GENERAL ROUTINE FORMS

ELIGIBILITY CHECKLIST

FORM # 01

INSTRUCTIONS

Complete this form at the time of patient enrollment. Enrollment is not complete until identification numbers have been assigned by the computer; therefore, it is important that the form be entered as soon as it is completed.

(Note: Enter the date the form is completed in the "Enrollment date" field; only in unavoidable circumstances, should "Enrollment date" be different than "Date entered".)

ITEM # INSTRUCTIONS/NOTES

Institution # Use the following numbers:

10 - Baylor College of Medicine

11 - University of Texas Medical School

20 - The Children's Hospital, Boston / Harvard Medical

21 - Boston City Hospital

30 - Mt. Sinai Medical Center

31 - Beth Israel Medical Center

40 - Columbia-Presbyterian Medical Center

50 = U.C.L.A. School of Medicine

51 = Children's Hospital, Los Angeles

52 = U.S.C. County Hospital

Indicate to which group the patient is being enrolled.

Group I: Infants and children (29 days - 13 years) with documented vertically transmitted HIV-infection.

Group II: Fetuses and infants (less than or equal to 28 days of age) of HIV-infected mothers.

If Group I, complete question 2 - 6 and 8 - 10. If Group II patient, complete question 10 - 13.

(Note: Refer to Table 2 of the Protocol for a complete list of inclusion and exclusion criteria.)

2a The child's date of birth must be greater than the enrollment date minus 29 days.

If the child was born prior to 4/1/85, the investigator must be able to document, with reasonable medical certainty, that the child contracted the infection from the mother through vertical transmission.

2ъ

ELIGIBILITY CHECKLIST

FORM # 01

| ITEM # | INSTRUCTIONS/NOTES |
|--------|--|
| 3a | Indicate if the child is asymptomatic or symptomatic infection. |
| 3ъ | Due to maternal antibodies, antibody testing is not a reliable means to test for infection in children 18 months of age or younger. |
| | Asymptomatic children 18 months of age or younger must have a positive culture to document HIV infection. |
| | Children 18 months or younger with <u>P2 symptoms</u> must have no less than positive antibody tests and evidence of both cellular and humoral immune deficiency. A positive culture is desirable. |
| 5 | The child must have vertically transmitted disease. If sexually abused, the child does not fit the inclusion criteria. |
| 6 | Indicate if the child has been diagnosed with a secondary cancer. The CDC categorizes children with secondary cancer as P-2E. These children are not eligible for this study. |
| 7a | Enter the type of Group II enrollment. |
| 7b | If prenatal enrollment, enter the estimated gestational age of the fetus (weeks). |
| 7c | If postnatal enrollment enter the date of birth. The date of birth must be within 28 days of the date of enrollment. |
| 8a | Indicate if documented evidence of the mother's HIV infection is available. |
| 8ъ | If documentation of infection is not available, a brief explanation must be given. In addition, the PI's justification for enrolling the child on study must also be included. |
| 9 | Informed consent must be obtained prior to enrollment. |
| 10ь | The computer will not assign more than one ID# for a participating mother. Enter the mother's ID#. |

ELIGIBILITY CHECKLIST

| NOTE: | Enrollment is not opatient number assi | complete until the eligned. This form must be FNRO | ibility information has be entered on the same of | been entered int day of completion | o the computer system |
|------------|---|--|--|---------------------------------------|------------------------|
| Enrol | lment date: _ | $-\frac{1}{(mm/dd/yy)}$ | | Form #: | 0 1 |
| Comp] | eted by: | (print name | - | CERTNO (cert. #) | <u>-</u> |
| Insti | tution #: J | <u>ist</u> no | | | |
| 1. | | up do you wish to | o enroll the pati | ent? | GROUP |
| | GROUP I: Co | omplete questions omplete questions | s 2 - 6 and 8 - s 7 - 10 | 10 | |
| | | | Group I | | BRITHBATE |
| 2. | a. Enter the | child's date of | birth (mm/dd/yy) | · · · · · · · · · · · · · · · · · · · | |
| | Complete 2b if the | child's date of birth | h is prior to 4/1/85: | | |
| | b. Has verting documents 0 = no 1 = yes | ed with reasonabl | n of HIV infectio le medical certai | n been .nty? | <u>a</u> 2B |
| 3. | infection 1 = asy | | | | JNFS4M |
| | If the child is as | symptomatic and is 18 m | months of age or younger | r, complete 3b: | W = . AA |
| | b. Has the 0 - no | hild had a posit | tive HIV <u>culture</u> ? | | HIVCUL |
| <i>l</i> . | 1 = yes | | V test (mm/dd/yy |) | DT_HJVP6S |
| 4. | | | | | |
| 5. | | | ual abuse involvi | | S <u>exa</u> buse |
| 6. | Has the child 0 = no 1 = yes | | with secondary c | ancer (P-2E)? | CLASS-E |
| | SKIP TO ITEM | 8a. | | | |

