NOT HISPANIC OR LATINO 2 HIS	PANIC OR LATINO	
6.	What does the patient consider his/her predominant race? Demo_Race	AMERICAN INDIAN / ALASKA NATIVE / ABO 2 ASIAN 3 BLACK / AFRICAN AMERICAN 4 NATIVE HAWAIIAN OR OTHER PACIFIC ISL 5 WHITE 8 MULTI-RACIAL OR OTHER		
7.	Tobacco use? Demo_Smoke	1 NEVER USED 3 SMOKEL	ESS TOBACCO USER USER (QUIT MORE THAN AGO)	
8.	If tobacco user, estimate the number of pack years of consumptions	Demo_TobNm	<u> </u>	
9.	Does the patient consume alcohol?	0 NO Demo_Alcohol 1 YES		
10.	If yes, what is the average number of drinks consumed per week2mo_Alcnum	1 LESS THAN 3 DRINKS 2 3-7 DRIN 3 8-15 DRINKS 4 MORE THAN		
11.	What is the patient's current employment status?	1 FULL TIME 2 PART TIME 3 RETIRED 4 NOT WORKING OUTSIDE THE HOME-BY CHOICE 9 NOT PRO	OYED	
12.	What field best describes the patient's work category?	1 MANAGEMENT 7 Skilled 2 PROFESSIONAL 8 GENERA 3 BUSINESS/FINANCIAL 9 FARMER	AL LABOR S MPLOYED BUSINESS /MILITARY	
13.	Education Level Demo_Edu	 LESS THAN HIGH SCHOOL HIGH SCHOOL GRAD (OR GED) SOME COLLEGE/UNIVERSITY COLLEGE/UNIVERSITY GRADUATE POST COLLEGE/UNIVERSITY DEGREE NOT PROVIDED 		





PATIENT ID: _____

Patient Initials: ____ _

Table Name: DAdj

INSTRUCTIONS: Fill this form for any visit where the dose levels for the patient need to be adjusted.

1.	Date of dose adjustment	//	Use (MM/DD/YYYY)	
2.	Was the dose of niaspan/placebo adjusted or drug discontinued?		2 YES, LOWERED	
3.	What is new dose level? Dadj_NiaspanDoseNewLevel		3 1,500 MG/DAY	
4.	If niaspan dose adjusted or discontinued, what was the primary reason? Note change in reason choices – coated product! DAdj_NiaspanDoseAdjReason	 1 FLUSHING, ITCHING 2 LIVER FUNCTION TEST ABNORMALITY 3 RESUME HIGHER DOSE 4 PATIENT REQUEST 5 NON-STUDY PHYSICIAN REQUEST 	6 OTHER CLINICAL REASON TO LOWER DOSE 7 INCREASED GLUCOSE 8 GI SYMPTOMS 9 COATED PRODUCT	
5.	If other reason, please specify DAdj_DoseAdjSpcReason			
6.	Was the dose of Zocor (simvastatin) adjusted or discontinued? DAdj_StatinDoseAdj	0 NO	2 YES, LOWERED 3 YES, RAISED	
7.	What is new dose level? DAdj_StatinDoseNewLevel	5 5 MG/DAY 1 10 MG/DAY 2 20 MG/DAY	3 40 MG/DAY 6 60 MG/DAY 4_8 <u>0 MG/DAY</u>	
8.	If yes, what was the primary reason?	1 LOWER DOSE FOR ADVERSE CLINICAL SYMPTOMS 2 RAISE DUE TO HIGH LDL 3 PATIENT REQUEST	A NON-STUDY PHYSICIAN REQUEST 5 OTHER REASON FOR DOSE ADJUSTMENT 6 LOWER DUE TO LOW LDL	
9.	If other reason, please specify DAdj_StatinDoseAdjSpcReason			
10.	If not on Zocor, is patient taking another statin? DAdj_AnotherStatin	₀ NO	1 YES	
11.	If yes, which? DAdj_StatinType	☐ 1 Atorvastatin (Lipitor [®]) 2 Fluvastatin (Lescol [®]) 3 Lovastatin (Altocor [®] or Mevacor [®])	4 Pravastatin (Pravacol [®]) 5 Rosuvastatin (Crestor [®]) 7 Other	



PATIENT ID: ____ ____

Patient Initials: ____ ___

ADJUSTMENT

12.	If other, please specify	
	DAdj_StatinOth	
13.	What dose level of statin (in mgs) is the patient taking?	
	DAdj_StatinDose	MGS/DAY
14.	What is current ezetimibe use?	\square_1 Not used at this time \square_3 Continuing use
		2 ADDED AT THIS VISIT 4 DISCONTINUED THIS VISIT
15.	If discontinued, what was the primary reason?	1 PATIENT REQUEST 3 OTHER REASON FOR DOSE
	reason? DAdj_EzDoseAdjReason	ADJUSTMENT
		REQUEST4 LIVER FUNCTION TEST
		ABNORMALITY
16.	If other reason, please specify	
	DAdj_EzDoseAdjSpcReason	





Patient Initials: ____ ___

INST	NSTRUCTIONS: Fill this form at every in-office visit starting at the 3 Month visit.					
		Table Name: DAdh				
1.	Visit Date (mm/dd/yyyy)					
	DAdh_VisDt	// Use (MM/DD/YYYY)				
2.	What was the kit number for the drug returned?					
3.	Was another kit returned? If so, please list the kit number here. DAdh_KitNum2					
4.	What date did the patient start taking the drugs in this kit?	// Use (MM/DD/YYYY)				
5.	Question removed per Amendment 3.					
6.	Question removed per Amendment 3.					
7.	Approximate number of Niaspan/Placebo tablets returned or otherwise not taken (e.g. lost)? <u>DAdh_Niaspan_Return</u>					
	Returned Niaspan/Placebo should be de	stroyed – See Manual of Operations				
8.	Approximate number of Zocor (simvastatin) tablets returned or otherwise not taken (e.g. lost)?	DAdh_Statin_Return				
9.	Was ezetimibe prescribed?	0 NO DAdh_Ezt 1 YES				
10.	If on ezetimibe, approximate number of ezetimibe tablets returned or otherwise not taken (e.g. lost)?	DAdh_Ezt_Return				
11.	Did patient report taking drugs as prescribed?	0 NO DAdh_Adhere 1 YES				
12.	If no, was it the Niaspan/Placebo?	0 NO DAdh_NoAdhereNia 1 YES				
13.	If no, was it the Zocor (simvastatin)?	DAdh_NoAdhereZocor				
14.	If no, was it the ezetimibe?	0 NO DAdh_NoAdhereEze 1 YES				
15.	If medications were not taken as prescribed or if adherence is suspected to be poor (less than 75%), what was the primary reason for poor adherence? DAdh_BadWhy	 0 N/A, GOOD ADHERENCE 4 PATIENT DECISION 1 ADVERSE CLINICAL 5 SUPPLY RAN OUT SYMPTOMS 2 PATIENT FORGETFUL 6 UNKNOWN 3 NON-STUDY PHYSICIAN 7 OTHER RECOMMENDED DIFFERENT DOSE 				