ELIGIBILITY CHECKLIST

Group II

| 7. | a. | <pre>Is this a prenatal or postnatal enrollment? 1 = prenatal 2 = postnatal</pre> | NATAL |
|------|-------|--|----------------------|
| | If p | renatal enrollment, complete 7b; If postnatal enrollment, complete 7c: | |
| | ъ. | What is the gestational age of the fetus (age in weeks)? | AGEGEST BIRTHDATE |
| | c. | Enter the newborn's date of birth. (mm/dd/yy) | |
| | | Group I and II | |
| 8. | a. | <pre>Is medical record documentation, of the mother's HIV infection available?</pre> | H <u>oth</u> stat |
| | If n | o, complete 8b: | |
| | ъ. | Please explain: FX PL AIN | |
| 9. | Has | the informed consent been obtained for this study? 0 = no 1 = yes | JAFCONS |
| 10. | a. | Does this child have a brother or a sister who has also been registered on this study? | |
| | If y | es, complete 10b: | NJWO |
| | ъ. | What is the mother's identification number? | |
| | IF A | LL ELIGIBILITY CRITERIA HAVE BEEN MET, THE SYSTEM WILL ASSIGN AN ID THE PATIENT AND MOTHER. ENTER THESE NUMBERS BELOW: | ENTIFICATION NUMBER |
| DAT | FNT | ID #: MOTHER'S ID #: (system assigned) | ONATH |
| IMI | | (system assigned) (sys | stem assigned) |
| Ente | red b | y: CERTLENT Date entered: | Dt_FHENT (mm/dd/yy) |
| | | (cert. #) | (mm/aa/yy) |

Form 01

Additional Variables added for use at the Coordinating Center

SUBGRP HIV status for **Group II** patients; Subgroups are

A HIV-infected

B HIV-uninfected

blank HIV-status unknown

DT_ASG Date assigned to subgroup

HOWDET How HIV status determined

* exception

C culture

A antibody testing

P fetal probe

INOUT Randomized

0 out

1 in

2 sibling of previously randomized in child

DT_RANDM Date randomized

CONFIRM HIV-negative status confirmed?

1 yes

IIBLOST Group IIB children lost to follow up prior to initiation of randomization

1 date lost <= date randomized

2 date lost > date randomized

3 lost, not randomized

PERINATAL HISTORY

FORM # 03

INSTRUCTIONS

This form is to be completed on Group II participants at the time of delivery. Part A pertains to the biological mother, Part B to the newborn.

| ITEM # | INSTRUCTIONS/NOTES |
|---------|---|
| 1 | Enter the number of pregnancies <u>including</u> this pregnancy. [range: 1 - 12] |
| 2 | Enter the number of births. (Note: Multiple births, such as twins and triplets, count as one. Still births are included.) [range: 1 - 12] |
| 3 | Enter the number of therapeutic abortions. [range: 0 - 9] Therapeutic abortion - induced to save the health or life of the mother. |
| 4 | Enter the number of spontaneous abortions. [range: 0 - 9] Spontaneous abortion - occurring naturally. |
| 5 | Enter the number of prenatal visits (outpatient) made to the obstetrician's office during this pregnancy. [range: 0 - 30] |
| 6 | Enter hemoglobin at delivery in grams per deciliter. [range: 5.0 - 20.0] |
| 7 | Enter the mother's pre-pregnancy weight. Record in kilograms. (lbs. ÷ 2.2 =kg) [range: 25 - 200] |
| 8 | Enter the mother's height. Record in centimeters. (inches x $2.54 = cm$) [range: $120 - 205$] |
| 9 | Enter the total weight gain of the mother during this pregnancy. Record in kilograms. (1bs $+ 2.2 = kg$) [range: 0 - 50] |
| 11 - 21 | Indicate if complications were present during the pregnancy by responding "0" (no) or "1" (yes) to <u>each</u> item. |

PERINATAL HISTORY

FORM # 03

| ITEM # | INSTRUCTIONS/NOTES |
|-----------|---|
| 22 - 32 | Indicate if the mother had taken medications during the course of her pregnancy by responding "0" (no) or "1" (yes) to <u>each</u> medication listed. |
| 33 | Enter the gestational age in weeks. This is by <u>standard</u> <u>examination</u> . [range: 20 - 45] |
| 34 | Enter the birth weight of the newborn. Record in grams. (lbs x $453.6 = gms$) [range: $300 - 6000$] |
| 35 | Enter the length of the newborn at birth. Record in centimeters. (inches $\times 2.54 = \text{cm}$) [range: 20.0 - 99.9] |
| 36 | Enter the head circumference of the newborn. Record in centimeters. (inches \times 2.54 = cm) [range: 20 - 50] |
| 37a - 37b | Enter the Apgar score at 1 and 5 minutes. [range: 1 - 10] |
| 39 | Enter the number of offspring produced with this pregnancy <u>including</u> the child. [range: 1 - 6] |
| 41-51 | Indicate if the newborn had any one of the complications listed. Respond to each item. |
| 52a | Refers to oxygen beyond the delivery room. |
| 52b | If oxygen was administered, enter the total number of days. Count any portion of a day as one day. [range: 1 - 999] |
| 52c | Enter the ${\rm FIO}_2$ maximum. This does not include oxygen administered in the delivery room. [range: 0.21 - 1.00] |
| 53b | Enter total number of days for intermittent mandatory ventilation. Count any portion of a day as one day. [range: 1 - 999] |
| 53c | Enter the peak inspiratory pressure in centimeters of H_2O pressure. [range: 0 - 70] |

PERINATAL HISTORY

FORM # 03

| ITEM # | INSTRUCTIONS/NOTES |
|-----------|---|
| 54b | Indicate the duration in days. Count any portion of a day as one day. [range: 1 - 999] |
| 56a - 56d | Indicate if the newborn was discharged on medications. Respond to each item listed with either "0" (no) or "1" (yes). |