Аім-нісн	SITE ID:	PATIENT ID:
UG ADHERENCE		Patient Initials:
If other reason for poor adherence, please describe DAdh_BadSpcWhy		





PATIENT ID: ____ ____

Patient Initials: ____

INSTRUCTIONS: Complete this form at randomization and all in-clinic visits after randomization or whenever Blinded Study Drug Kits, Zocor (simvastatin) or Ezetimibe bottles are dispensed.

	Visit	
		9 MONTHS 12 MONTHS 15 MONTHS
		36 Months 39 Months 42 Months
		45 Months 48 Months 51 Months
		54 Months 57 Months
1	Visit Date (mm/dd/yyyy)	Disp_VstDt
		// Use (MM/DD/YYYY)
2	What daily dose of Niaspan/Placebo will	□ NONE DISPENSED 3 1,500 MG/DAY
	be dispensed?	1 500 MG/DAY
		2 1,000 MG/DAY
3	Study drug kit number dispensed:	Disp_Kit
4	If the next study kit was also provided at	
4	this visit, give the kit number:	
5	What daily dose of Zocor (simvastatin)	0 NONE DISPENSED 7 30 MG
	will be dispensed?	□ ₅ 5 MG □ ₃ 40 MG
	Disp_StatinDose	1 10 мд6 60 мд
		2 20 MG 4 80 MG
NUMB	ER OF BOTTLES OF ZOCOR (SIMVASTATIN) DISPENS	SED.
6	Number of 10 mg Zocor (simvastatin)	Disp_StatinBottles_10
	bottles dispensed	
7	Number of 20 mg Zocor (simvastatin)	Disp_StatinBottles_20
	bottles dispensed	
8	Number of 40 mg Zocor (simvastatin)	Disp_StatinBottles_40
	bottles dispensed	
9	Number of ezetimibe bottles dispensed	Disp_Ezetimibe





Patient Initials: ____ ___

INSTRUCTIONS: Fill this form at the Baseline (start of Run-In) visit, 12, 24, 36, 48, and 60-month visit. Also, fill this form out EVERY time the patient has an ECG done for <u>any</u> reason.

PLEASE REMEMBER TO SEND THE ORIGNAL ECG TRACES TO THE CORE ECG LAB AS SOON AS POSSIBLE.

			Table Name: ECG
1.	What was the reason the ECG was taken?	BASELINE HISTORY	3 CARDIAC EVENT
	ECG_reason	2 SCHEDULED FOLLOW-UP	4 OTHER UNSCHEDULED EVENT
2.	Date of ECG (<i>mm/dd/yyyy</i>) ECG_date	//	Use (MM/DD/YYYY)
3.	Time of ECG- hour (use 24 hour clock) ECG_	timeHr ECG_TimeMin :(USE 24 HOU	R CLOCK)





INSTRUCTIONS: Fill this form out at the end of blinded treatment phase for all randomized patients.

PATIENT ID: ____ ____

Patient Initials: ____ _

TREATMENT PHASE

Table Name: Term

1.	Visit Date (mm/dd/yyyy)	//	Term_Date	Jse (MM / DD /YYYY)
2.	What was the primary reason for withdrawal from the study?	1 END OF STUDY 2 PROTOCOL VIOLAT 3 PATIENT REQUEST	TION REQUES [™] 5 DEATH	udy Physician r Follow-up
	If lost, contact the Coordinating Center for instructions.			Term_Reason
3.	Was the patient on blinded study drug (Niacin/Placebo) at the end of the trial (May 24 th , 2011)?	0 NO	1 YES	Term_Drug
4.	Will patient remain on lipid lowering/modifying treatments?	₀ No	1 YES	
	If yes, which one(s)?			Term_LipidTx
5.	Statins	□_₀ NO	1 YES	Term_Statin
6.	Bile Acid sequestrates	□o No	1 YES	Term_BileAcid
7.	Nicotinic acid (e.g. extended release niacin (Niaspan), combination statin with extended release niacin(Advicor))	₀ No	1 YES	Term_Nicotin
8.	Fibric acid derivative (e.g. gemfibrozil (Lopid); fenofibrate (Tricor))	□₀ NO	1 Yes	Term_Fibric
9.	Cholesterol absorption inhibitors	□₀ No	1 YES	Term_Absorb
10.	Other lipid modifying agents	□_₀ NO	1 YES	Term_OtherDrug
11.	Therapeutic lifestyle changes (diet & exercise) ONLY	□₀ NO	1 YES	
12.	Thinking back to when the participant was	NOT ON A STATIN A	AT ENTRY	Term_TLC
	enrolled, how long had they been taking a statin <i>PRIOR to enrollment in AIM-HIGH?</i>	1 < 1 YEAR PRIOR TO) ENROLLMENT	
		2 1 – 5 YEARS PRIOR	TO ENROLLMENT	
		□3 > 5 YEARS PRIOR T	O ENROLLMENT	Term_Duration
13.	Please ask the participant to make their best guess regarding which therapy they	1 ACTIVE NIASPAN	2 PLACEBO	3NO IDEA
	were assigned:			Term_Therapy
14.	What is <i>your</i> (Research Coordinator) best guess regarding which therapy the	1 ACTIVE NIASPAN	2 PLACEBO	3NO IDEA
	participant was assigned:			Term_TherapyRC





Patient Initials: ____

INSTRUCTIONS: Fill this form out as soon as you learn that a patient has experienced any study event.

This is <u>not</u> to be filled out if the patient experiences an Adverse Event (see the Adverse Event form). Only use this form for the specific events listed below in Question #2.

Please complete and enter all relevant supplemental forms, as indicated below.

BE SURE TO SUBMIT ALL DOCUMENTING MATERIALS TO AXIO RESEARCH AS SOON AS POSSIBLE.

1.	Date of event (mm/dd/yyyy)					
	EvntNot_EvtDt	/ / / Use (MM / DD / YYYY)				
2.	Event type	☐1 ACS ☐5 RULED NOT AN EVENT				
		2 CNS				
	EvntNot_Type	3 REVASCULARIZATION				
		4 DEATH				
3.	If ACS Event, which of the following?	1 MI				
	(If applicable, complete ACS Event, ECG	2 UNSTABLE ANGINA				
	and Cardiac Enzyme forms and submit documenting materials)	3 NO DOCUMENTED ISCHEMIA				
	EvntNot_ACS					
4.	If CNS Event, which of the following?	1 ISCHEMIC STROKE 3 TRANSIENT ISCHEMIC				
	(If yes, complete CNS Event form and	2 PRIMARY HEMORRHAGIC				
	submit documenting materials)	STROKE4 UNABLE TO DETERMINE				
	EvntNot_CNS	WHETHER ISCHEMIC OR HEMORRHAGIC				
5.	If Revascularization, which of the					
	following?	2 CEREBROVASCULAR				
	(If yes, complete Revascularization form and submit documenting materials)	3 PERIPHERAL				





PATIENT ID: _____

Patient Initials: ____ ___

Table Name: Exc

INSTRUCTIONS: Please complete at the Screening Visit. If you select YES for any of the questions below, the patient is NOT eligible for the study.

_				
Exc_ACS	1.	Has the patient been hospitalized for acute coronary syndrome with discharge within 4 weeks prior to planned enrollment?	₀ No	1 Yes
Exc_CAB	2.	Has the patient had CABG surgery within the past 5 years without recent ACS? (recent \leq 1 year)	₀ No	1 YES
Exc_PCI	3.	Has the patient had a PCI within the past 4 weeks?	□₀ No	1 YES
Exc_stroke8	4. wk	Has the patient had a stroke or TIA within the past 8 weeks?	□₀ No	1 YES
Exc_Glucome	5. eter	Is the patient diabetic and unable to or refuses to use a glucometer for home monitoring of glucose?	□₀ No	1 YES
Exc_PostM	6. Ivas	Is the need/likelihood of urgent revascularization is high?	□_₀ No	1 YES
Exc_LfCAD	7.	Does the patient have left main coronary disease \geq 50% and no prior CABG?	₀ No	1 YES
Exc_Ejc	8.	Is the patient's ejection fraction <30%?	□₀ NO	1 YES
Exc_Unres	9. sp	Does the patient have cardiogenic shock, pulmonary edema, angina, or CHF unresponsive to standard medical therapy? (CCS class IV)	₀ No	1 Yes
Exc_CVD	10.	Does the patient have concomitant valvular heart disease likely to require surgery or affect prognosis during follow-up period?	₀ No	1 Yes
Exc_CardMy	11.	Does the patient have congenital or primary cardiomyopathy likely to affect prognosis during follow-up period?	₀ No	1 YES
Exc_ICD	12.	Has the patient experienced resuscitated out-of-hospital sudden death or symptomatic sustained or non-sustained ventricular tachycardia and does not now have an implantable cardioverter-defibrillator (ICD)?	₀ No	1 YES
Exc_Hyper	13.	Does the patient have significant systemic hypertension (BP>200/100 mm Hg) unresponsive to medical therapy?	₀ No	1 YES
Exc_Peptic	14.	Does the patient have active peptic ulcer disease?	₀ No	1 YES
Exc_Meds	15.	Must the patient continue with any of the excluded medications?	₀ No	1 YES
Exc_preg	16.	If the patient is female, is she pregnant or likely to become pregnant (i.e. premenopausal and not using birth control)?	₀ No	1 YES
			₃N/A (MALE	:)

Continue 2nd





PATIENT ID: ____ ____

Patient Initials: ____ ___

Exc_como	17. г <mark>ь</mark>	Does the patient have any significant co-morbidity likely to cause death in the 3-5 year follow-up period?	₀ No	1 YES
Exc_HIV	18.	Does the patient have AIDS or active HIV infection?	□₀ No	1 Yes
Exc_abuse	19.	Does the patient have a significant active history of substance abuse within the previous 5 years?	□₀ No	1 YES
Exc_Clint	20. rial	Is the patient currently participating in another long-term clinical trial?	□₀ NO	1 Yes
Exc_patie	21.	Is the patient unwilling to participate?	□₀ NO	1 Yes
Exc_Phys:	22. Ician	Is the physician or other non-study physicians unwilling to allow patient to participate?	□₀ NO	1 Yes
	LAB v	value Exclusions		
Exc_Glu	23.	Is the patient's fasting glucose >180 mg/dL or hemoglobin A_1C >9.0%?	□₀ No	1 Yes
Exc_Live	24.	Does the patient have AST or ALT > 2 times upper limit of normal or active liver disease?	□₀ NO	1 Yes
Exc_Gout	25.	Does the patient have a recent history of acute gout or uric acid > 7.0 mg/dL despite therapy with allopurinol?	□₀ NO	1 YES
Exc_creat	26.	Does the patient have chronic renal insufficiency with creatinine \geq 2.5 mg/dl?	□₀ NO	1 YES