P²C² STUDY

PERINATAL HISTORY

GROUP II MOTHERS AND GROUP II NEONATES

| | ent's ID #: | Form #: 0 3 Date Completed: | DT-FORM - (mm/aa/yy)— | |
|------|---|----------------------------------|--------------------------|--|
| Code | | 7 = Not done 8 = Date unknown | | |
| | F6 - Not applicable F | 8 = Date unknown | | |
| | PART A: OBSTETRICA | AL HISTORY | | |
| | MATERNAL HIS | TORY | GRAVIDA | |
| 1. | Gravida (number of) | | | |
| 2. | Para (number of) | | <u>PARA</u> | |
| 3. | Therapeutic Abortion (number of) | | THERABOR | |
| 4. | Spontaneous Abortion (number of) | | <u>SPA</u> BOR | |
| | INFORMATION SPECIFIC TO | THIS PREGNANCY | | |
| 5. | Prenatal Visits (number of) | | PREVISIT | |
| 6. | Hemoglobin at delivery (g/dl) | | <u>HBDEL</u> | |
| 7. | Pre-pregnancy weight (kg) | | PREWT | |
| 8. | Height (cm) | | <u>HEIGH</u> T | |
| 9. | Weight gain this pregnancy (kg) | | GAIN | |
| 10. | a. Urine testing for illicit drugs per 0 = no 1 = yes | erformed on mother | <u>UTP</u> ERFM | |
| | If yes, complete 10b and 10c: | | DT-UTH | |
| | b. Date of test | <u> </u> | _// | |
| | <pre>c. Urine test results 0 = negative 1 = positive</pre> | | <u>UT</u> RESM | |
| | If positive, complete 10d and 10e: | | | |
| | Results (respond to each): 0 - negative 1 - positive | | | |
| | d. Cocaine/Crack | | UTRESMC | |
| | e. Other (Specify: UTRES | | <u>UTR</u> ESHO | |

| Patient | #• | _ | _ |
|---------|------|---|---|
| Lacrenc | 77 . | | |

P²C² STUDY PERINATAL HISTORY

Response to items 11 - 32: 0 = no 1 = yes

| | • | IONS OF THIS PREGNANCY | DIECK |
|-----|---|-------------------------|----------------------------|
| 11. | Bleeding | | BLEED |
| 12. | Oligohydramnios | | OLIGO |
| 13. | | ional) | DIABETES |
| 14. | Preeclampsia or hypertensi | on | PREECLAM |
| 15. | | ane (> 24 hours) | RUPTHEM |
| 16. | Hospitalization for compli | cation of pregnancy | <u>HOS</u> PCOMP |
| | Specify reason(s) for hospitalizat | ion (include dates): | |
| 17. | | | <u>RPR</u> <u>Ao</u> NO |
| 18. | Gonococcus (GC) | | CHLAMY |
| 19. | Chlamydia | ••••••• | .HsV |
| 20. | |) | <u>OTH</u> GENIT |
| 21. | Other genital infections (Specify: | OTH GENSP) | OTHACKI |
| | MEDICATION | S DURING THIS PREGNANCY | . ~ TUT 1. U |
| 22. | Lithium | | LITHIUM |
| 23. | Anticoagulant | | ANTICOAG |
| 24. | Dilantin | | DILANTIN |
| 25. | | | ACCUTANE |
| 26. | | | TETRACYC |
| 27. | Trimethadione | | TRIMETH |
| 28. | | | VALPRIC |
| 29. | | | AZTHEDS |
| 30. | | | VITIRON |
| 31. | | | ANTI BIO |
| 32 | Other (Specify: | OHPREASP) | <u>OTH</u> HED PH |

P^2C^2 STUDY

PERINATAL HISTORY

PART B: NEONATAL INFORMATION/NEWBORN

| | Gestational age by standard examination (weeks) | GESTAGE |
|-----|--|-----------------------------------|
| 33. | | BIRTHWT |
| 34. | Birth Weight (gm) | LENGTH |
| 35. | Length (cm) | HEALCIR |
| 36. | Head Circumference (cm) | <u>IINI IDC</u> IN |
| 37. | Apgar Score: | A |
| | a. 1 minute | APGAR1 |
| | b. 5 minute | APGAR5 |
| 38. | Type of delivery | DEL TYPE |
| 39. | Number of fetuses | <u>FET</u> US ES |
| 40. | a. Urine testing for illicit drugs performed on newborn 0 = no 1 = yes | <u>UT</u> PERF |
| | If yes, complete 40b and 40c: | DT-UT |
| | b. Date of test | <i></i> |
| | <pre>c. Urine test results 0 = negative 1 = positive</pre> | <u>UTR</u> ESULT |
| | If positive, complete 40d and 40e: | |
| | Results (respond to each): 0 = negative 1 = positive | |
| | d. Cocaine/Crack | UTRES COC |
| | e. Other (Specify: <u>UTRESOSP</u>) | <u>UTR</u> ESOTH |
| | COMPLICATIONS | |
| | Response to questions 41 - 51: 0 = no 1 = yes | 000 |
| 41. | Respiratory distress syndrome (RDS) | <u>RSP</u> DIST SEPSIS |
| 42. | Sepsis (positive blood culture) | <u>SEP</u> SIS <u>PAT</u> DUCT |
| 43. | Patent ductus arteriosus (PDA) | PTHORAX |
| 44. | Pneumothorax / Pulmonary Interstitial Emphysema (PIE) | VENTHEM |
| 45. | Intraventricular hemorrhage (grade III or IV) | <u> </u> |