	Алм-нісн		PATIENT ID:		
F	OLLOW-UP		Patient Initials:		
			–		Table Name: FoL
IN	STRUCTIONS: Please complete at eac	ch Follow	-up visit or F	ollow-up pho	one call.
	2 WEEK 12 M	ONTHS ONTHS ONTHS ONTHS	☐ 30 M ☐ 33 M	IONTHS IONTHS IONTHS IONTHS	 45 MONTHS 48 MONTHS 52 MONTHS 54 MONTHS
	3 MONTHS 21 M	ONTHS	🗌 39 M	Ionths	57 MONTHS
	6 MONTHS 24 M	ONTHS	42 N	Ionths	60 MONTHS
1.	Date of visit or phone call Fol_Date		/	_/	Use (MM/DD/YYYY)
2.	In-office Visit or Phone call? Fol_Visit	уре	1 IN-OFFICE	E	2 PHONE CALL
3.	Reason for follow-up		1 SCHEDUL	ED	
	Fol_Reason		2 UNSCHEDULED FOR SIDE EFFECT		
			3 UNSCHEDULED FOR DOSE ADJUSTMENT		
4.	Was study drug dose adjusted or discontinu (If yes, please complete Dose Adjustment form) Fol_		□₀ No	1	YES
Sc	reen Patient for Possible Efficacy Endpo		Į		
5.	MI: (If yes, complete ACS Event form and submit docume materials)	nting	□₀ No	1	Yes
6.	Stroke: (If yes or possible, complete CNS Event form and subm documenting materials) Fol_	nit <mark>Stroke</mark>		1 YES – WITH DOCUMENTATION	D2 POSSIBLE – YES TO STROKE SYMPTOM QUESTION(S)
7.	Hospitalization for evaluation of acute coron syndrome (ACS): (If yes, complete ACS Event form and submit docume materials)	nting 1_ACS	₀ No	1	Yes
8.	Cardiac revascularization (CABG or PCI): (If yes, complete Revascularization form.)	rdRevasc	□₀ No	1	Yes
9.	Cerbrovascular revascularization (carotid endarterectomy, carotid PTA): Fol_Ce (If yes, complete Revascularization form)	rebRevasc	□₀ No	1	Yes
10.	Peripheral revascularization: Fol_Periph (If yes, complete Revascularization form)	Revasc	□₀ No	1	YES







Patient Initials: ____ ___

_

S	Screen Patient for Possible Adverse Events or Symptoms:					
Fo	Follow-up as needed					
11.		☐1 NO FLUSHING REPORTED	3 MODERATELY FREQUENTLY MANY DAYS, BUT NOT EVERY DAY)			
	Fol_Flush	2 INFREQUENTLY (ONLY A FEW TIMES DURING THIS PERIOD)	4 DAILY (ALMOST EVERY DAY, USUALLY FOLLOWING THE DOSE)			
12.	On average, how severe (annoying) were the flushing episodes?		☐3 MODERATE 4 SEVERE			
13.	Did the patient take aspirin or other analgesic prior to taking the Niaspan or Placebo?	2 MILD				
14.	Was it generally effective in preventing or reducing the incidence or severity of flushing?	0 NO Fol_Prior2	1 YES			
15.	Did the patient report any itching?	0 NO SYMPTOMS	2 MODERATE			
	(if yes, note severity)	1 MILD	3 SEVERE			
16.	Did the patient report any nausea? (if yes, note severity) Fol_Nausea	0 NO SYMPTOMS	2 MODERATE			
		1 MILD	3 SEVERE			
17.		0 NO SYMPTOMS	2 MODERATE			
	symptoms (heartburn, gas) associated with the study drugs? (if yes, note severity)	1 MILD	3 SEVERE			
18.	Did patient report muscle aches or weakness?	0 NO SYMPTOMS	2 MODERATE			
	(if yes, note severity) Fol_Muscle	1 MILD	3 SEVERE			
19.	Did the patient experience fatigue?	0 NO SYMPTOMS	2 MODERATE			
	(if yes, note severity)	1 MILD	3 SEVERE			
20.	Did the patient report any marked changes in	0 NO SYMPTOMS	2 MODERATE			
	eyesight? (if yes, note severity) Fol_Vision	1 MILD	3 SEVERE			
21.	Did the patient report development of gout or severe	0 NO SYMPTOMS	2 MODERATE			
	symptoms of arthritis? Fol_Gout	1 MILD	3 SEVERE			
22.	For diabetic patients, did they use a glucometer?		1 NO 2 YES			
23.	Did fasting glucometer measurements rise by more than 15 mg/dl (0.75 mmol/l)?	0 NO Fol_Glucose	1 YES			
	the answer to questions 19-21, or 23 was yes a robably related to the study drug, complete the					
24.	Any other adverse events: (f yes, add events on "Averse Events" page)	□_₀ No	1 YES			





CITE	ID.
SILE	ID:

PATIENT ID: _____

Patient Initials: ____ _

Table Name: HU

INSTRUCTIONS: Please fill out this form at in-office visits at month 6, 12, 18, 24, 30, 36, 42, 48 and 60 month.

1.	Visit Date (mm/dd/yyyy)	HU_Date	
		//	Use (MM/DD/YYYY)
2.	Number of outpatient doctor visits to cardiologist, peripheral vascular surgeon or physician, neurologist or family physician/internist for cardiovascular problems since last follow-up contact	HU_Outpatient	
3.	Number of home health care days of assistance since last follow-up	HU_HomeHealth	
4.	Number of days in nursing or rehab facility since last follow-up	HU_Rehab	
5.	What is the patient's current work status?	☐1 FULL TIME	5 DISABLED
	HU_WorkStatus	2 PART TIME	6 UNEMPLOYED
		3 RETIRED	9 NOT PROVIDED
		4 NOT WORKING OUTSIDE THE HOME-BY CHOICE	
6.	Has the patient changed work status since the last follow-up due to health issues?	HU_WorkChg	2 QUIT WORKING 3 INCREASED WORK HOURS
7.	If still working, what number of days was the patient unable to work because of health issues:	HU_WorkLost	
Mai	k all (if any) cardiac outpatient procedures do	ne since the last follow-up:	
8.	Cardiac catheterization HU_Cath	□_0 NO	1 YES
9.	Cardioversion HU_Cardiov	□ ₀ NO	1 YES
10.	Pacemaker implant HU_Pacemaker	□ ₀ NO	1 YES
11.	Electrophysiology study HU_EP	□_0 NO	1 YES
12.	Transthoracic cardiac echo	□ ₀ NO	1 YES
13.	Transesophageal cardiac echo HU_TEE	□ ₀ NO	1 YES
14.	Radionuclide scan HU_NucScan	□ ₀ NO	1 YES
15.	CT scan (cardiac or other)	□₀ NO	1 YES
16.	MRI scan (cardiac or other) HU_MRI	□_0 NO	1 YES





Patient Initials: ____ ___ __

INST	RUCTIONS: Please fill out this form any time	the patient is ho	ospitalized for a	any reason.
				Table Name: HOSP
1.	Date of admission (mm/dd/yyyy) Hosp_AdmDt	/	_/	Use (MM/DD/YYYY)
2.	Date of discharge (mm/dd/yyyy) Hosp_DischDt	/	_/	Use (MM/DD/YYYY)
3.	Type of admission:	1 HOSPITAL		ENCY DEPARTMENT ONLY
4.	Number of days in ICU			Hosp_ICU
5.	Admission diagnosis (ICD code)			Hosp_AdmDx
6.	Primary discharge diagnosis (ICD code)			Hosp_DischDx
7.	Did the patient die during this admission? If yes, complete death form.	□_₀ No	1 YES	Hosp_Death
8.	Did patient undergo revascularization during this hospitalization?	□_0 NO	1 YES	Hosp_Revasc
	If yes, complete Revascularization form.			
9.	Did patient suffer an MI during this admission? If yes, complete ACS Event form.	□ ₀ NO	1 YES	Hosp_MI
Che	ck if any of the procedures below were perfor	med during this	hospital/ER vis	sit.
10.	Cardiac catheterization	₀ No	1 YES	Hosp_Cath
11.	Cardioversion	□_₀ No	1 YES	Hosp_Cardiov
12.	Pacemaker implant	₀ No	1 YES	Hosp_Pace
13.	ICD implant	₀ No	1 YES	Hosp_ICD
14.	Aneurysm resection	□_₀ No	1 YES	Hosp_Aneur
15.	Valve repair or replacement	₀ No	1 YES	Hosp_Valve
16.	Electrophysiology study	□₀ No	1 YES	Hosp_EP
17.	Transthoracic cardiac echo	₀ No	1 YES	Hosp_echo
18.	Transesophageal cardiac echo	₀ No	1 YES	Hosp_TEE
19.	Radionuclide scan	□₀ NO	1 YES	Hosp_NucScan
20.	CT scan (cardiac or other)	₀ No	1 YES	Hosp_CT
21.	MRI scan (cardiac or other)	□₀ NO	1 YES	Hosp_MRI





<u></u>	ID.
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PATIENT ID: _____

Patient Initials: ____

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Base	FRUCTIONS: Fill this form at the Baseline visit. ed on all available medical records, please record the history for each c ertain.	ondition. Answer "	
Cardi	ovascular Medical History		
1.	Angina HX_Angina	₀ No	1 YES
2.	Acute coronary syndrome (ACS)	₀ No	□_1 YES
3.	Date of most recent hospitalization for ACS - month	SdateMM	
4.	Date of most recent hospitalization for ACS - year	SdateYY	
5.	Myocardial infarction (MI)	₀ No	1 YES
6.	Date of most recent MI - month HX_MIdateMM		
7.	Date of most recent MI - year HX_MIdateYY		
8.	Ventricular arrhythmia	₀ No	1 YES
9.	Atrial fibrillation Hx_Afib	₀ No	1 YES
10.	Congestive heart failure (CHF) Hx_CHF	₀ No	1 YES
11.	Is a recent Ejection Fraction measurement available? HX_EF	₀ No	1 YES
12.	If yes, Ejection Fraction (in percent, most recent)	%	
13.	Or, if qualitative: HX_EFqual	₁ 30−50%	2 > 50%
14.	Date of most recent EF - month HX_EFdateMM		
15.	Date of most recent EF - year HX_EFdateYY		
16.	Pulmonary embolism Hx_PE	₀ No	1 YES
17.	Deep vein thrombosis (DVT) requiring anti-coagulant	₀ No	1 YES
18.	Ischemic dilated cardiomyopathy	₀ No	1 YES
19.	Moderate to severe mitral or aortic stenosis Hx_aorsten	₀ No	1 YES
20.	Moderate to severe mitral regurgitation Hx_mitregurg	₀ No	1 YES
21.	$\begin{array}{llllllllllllllllllllllllllllllllllll$	₀ No	1 YES
22.	Transient ischemic attack (TIA)	o NO	1 YES
23.	Stroke Hx_Stroke	₀ No	1 YES
24.	Date of most recent Stroke/TIA - month		
25.	Date of most recent Stroke/TIA - year		
26.	What was the etiology of the stroke?		2 PRIMARY HEMORRHAGIC
27.	Abdominal aortic aneurysm	□_0 NO	1 YES



Continue 2nd page



PATIENT ID:			
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Patient Initials: ____ ___

28.	Peripheral vascular disease Hx_PVD	₀ No	1 YES
29.	Carotid Artery Disease Hx_Carotid	□_₀ NO	1 YES
30.	Family history of premature cardiovascular disease	□₀ NO	1 YES
Family	history of premature cardiovascular disease is prior to 55 years for male or 6	5 years of age for fer	nale 1st degree relative
Cardie	ovascular Interventions		
31.	Coronary artery bypass graft (CABG)	₀ No	1 YES
32.	Date of most recent CABG - month		
33.	Date of most recent CABG - year		
34.	Percutaneous coronary revascularization (PCI), angioplasty	□₀ NO	1 YES
35.	Date of most recent - month HX_PCIdateMM		
36.	Date of most recent - year HX_PCIdateYY		
37.	Was a stent placed? HX_PCIStent	₀ No	1 YES
38.	Valve repair/replacement Hx_valve	□₀ NO	1 YES
39.	Abdominal aortic aneurysm repair	0 NO	1 YES
40.	Carotid endarterectomy Hx_edart	0 NO	1 YES
41.	Carotid Stent Hx_CarotidStent	□₀ NO	1 YES
42.	Peripheral revascularization Hx_PeriRevas	0 NO	1 YES
43.	ICD implant Hx_ICD	0 NO	1 YES
44.	Pacemaker implant Hx_Pace	0 N O	1 YES
Other	Medical History		
45.	Chronic pulmonary disease	□₀ NO	1 YES
46.	Hepatic disease Hx_Hep	0 NO	1 YES
47.	Renal disease Hx_Renal	₀ No	1 YES
48.	Diabetes mellitus Hx_Diabetes	0 NO	1 YES
49.	Year of onset Hx_DiabetesYear		
50.	Type Hx_Dtype	1 TYPE I	2 TYPE II
51.	Does the current treatment ONLY dietary counseling Pk_DtreatDiet	□₀ NO	1 YES
52.	Does the current treatment include use of Oral Hypoglycemic?	□₀ NO	1 YES
53.	Does the current treatment include use of insulin? Hx_DtreatInsulin	₀ NO	1 YES
54.	Is patient currently using a glucometer?	□₀ NO	1 YES