| | TD | ш. | _ | _ |
|---------|----|----|---|------|
| Patient | תד | ₩. | | |

P^2C^2 STUDY

PERINATAL HISTORY

| | | ENTERCL |
|-----|--|---|
| 46. | Necrotizing enterocolitis (NEC) | VENTHYP |
| 47. | Right ventricular hypertrophy (RVH) | NECON |
| 48. | Meconium aspiration | BRDYSPL |
| 49. | Bronchopulmonary dysplasia (BPD) | CHD BKD1212 |
| 50. | - ital boott defeats (CHD) | |
| 51. | Other (Specify: OTHCHPSP) | <u>OTH</u> CH PP h |
| | | |
| | NEWBORN RESPIRATORY MANAGEMENT | OXYADM |
| 52. | a. 0xygen | <u></u> |
| | If yes, complete 52b and 52c: | $\Delta VV \lambda i_{i}D$ |
| | b. Duration (days) | - OXY BUR |
| | c. ${\sf FIO_2}$ maximum (beyond the delivery room) | OXY FIO2 |
| 53. | <pre>a. Intermittent mandatory ventilation (IMV) 0 = no 1 = yes</pre> | JNTERVNT |
| | If yes, complete 53b - 53c: | VNTDUR |
| | b. Give duration (days) | |
| | c. Peak inspiratory pressure (PIP) maximum (cm H_2O) | PKPBESS |
| 54. | a. Continuous positive airway pressure (CPAP) 0 = no 1 = yes | <u>Posa</u> IR |
| | If yes, complete 54b: | PADAYS |
| | b. Give duration (days) | <u> </u> |
| | DISCHARGE | DT-DCHG |
| 55. | Date of discharge (mm/dd/yy) — — | <i></i> |
| 56. | Discharge medications (respond to each): 0 = no 1 = yes | DISOXY |
| | a. Oxygen | |
| | b. Bronchodilators | <u>DISBRON</u> |
| | c. Diuretics | DISDIUR |
| | d. Other (Specify: $DISOTHSP$) | DISOTH |
| | | ======================================= |
| | Oco = 1/0 Pata entered: | D.T. FMENT |

Entered by: (ERT- NO)

Date entered: $\frac{1}{(mm/dd/yy)}$

PATIENT MEDICAL HISTORY (GROUP I PATIENTS)

FORM # 04

INSTRUCTIONS

Complete this form for Group I patients during the enrollment period. This form is completed one time only. The information collected on this form must be supported by the patient's medical record.

| ITEM # | INSTRUCTIONS/NOTES |
|-------------|---|
| 2 | If the biological parents are not of the same race, enter the patient's race as "9" (other) and specify in the space provided. These groupings are per the CDC. |
| 3 | Enter the gestational age by best obstetric estimation (in weeks). [range: 20 - 45] Gestational age is estimated by the following: 1. Last menstrual period 2. Early ultrasound examination 3. Serial physical examination |
| 4 | Enter the birth weight of the patient in grams. (lbs x $453.6 = grams$) [range: $300 - 6000$] |
| 6 | This number will $include$ the patient and all other living or dead offspring from the pregnancy. [range: 0 - 6] |
| 7a & 7b | These items refer to respiratory management during the postnatal hospital confinement of the child. |
| 10a | This <u>excludes</u> the confinement of the child immediately following birth. |
| 10c | If response to $10a$ is yes, specify the <u>main</u> reason for each hospitalization in the space provided. Use only the spaces which are appropriate. [40 characters] |
| 11,12,13,14 | At least one of the tests listed must be entered with a positive response in order for this patient to participate in this study. |
| 17 | If the patient is asymptomatic, enter the subclass. |
| 18a - 18e | If the patient is symptomatic, enter the P2 symptoms and the date of diagnosis for each. |

PATIENT MEDICAL HISTORY (GROUP I PATIENTS)

| Patie | JDNO ent's ID #: | Form #: 0 4 | 7 .7 5004 |
|--------|---|--------------------|-------------|
| | | Date Completed: | DT-FORM |
| Comp 1 | (print name) | _ Date completes. | |
| Code | missing data items as follows: | | |
| | F5 - Unknown | F7 = Not done | |
| | F6 = Not applicable | F8 = Date unknown | |
| | RESPONSES TO THE FOLLOWING SHOULD MEDICAL RECORD. | BE SUPPORTED BY TH | E PATIENT'S |
| 1. | Patient's sex | | Ser1 |
| | 2 - female | | |
| 2. | Patient's race | | RACE1 |
| | 1 - White Non-Hispanic | | |
| | 2 = Black Non-Hispanic 3 = Hispanic | | |
| | 4 = Asian/Pacific Islander | | |
| | 5 = American Indian/Alaskan 1 | Native | |
| | 9 - Other (Specify: | RACE1SP) | |
| | POSTNATAL | PERIOD | |
| | | | GESTAGE 1 |
| 3. | Gestational age (by best obstetric | estimation [WKS]) | |
| 4. | Birth weight (gm) | | BIRTHWT1 |
| 4. | | | DELTYPE1 |
| 5. | Type of delivery | | |
| | 1 = vaginal; vertex | | |
| | <pre>2 = vaginal; breech 3 = cesarean section</pre> | | |
| | 3 = Cesalean Section | | FETUSESI. |
| 6. | Number of fetuses | | <u> </u> |
| 7. | Respiratory management during init | ial confinement: | |
| | a lituturamad | | OXYADM1 |
| | a. Oxygen administered | | |
| | 1 - yes | | |
| | • | | RESPIRAT |
| | b. On respirator | | |
| | 0 = no | | |
| | 1 - yes | | |

| Patient | # - | - | | |
|---------|-----|---|--|--|
| racteme | ··· | | | |

PATIENT MEDICAL HISTORY (GROUP I PATIENTS)