Patient Initials: ____ ___

INST	RUCTIONS: Please complete at Baseline	e, annually and at end of study. Table Name: PE
	Visit	BASELINE 12 MONTH 24 MONTH 36 MONTH 48 MONTH END OF STUDY
1.	Visit Date (mm/dd/yyyy)	//PE_VisDt Use (MM/DD/YYYY)
2-3.	Height (round to nearest in/cm)	PE_Ht1 INCHES2 CENTIMETERS
3.1	Standard height (derived value)	PE_HtStd PE_Htunits
4-5.	Weight (round to nearest ld/kg)	PE_Wt1 POUNDS2 KILOGRAMS
5.1	Standard weight (derived value)	PE_WtStd
5.2	BMI (derived value)	PE_BMI
6-7.	Waist circumference (round to nearest in/cm)	PE_waist1 INCHES2 CENTIMETERS
7.1	Standard waist circumference (derived value)	PE_WaistUnit PE WaistStd
8-9.	Hip circumference (round to nearest inch/centimeter)	PE_Hip1 INCHES2 CENTIMETERS
9.1	Standard hip circumference (derived value)	PE_HipStd PE_HipUnits
10-11.	Sitting Blood Pressure	PE_BPsys / PE_BPdia mmHg
	<i>lic syndrome (NCEP)</i> metabolic syndrome if there are <u>three</u> or more of the A waistline of 40 inches or more for men and 35 inc. A blood pressure of 130/85 mm Hg or higher A triglyceride level above 150 mg/dl A fasting blood glucose (sugar) level greater than 1 A high density lipoprotein level (HDL) less than 40 m	hes or more for women 10 mg/dl
12.	Does the patient have Metabolic Syndrome (NCEP)	□ NO □1 YES
I. Ord pro II. Sligh colo the III. Mai stai	an Cardiovascular Class: inary physical activity, such as walking and climbing longed exertion at work or recreation. t limitations of ordinary activity. Walking or climbing s d, or in wind, or under emotional stress, or only durin level and climbing more than one flight of ordinary sta	one to two blocks on the level and climbing more than one flight of
13.	What is the patient's CCS classification at this visit?	0 NO ANGINAL SYMPTOMS 2 II 1 I 3 III 4 IV 1





Patient Initials: ____ _

INSTRUCTIONS: Fill this form out if the patient has any revascularization procedure.

AIM-HIGH <u>Physician Investigator</u> must review materials to determine whether revascularization was "symptom-driven" or not. See reverse side for definitions.

BE SURE TO SUBMIT ALL DOCUMENTING MATERIALS TO AXIO RESEARCH AS SOON AS POSSIBLE.

		Table Name: RV
1.	Date of revascularization procedure RV_Date	// Use (MM/DD/YYYY)
2.	Type of revascularization	
	RV_Type	2 CERBROVASCULAR
		3 PERIPHERAL
3.	What type of cardiac procedure?	☐ 1 ANGIOPLASTY WITHOUT STENT
		2 Stent
	RV_CardType	3 OTHER PCI (E.G. ATHERECTOMY, ROTATIONAL ABLATION LASER)
		₄ CABG
4.	Was the procedure performed as an in- patient procedure? RV_hospital	0 NO 1 YES
5.	Date of admission (mm/dd/yyyy) RV_adminDt	// / Use (MM/DD/YYYY)
6.	Date of discharge (mm/dd/yyyy)	//Use (MM/DD/YYYY)
7.	Did an MI occur within 3 days following the procedure?	
8.	Did AIM-HIGH investigator review materials for this event? RV_Review	0 NO 1 YES
9.	Was the procedure "symptom driven"?	□ NO □1 YES □9 UNABLE TO DETERMINE
Plea	se indicate below what was the basis fo	r determination of "symptom driven".
10.	Medical records (e.g., admission or discharge notes, operative reports,	□ NO □1 YES
	etc. Include in documentation and send to Coordinating Center)	RV_Records
11.	Patient report RV_Report	0 NO 1 YES
12.	AIM-HIGH Physician evaluation (summarize in signed narrative and send to Coordinating Center)	□ NO □1 YES
13.	Other physician evaluation (obtain letter or other written documentation from physician to send to Coordinating Center)	0 NO 1 YES



Revascularization is defined as any of the following procedures:

- <u>Coronary revascularization</u>: PCI (includes percutaneous transluminal coronary angioplasty [PTCA], coronary stenting, and others such as brachytherapy, atherectomy, laser, and rotational ablation) or CABG.
- <u>Cerebrovascular revascularization</u>: carotid endarterectomy, carotid percutaneous transluminal angioplasty (with or without stent).
- <u>Peripheral revascularization</u>: peripheral arterial bypass surgery, or any therapeutic intervention for critical leg ischemia (including thrombolysis)
- <u>Renovascular surgery</u>

Symptom driven is defined as:

- Symptoms lead to revascularization regardless of whether or not the procedure itself is successful
- Worsening symptoms after randomization associated with ischemia demonstrated on noninvasive testing or coronary disease progression at angiography followed by PCI or CABG at least 30 days after randomization
- Worsening symptoms after randomization associated with revascularization of the cerebrovascular or peripheral vascular system at least 30 days after randomization
- Persistent stable symptoms after randomization associated with ischemia demonstrated on non-invasive testing or coronary disease progression at angiography followed by PCI or CABG 6 or more months after randomization

Revascularization, not symptom driven is defined as:

- Revascularization procedures for restenosis, early or late stent thrombosis
- Elective coronary revascularization procedures in non-symptom driven patients even if noninvasive testing is abnormal since it cannot be determined if this represents disease that was present before randomization (for example, as part of a non-cardiac preoperative work-up, a non-invasive test reveals ischemia leading to angiography and coronary revascularization)





Patient Initials: ____ ___

Table Name: RunI

INSTRUCTIONS: Fill this form out at completion of run-in, whether or not the patient is to be randomized.

1.	Date Niacin Extended- Release was dispensed.	RunI_StartDt	Use (MM/DD/YYYY)
2.	How frequently did the patient experience flushing? RunI_Flush	1 NO FLUSHING REPORTED 2 INFREQUENTLY (ONLY A FEW TIMES DURING THIS PERIOD)	3 MODERATELY FREQUENTLY MANY DAYS, BUT NOT EVERY DAY) 4 DAILY (ALMOST EVERY DAY, USUALLY FOLLOWING THE DOSE)
3.	On average, how severe (annoying) were the flushing episodes?	NO SYMPTOMS Inild	2 MODERATE 3 SEVERE Run1_Flush2
4.	Did the patient take aspirin or other analgesic prior to taking the Niacin Extended-Release?	□ NO	1 YES RunI_Prior
5.	Maximum niacin dose	0 NONE TOLERATED	3 1500 MG/DAY
	tolerated.	 1 500 mg/day	
		2 1000 MG/DAY	RunI_MaxDose
lfn	atient tolerated less than 1,50	0 ma/day, salast the reas	cons why bolow
6.		, mg/day, select the reas	
0.	Intolerable flushing despite aspirin/NSAID co- therapy	0 NO	1 YES RunI_Flush_ASA
7.	Abnormal heart rhythm or heart rate	□₀ No	1 YES RunI_Arrhythmia
8.	Glycemic control in diabetics	□_0 NO	1 YES RunI_GlycControl
9.	Pruritis (itching)	□o NO	1 YES RunI_Itch
10.	Other	□_0 NO	1 YES RunI_OthIntol
11.	Describe other symptoms that made Niacin Extended-Release intolerable.		RunI_Spc_othIntol
12.	How many bottles of Zocor (simvastatin) were dispensed during Run-In?	0 NONE RunI_Sim_Disp	1 ONE 2 TWO

Continue 2nd page



RU	<u>Алм-нісн</u> IN-IN	-	Site ID	:	PATIENT ID: Patient Initials:
40	How many bottles of Niacin Extended-Release were dispensed during Run-In?		nI_Niac_I	Disp	2 Two
14.	Number of Niacin Extended-F returned.	Release table	ets		RunI_NiaspanRtn
15.	Was adherence poor (or suspoor)? If yes, select the prima			 GOOD COMPLIANG ADVERSE CLINICA SYMPTOMS PATIENT FORGETF NON-STUDY PHYS RECOMMENDED DIFFERENT DOSE 	L5 SUPPLY RAN OUT
study		e randomize	ed to the patier	nt as DISCONTINU	
17.	Will patient be randomized to phase?	double-blind		_₀ No	1 YES
18.	If not, why?	RunI_WhyNo		1 DID NOT TOLERAT LEAST 1,500 MG/I OF NIACIN EXTEN RELEASE 2 PATIENT REFUSAL 3 REFERRING PHYS REFUSAL	DAY DED- \square_5 EXCLUSION CRITERIA \square_6 POOR ADHERENCE \square_7 OTHER INTERVENING EVENT
19.	If other intervening event,	please speci	iy		
	F	unI_SpcWhyN	ot		





PATIENT ID: _____

Patient Initials: ____ _

Table Name: INCL

INSTRUCT									
INCL_Age	1.	Is the patient a	at least 45 yea	ars old?		[0 NO	□1 YE	S
Risk Facto	rs:	At least one ris	sk factor (ques	stion 2-6)	must be doc	umented.			
INCL_CAD	2.	Does the patie (with one or m epicardial core	hore \geq 50% ste	enosis in		AD [_₀ No	□1 YE	S
INCL_MI	3.	Has the patier myocardial inf		d a docun	nented	[_₀ No	□ ₁ Ye	S
INCL_ACS	4.	Has the patier syndrome (AC					_₀ No	□1 YE	S
INCL_CVD	5.	Does the patie carotid diseas		mented c	erbrovascula	ir or [_₀ No	□1 YE	S
INCL_PAD	6.	Does the patie peripheral arte			ymptomatic	[0 NO	□ ₁ Ye	S
7. Was the screeni	e pati		ad obtain so atin drug at the tin	creenir	No I Atorva	ample. Astatin (Lip	itor [®]) 4 Pi		. ,
7. Was the screeni	e pati ng?	ent taking a <i>sta</i>	ad obtain so atin drug at the tin	creenir	ng blood s □0 No □1 Atorva	ample. Astatin (Lip	itor [®]) 4 Pi	ravastatin (. ,
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7. Was the screeni 8. If ye 9 If other, 10. What do taking? 11. Is LDL-0 12. Is HDL-1 13. Are the range? vel 10 n tatin ≤ 160	e patieng? s, whi pleas Dise le C in th C in th triglyc	ent taking a <i>sta</i> INCL_ONSta ich? INCL_StatinT Se specify IN evel of statin (in the protocol specify INCL_HDL cerides in the IN 20 mg mg/dL mmol/L	ad obtain s atin drug at the tin ype CL_StatinOth mgs) is the pa iNCL_StatinDo cified range? ccified range? ccified range? ccified range? ccified range? ccified range?	etime of etime of etime of ed ed 80 m $\leq 160 \approx$	g blood s g blood s 1 Atorva 2 Fluvas 3 Lovas Mevac 0 No 0 No 9 mol/L	ample. astatin (Lip statin (Leso tatin (Altoc cor®) 		ravastatin (osuvastatin mvastatin ther 1 YES 1 YES 1 YES 1 YES 1 YES	(Crestor [®]) (Zocor [®])
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No or Off Statin Therapy On Statin Therapy