| 8. | Dis | charge medications (respond to each): 0 = no | |
|-----|-----|--|-------------------|
| | | 1 - yes | DISOXY1 |
| | a. | Oxygen | DISBRONL |
| | Ъ. | Bronchodilators | DISDIUR1 |
| | c. | Diuretics | |
| | d. | Others (Specify: DISISP) | DISOTH 1 |
| 9. | | eplications during the postnatal period (respond to th): 0 = no | |
| | | 1 = yes | RSPDIST1 |
| | a. | Respiratory distress syndrome (RDS) | SEPSIS1 |
| | b. | Sepsis (positive blood culture) | PAT DUCT 1 |
| | c. | Patent ductus arteriosus (PDA) | PTHORAX 1 |
| | d. | Pneumothorax / Pulmonary Interstitial Emphysema (PIE) | |
| | e. | Intraventricular hemorrhage (grade III or IV) | <u>VEN</u> THE H1 |
| | f. | Necrotizing enterocolitis (NEC) | ENT ERCL 1 |
| | g. | Right ventricular hypertrophy (RVH) | V <u>EN</u> THYP1 |
| | h. | Meconium aspiration | MECON1 |
| | i. | Bronchopulmonary dysplasia (BPD) | BRDYSPL1 |
| | | Congenital heart defects (CHD) | <u>CHS</u> 1 |
| | J• | A-71 A A 7 C A | OTH CHPM F |
| | k. | Other (Specify: OIHCPISP) | |
| | | PAST HOSPITALIZATIONS | |
| 10. | a. | <pre>Has the child been hospitalized in the past? 0 = no 1 = yes</pre> | P <u>AST</u> HOSP |

If yes, complete 10b and 10c:

| Patient # | #: - | | _ | | | |
|--------------|------|--|---|--|--|--|
| I de l'Olive | ·· • | | | | | |
| | | | | | | |

PATIENT MEDICAL HISTORY (GROUP I PATIENTS)

| | Contract | | Tx HOSP |
|----|-----------------------------------|---------------------|---------------------------------------|
| b. | How many times? | | <u> </u> |
| c. | Indicate the main reason for each | ch hospitalization: | |
| | First Hospitalization: | NAR HOSPI | · · · · · · · · · · · · · · · · · · · |
| | Second Hospitalization: | NAR HOSP 2 | |
| | Third Hospitalization: | NAR HOSP3 | |
| | Fourth Hospitalization: | NAR HOSP4 | |

HIV AND OTHER TESTING

Items 11 - 14: Indicate if the test was performed (first column). If the response is "1" (yes), enter the date of the test and the test result.

| | (yes), enter the date of | the test and the test res | ult. | |
|-----|---------------------------------------|--|-------------------------|---|
| | | Test Performed 0 = no 1 = yes | Date of Test (mm/dd/yy) | Results 0 = negative 1 = positive 3 = equivocal |
| 11. | Western Blot | WBPERF | DT_WBI | ω 81 |
| 12. | ELISA performed | <u>EL</u> ISA1 | | ELIL |
| 13. | HIV culture | <u>HI</u> VCULT | | HIVI |
| 14. | p24 antigen test | <u>P24</u> AT | DTP241 | <u>P241</u> |
| 15. | EBV testing | EBVDONE | /_ EBVT | EBURESUL |
| | | SYMPTOM | <u>s</u> | |
| 16. | Enter the CDC cla 1 = P1 2 = P2 | ss AT THE TIME OF E | NROLLMENT | <u>CDC</u> SYMP |
| | IF P1, COMPLETE I | TEM 17; IF P2, COMP | LETE ITEM 18. | 0.46.0 |
| 17. | 2 = B (abno: | lassal immune function) rmal immune function ne function not tes | n) ted) | <u>PI</u> SUB |

| Patient #: - | |
|--------------|--|
|--------------|--|

PATIENT MEDICAL HISTORY (GROUP I PATIENTS)

| P2 SYN | <u>IPTOMS</u> |
|---|---|
| More than two months persistence of two or more unexplained: 01 = Fever 02 = Failure to thrive or > 10% weight loss 03 = Hepatomegaly 04 = Splenomegaly 05 = Lymphadenopathy (≥ 0.5 cm in two or more sites) 06 = Parotitis 07 = Diarrhea (with three or more loose stools daily persistently or recurrently) Progressive neurologic disease 11 = Loss of developmental milestones or intellectual ability 12 = Impaired brain growth (acquired microcephaly and/or brain atrophy on scan) 13 = Progressive symmetric motor deficits (with two or more of paresis, abnormal tone, pathologic reflexes, ataxia, or gait disturbance) Lymphoid interstitial pneumonitis 21 = LIP (see CDC criteria) | 40 = CMV infection (onset after 1 month of age); 41 = Coccidioidomycosis (extrapulmonary or disseminated) 42 = Nocardiosis 43 = Progressive multifocal leukoencephalopathy 44 = Herpes simplex virus (chronic, mucocutaneous or disseminated; onset after 1 month of age) Recurrent serious bacterial infections Two or more within 2 years: 51 = Sepsis 52 = Meningitis 53 = Pneumonia 54 = Abscess of internal organ 55 = Bone or joint infection Other infections 61 = Oral candidiasis (persisting two months) 62 = Herpes stomatitis (two or more episodes of) 63 = Herpes zoster (multidermitomal or disseminated) Other diseases possibly caused by HIV infection 71 = Hepatitis 72 = Arrythmia |
| Secondary infectious diseases 31 = PCP 32 = Chronic cryptosporidiosis 33 = Disseminated toxoplasmosis (onset after 1 month of age) 34 = Extraintestinal strongyloidiasis 35 = Chronic isosporiasis 36 = Candidiasis (esophageal, bronchial, or pulmonary) 37 = Extrapulmonary cryptococcoses 38 = Disseminated histoplasmosis 39 = Mycobacterial infection (noncutaneous, extrapulmonary or disseminated) | 73 = Cardiomyopathy 74 = Nephropathy 75 = Anemia 76 = Thrombocytopenia 77 = Eczema 78 = Seborrhea 79 = Molluscum contagiosum 99 = Other (Specify: PASYMS P |
| a. Primary b. Secondary c. Secondary d. Secondary e. Secondary | P2 Code Date of Diagnosis P2SYM1 |
| Entered by: CERT-NO (cert. #) | Date entered: |

NOTIFICATION OF BIRTH

FORM # 05

INSTRUCTIONS

This form is to be completed at the time of birth for Group II patients enrolled prenatally, and at the time of enrollment for Group II patients enrolled postnatally.