PATIENT ID: ____ ____

Patient Initials: ____ ___

INSTRUCTIONS: Review the Serum Chemistry data from the Central Lab and fill out the form below. Describe if the value for each was clinically significant. If yes, please have the <i>AIM-HIGH</i> physician provide a diagnostic comment. If measurement for an analyte was NOT done, please skip the question(s).								
	Visit BASELINE	12 MONTHS 36 MONTHS 60 MONTHS						
	3 MONTHS	24 MONTHS 48 MONTHS If other visit, Month						
1.	Date the blood draw was taken <i>mm/dd/yyyy)</i>	//						
2.	Had the patient fasted prior to the blood draw?	NO Scm_Fast						
3.	Creatinine Kinase (CK)	0 NORMAL 3 LOW, AT CLINICAL WARNING LEVEL 1 ABNORMAL, BUT NOT SIGNIFICANT 4 HIGH, AT CONCERN LEVEL						
	Scm_CK	\square_2 Low, at concern level \square_5 High, at clinical warning level						
4.	If levels are clinically significant, provide comment.	Scm_CK_Cm						
5.	Uric Acid scm_UA	0 NORMAL 3 LOW, AT CLINICAL WARNING LEVEL 1 ABNORMAL, BUT NOT SIGNIFICANT 4 HIGH, AT CONCERN LEVEL 2 LOW, AT CONCERN LEVEL 5 HIGH, AT CLINICAL WARNING LEVEL						
6.	If levels are of clinically significant, please provide comments.	Scm_UA_Cm						
7.	HGBA1c	0 NORMAL 3 LOW, AT CLINICAL WARNING LEVEL 1 ABNORMAL, BUT NOT SIGNIFICANT 4 HIGH, AT CONCERN LEVEL 2 LOW, AT CONCERN LEVEL 5 HIGH, AT CLINICAL WARNING LEVEL						
8.	If levels are of clinically significant, please provide comments.	Scm_ HGBA_Cm						
9.	Glucose scm_Glu	0 NORMAL 3 LOW, AT CLINICAL WARNING LEVEL 1 ABNORMAL, BUT NOT SIGNIFICANT 4 HIGH, AT CONCERN LEVEL 2 LOW, AT CONCERN LEVEL 5 HIGH, AT CLINICAL WARNING LEVEL						
10.	If levels are of clinically significant, please provide comments.	Scm_Glu_Cm						
11.	Aspartate Transaminase (AST) scm_ast	0 NORMAL 3 LOW, AT CLINICAL WARNING LEVEL 1 ABNORMAL, BUT NOT SIGNIFICANT 4 HIGH, AT CONCERN LEVEL 2 LOW, AT CONCERN LEVEL 5 HIGH, AT CLINICAL WARNING LEVEL						
12.	If levels are of clinically significant, please provide comments.	Scm_AST_Cm						

Continue 2nd page





PATIENT ID:
Patient Initials:

13. Creatinine 3 LOW, AT CLINICAL WARNING LEVEL 0 NORMAL ABNORMAL, BUT NOT SIGNIFICANT 4 HIGH, AT CONCERN LEVEL 1 Scm_Cre 5 HIGH, AT CLINICAL WARNING LEVEL ² LOW, AT CONCERN LEVEL 14. If levels are of clinically Scm_Cre_Cm significant, please provide comments. Creatinine Clearance 15. 0 NORMAL ³ LOW, AT CLINICAL WARNING LEVEL 4 HIGH, AT CONCERN LEVEL 1 ABNORMAL, BUT NOT SIGNIFICANT Scm_CC ² LOW, AT CONCERN LEVEL 5 HIGH, AT CLINICAL WARNING LEVEL 16. If levels are of clinically Scm CC Cm significant, please provide comments.





Patient Initials: ____ ___

INSTRUCTIONS: Fill this form out at the last study visit for all patients (including early termination)							
				Table Name: Term			
1.	Last Patient Contact Date (mm/dd/yyyy)	//	m_Date 	Use (MM/DD/YYYY)			
2.	What was the primary reason for withdrawal from the study? If death, complete Death Event form and submit documenting materials.	1 END OF STUDY 2 PROTOCOL VIOLATION 3 PATIENT REQUEST	REQ				
3.	Did the patient remain on study drug throughout the trial? If no, make sure that a Drug Dose Adjustment/Discontinuation page documents when study drug was discontinued.	₀ No	6 LOS	T TO FOLLOW-UP			
4.	If still alive and withdrawn prior to end of study, did patient agree to allow follow-up through medical records for study endpoints? If yes, obtain consent to request medical records.	□o NO	☐1 YES	Term_MedRecs			
5.	Will patient remain on lipid lowering/modifying treatments? If yes, which one(s)?	□_0 NO	☐ ₁ Yes	Term_LipidTx			
6.	Statins		1 Yes	Term_Statin			
7.	Bile Acid sequestrates		1 YES	Term_BileAcid			
8.	Nicotinic acid		1 YES	Term_Nicotin			
9.	Fibric acids	□₀ NO	1 YES	Term_Fibric			
10.	Cholesterol absorption inhibitors	□o NO	1 YES	Term_Absorb			
11.	Other lipid modifying agents	□_0 NO	1 YES	Term_OtherDr			
12.	Therapeutic lifestyle changes (diet & exercise) ONLY	□_0 NO	1 Yes	Term_TLC			



SITE I	D:

PATIENT ID: ____ ____

Patient Initials: ____ ___

SUSPECTED TOXICITY

Table Name: TOX

INSTRUCTIONS: Add a row to the form each time drug toxicity is suspected.

1.	Date evaluated (mm/dd/yyyy)		
	Tox_Dt	//	Use (MM / DD / YYYY)
2.	What is the reason for completion?		2 Myopathy
		□3 LOW LDL	
3.	Most recent CK level (in U/L)	Tox_CK	
4.	Most recent AST level (in U/L)	Tox_AST	
5.	Most recent ALT level (in U/L)	Tox_ALT	
6.	Did the patient experience fatigue? (If yes, note severity) Tox_Fatigue	□0 NO SYMPTOMS	2 MODERATE
		1 MILD	3 SEVERE
7.	Did patient report muscle aches or weakness? (If yes, note severity) Tox_Maches	□0 NO SYMPTOMS	2 MODERATE
		1 MILD	3 SEVERE
8.	Did patient report diarrhea?	□0 NO SYMPTOMS	2 MODERATE
	(If yes, note severity) Tox_Diarrhea	1 MILD	3 SEVERE
9.	Did the patient experience nausea or vomiting? (If yes, note severity)	□0 NO SYMPTOMS	2 MODERATE
		1 MILD	3 Severe
10	Did the patient report any marked changes in urine?	□0 NO SYMPTOMS	2 MODERATE
	(If yes, note severity)	1 MILD	3 SEVERE
11.	Did the patient show signs of jaundice?	□0 NO SYMPTOMS	2 MODERATE
	(If yes, note severity) Tox_jaundice	1 MILD	3 SEVERE