ITEM # INSTRUCTIONS/NOTES

3 These groupings are per the CDC.

NOTIFICATION OF BIRTH

GROUP II PRENATAL AND POSTNATAL PATIENTS

| | ent's ID #: | <u></u> | Form #: 0 5 Date: |
|-------|-------------|--------------------------------|----------------------|
| COMPI | leted by. | (print name) | (mm/dd/yy) |
| | Datient! a | date of birth (mm/dd/yy) | DT_BIRTH |
| 1. | | | . CF X |
| 2. | | sex | |
| | _ | male | |
| | 2 - | female | 2405 |
| | | | RACE |
| 3. | Patient's | race/ethnicity | |
| | 1 - | White Non-Hispanic | |
| | 2 - | Black Non-Hispanic | |
| | 3 - | Hispanic | |
| | 4 - | Asian/Pacific Islander | |
| | 5 = | American Indian/Alaskan Native | |
| | 9 = | Other (Specify: RACESP |) |
| | | | |

| | DT-FMEN | 7 |
|-------------------------------|---------------------------|---|
| Entered by: CERT_NO (cert. #) | Date entered://(mm/dd/yy) | _ |

Form # 05.00 Rev. 06/01/91

POSTMORTEM STUDIES: PART I

FORM # 06

INSTRUCTIONS

This is an "as needed" form and is to be completed by the pathologist along with Part II and III.

ITEM # INSTRUCTIONS/NOTES 4a-4k Enter the organ weight for each organ listed. Indicate if the organ is fresh or formalin fixed. An entry must be made in each field shown. If a weight was not taken, enter "F7" for not done. 5 - 9 Cardiovascular measurements are to be recorded in centimeters.

LKIDTY

RADRENTY

LADRENTY

BRAINTY

THYMUS TY

P²C² HIV

POSTMORTEM STUDIES: PART I ORGAN WEIGHT AND CARDIOVASCULAR MEASUREMENTS

GROUPS I AND II PATIENTS IDNO Form #: Patient's ID #: ____ Date Completed: Completed by: ____ (print name) Code missing data items as follows: F6 - Not applicable F7 - Not done F5 = Unknown Date of autopsy (mm/dd/yy) 1. Body weight (kg) 2. BOLG TH Body length (cm) 3. Organ weight (gm): 4. Weight <u>Type</u> 1 = fresh (grams) 2 = formalin fixed HTWT Heart a. RLUNGWT RLUNGTY b. Right lung LLUNGTY Left lung LIVERTY LIVERWT Liver d. SPEENTY SPLEENWT Spleen RKIDTY RKIDWT

LKIDWT

BRAINWT

RADRENWT

LADRENWT

THYMUSWT

Right kidney

h. Right adrenal gland

i. Left adrenal gland

g. Left kidney

Brain

Thymus

j.

k.

| Patient | #: | _ | _ |
|---------|----|---|---|
| TOTOTO | | | |

Form # 06.00 Rev. 12/01/92

P^2C^2 HIV

POSTMORTEM STUDIES: PART I ORGAN WEIGHT AND CARDIOVASCULAR MEASUREMENTS

HEART

| 5. | Valve circumferences (cm): a. Tricuspid (TV) b. Pulmonary (PV) c. Mitral (MV) d. Aortic (AV) | VALVETRI VALVEPUL VALVE MIT VALVEART |
|----|--|---|
| 6. | Ventricular wall thickness (cm): | |
| | A: Inflow tract (RVI) | RVINWT RVOUTWT RVHAXWT LVINWT LVMAXWT |
| 7. | Chamber dimension (cm): Right ventricle - a. Inflow tract (RVIT) | RVJNCD RVOUTCD LVJNCD LVOUTCD |
| | | |

| Patient | #: | - | _ | |
|---------|-----|---|------|---|
| | ••• | | | _ |

POSTMORTEM STUDIES: PART I ORGAN WEIGHT AND CARDIOVASCULAR MEASUREMENTS

| 8. | Ext | ernal cardiac dimensions: (cm) | .4 |
|----|----------|---|------------------|
| | а. | Maximal "breadth" | MAXBRTH |
| | а. b. | Maximal "a-p" | MAXAP |
| | c. | Base-apex length | BASEAP |
| | d. | Ascending aorta (AA) | $\frac{PMAA}{2}$ |
| | e. | Main pulmonary artery (MPA) | PMM PA |
| | f. | Thoracic aorta (TA) | PM T.A |
| | g. | Ductus arteriosus external (DA - E) | PHOAE |
| | h. | Ductus arteriosus internal (DA - I) | _PM. DAI |
| 9. | Ves | sel diameter measurements (cm): | |
| | a. | Ascending aorta (AA) | VDAA. |
| | b. | Main pulmonary artery (MPA) | VOMPA |
| | c. | Thoracic aorta (TA) | VOTA |
| | d. | Internal diameter ductus arteriosus (PDA-I) | VOPDAI |
| | е. | External diameter ductus arteriosus (PDA-E) | VDP.DAE |

| Entered by: | CERT- NO | Date entered: | $\frac{DT - FMEN7}{(mm/dd/yy)}$ |
|-------------|----------|---------------|---------------------------------|

Form # 06.00 Rev. 12/01/92

POSTMORTEM STUDIES: PART II

FORM # 07

INSTRUCTIONS

This is an "as needed" form and is to be completed, along with Part I and III, by the pathologist when an autopsy is performed.

ITEM # INSTRUCTIONS/NOTES

3a - 3f If organisms are identified in the heart, record the specifics in 3b - 3f. Use as many lines as needed. All items in a line must be entered. For example, if an organism is written in the narrative field, it must also be coded in SNOMED, the site must be identified and the method must be recorded.

Complete each line of information <u>as needed</u> and enter "F6" in the first field immediately following the last entry. "F6" designates that entry is complete.

ORGANISM NARRATIVE:

Write out the organism identified in the biopsy.

ORGANISM CODE:

Code the organism using the four digit SNOMED Etiology codes (codes with "E" prefix). The prefix has already been provided on the form. Enter the four digit code number.

If the appropriate code cannot be found in the SNOMED Indices, enter E-0001.

SITE CODE:

Use the codes listed on the form to record the site.

METHOD IDENTIFIED:

Use the codes listed on the form to record the method in which the organism was identified. If other diagnostic methods were used, enter "9" (other) and specify in the field provided.

POSTMORTEM STUDIES: PART II

FORM # 07

ITEM # INSTRUCTIONS/NOTES

3b - 3e Example: Mycobacterium found in endocardium by culture.

| | Organism (narrative) | Code (SNOMED) | Site Code (See above) | Method Identified (See above) |
|----|-------------------------|-------------------|--------------------------|-------------------------------|
| b. | Mycobacterium | E- <u>2 0 0 0</u> | 2 | _1_ |
| • | F6 | E- | | |

4a - 4f
5a - 5f
needed. When documenting histologic changes of epicardial vessels
(item 4b - 4f) or vessels outside of the heart (5b - 5f),
"additional site codes" must be used to further identify the site.
When documenting inflammation, fibrosis or degeneration, "additional abnormality codes" must be used to further identify the pathological changes.

Complete each line of information $\underline{as\ needed}$ and enter "F6" in the first field immediately following the last line of entry.

- Examples: a. Moderate inflammation of the endocardium with neutrophils and eosinophils
 - b. Severe myocardial fibrosis, diffuse
 - Moderate necrosis of the left epicardial vessel (intima, media and adventitia)

The above would be entered in the following manner:

| <u>Site</u> | Abnormality | Degree | * Additional Site Code | Abno | Additions rmality (| Code |
|----------------------|-------------|--------|---------------------------|------|------------------------|-----------|
| a. <u>0</u> <u>1</u> | 1 | 2 | | 3 | 4 | <u>F6</u> |
| b. <u>0</u> <u>3</u> | _3_ | 3 | | 1 2 | <u>F6</u> | <u>F6</u> |
| c. <u>2</u> <u>1</u> | _8_ | 2 | _6_ | | | |
| d. <u>F6</u> | | _ | | | | |

POSTMORTEM STUDIES: PART II CARDIOVASCULAR ABNORMALITIES

GROUPS I AND II PATIENTS

| | ent's ID #: Form #: $\begin{bmatrix} 0.7 \\ 0.7 \end{bmatrix}$ leted by: (print name) | DT_ FORM |
|------|---|-------------|
| Code | missing data items as follows: | |
| | F5 = Unknown $F6 = Not applicable$ $F7 =$ | Not done |
| 1. | a. Coronary anatomy 0 = normal 1 = abnormal | . CANATOMY |
| | If abnormal, complete 1b: b. Describe abnormality: | |
| 2. | <pre>a. Structural malformations 0 = no 1 = yes</pre> | STHALFOR |
| | If yes, complete 2b: b. Describe: STMALMEM | |
| | | _ |

E- HTORGS HTSITES HTMETHS

f. HTNARRS

| Patient | #• | _ | |
|---------|----|---|--|
| Tattent | • | | |

POSTMORTEM STUDIES: PART II CARDIOVASCULAR ABNORMALITIES

4. a. Histologic abnormalities identified in the heart?

PHHTABN

0 = no 1 = yes

If yes, complete 4b - 4f as needed:

| Site codes | Abnormality codes | Degree codes |
|--|-------------------|------------------------------------|
| 1 = Endocardium 2 = Epicardium 3 = Myocardium 4 = Tricuspid valve 5 = Pulmonary semilunar valve 6 = Mitral valve 7 = Aortic valve EPICARDIAL VESSELS 21 = Left * 22 = Right * 23 = Anterior Descending 24 = Posterior Descending 25 = Circumflex * | 8 = Necrosis | 1 = slight 2 = moderate 3 = severe |

| * Additional Site Codes | ** Additional Abnormality Codes | | | |
|--|---|---|---|--|
| An additional code is required for epicardial vessels. | Additional codes are 1 (Space is provided if | required for the following more than one code is app | ; abnormalities. blicable.) | |
| Specify: 1 = Intima 2 = Media 3 = Adventitia 4 = Intima & media 5 = Media & adventitia 6 = Intima, media & adventitia | Inflammation (cell types) 1 = cell not specified 2 = lymphocytes 3 = neutrophils 4 = eosinophils 5 = macrophages 6 = plasma cells | Fibrosis 11 = focal interstitial 12 = diffuse 13 = focal replacement | Degeneration 21 = vacuolar 22 = granular 23 = myocytolysis | |

| <u>Site</u> | <u>Abnormality</u> | <u>Degree</u> | * Additional Site Code | ** Additional <pre>Abnormality Codes</pre> | |
|-------------------|--------------------|---------------|---------------------------|--|----|
| b. HSITE I | HABNI | HDEGREET | HEPSITEI | HINFLAMI HFIBROI HDEGEN | 1 |
| c. HSITE2 | HABNZ | HDEGREE 2 | HEPSITEL | HINFLAH2 HFIBRO2 HEEGENZ | _ |
| a. HSITE 3 | HABN3 | HDEGREE3 | HEPSITE3 | HINFLAH3 HEIBRO3 HAEGEN | 3 |
| e. <i>HSITE</i> 4 | HABNY | HNEGREEY | HEPSITEY | HINFLANY HEIBROY HOEGEN | 4 |
| f. HSITES | HABNS | HDEGREES | | HINFLANG HEIBROS HEEGEN | /5 |

| Patient | #• | _ | _ | - | |
|---------|----|---|------|---|----------|
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POSTMORTEM STUDIES: PART II CARDIOVASCULAR ABNORMALITIES

5. a. Histologic abnormalities identified in cardiovascular system?

HACV

0 = no 1 = yes

If yes, complete 5b - 5f as needed:

| Site codes | Abnormality codes | Degree codes |
|---|--|--|
| 1 = Right renal artery 2 = Left renal artery 3 = Suprarenal artery 4 = Superior messenteric artery 5 = Inferior messenteric artery 6 = Proximal left carotid artery 7 = Carotid a. at circle of wills 8 = Middle cerebral artery 9 = Other (Specify | 1 = Inflammation ** 2 = Thrombosis 3 = Fibrosis ** 4 = Elastosis 5 = Hypertrophy 6 = Atrophy 7 = Degeneration ** 8 = Necrosis 9 = Interstitial hypercellularity (non-inflammatory) | 1 = slight 2 = moderate 3 = severe |

| Additional Site Codes | ** Additional Abnormality Codes | | |
|--|--|---|---|
| An additional code is required for <u>all</u> vessels. | Additional codes are 1 (Space is provided if | required for the following more than one code is app | abnormalities. licable.) |
| Specify: 1 = Intima 2 = Media 3 = Adventitia 4 = Intima & media 5 = Media & adventitia 6 = Intima, media & adventitia | <pre>Inflammation (cell types) 1 = cell not specified 2 = lymphocytes 3 = neutrophils 4 = eosinophils 5 = macrophages 6 = plasma cells</pre> | Fibrosis 11 = focal interstitial 12 = diffuse 13 = focal replacement | Degeneration 21 = vacuolar 22 = granular 23 = myocytolysis |

| <u>Site</u> | Abnormality | <u>Degree</u> | Additional <u>Site Code</u> | | Additiona ormality (| |
|-------------|-------------|---------------|--------------------------------|-------------------|-------------------------|---------------------|
| b. CVSITE1 | CVABNI | CVDEGI | CVASITEL | CVARBN11 | CVAABNIZ | CVAABN13 |
| c. CVSITEL | CVABNZ | CVDEGL | CVASITEL | CYAABN21 | <u>CVAABN</u> ZZ | CVAABN23 |
| d. CVSITE3 | CVABN 3 | CVDEG3 | CVASITE3 | CVAABN31 | CVAABN32 | CVAABN33 |
| e. CUSITEY | CYABNY | CVDEGY | CVASITEY | <u>CVAABN41</u> | <u>CVAAB</u> N42 | _CVAA BN43 |
| f. CUSITES | CVABNS | CVBEGS | CVASITES | C <u>VAAB</u> NS1 | <u>LYAA</u> BWS. | 2_ <u>CVAAB</u> N53 |
| | | | | | | |
| Entered by: | cert. #) | | Date e | ntered: _ | / (mm/dd/ | / |

Form # 07.00 Rev. 12/01/92

POSTMORTEM STUDIES: PART III

FORM # 08

INSTRUCTIONS

This is an "as needed" form and is to be completed, along with Part I and II, by the pathologist when an autopsy is performed.

ITEM # INSTRUCTIONS/NOTES

- 7a 7b If a non-diagnostic abnormality is found, it is to be described here. The details of the abnormality will be documented in items 8a 8e.
- 8a 8e If the response to 7a was "1" (yes), complete 8a 8e as needed.

Complete each line of information as needed and enter "F6" in the first field immediately following the last line of entry.

- Examples: a. Severe vascular thrombosis
 - b. Moderate focal interstitial parenchymal fibrosis

| | <u>Site</u> | Abnormality | Degree | * Additional Codes |
|----|-------------|-------------|--------|----------------------|
| a. | 2 | 2_ | 3 | |
| b. | _1_ | _3_ | _2_ | <u>1 1 _ F6 _ F6</u> |
| c. | <u>F6</u> | | | |

9a - 9f If an infectious disease is identified, complete 9b - 9f as needed. 11a - 11f (If identified by special cultures complete 11b - 11f as needed.)

Complete each line of information as needed and enter "F6" in the first field immediately following the last line of entry.

POSTMORTEM STUDIES: PART III

FORM # 08

ITEM # INSTRUCTIONS/NOTES

9a - 9f 11a - 11f

(continued)

ORGANISM NARRATIVE:

Each organism is to be written in the space provided.

ORGANISM CODE:

Use the Etiology codes found in the SNOMED code book. The prefix is provided; enter the SNOMED code number.

If the appropriate code cannot be found in the SNOMED Indices, enter "E-0001".

METHOD IDENTIFIED (Item 9b - 9f):

Use the method codes listed. If "9" (other) is used, specify the method in the space provided.

SITE CODE (Item 11b - 11f):

Use the site codes provided on the form. If "9" (other) is used, specify the site in the space provided.

Example: Organisms isolated -

- a. Mycobacterium avium
- b. Respiratory syncytial virus
- c. Pneumocystis carinii.

| | Organism (narrative) | Organism Code (SNOMED) | Method |
|----|-----------------------------|--|--------|
| b. | Mycobacterium avium | E- <u>2</u> <u>0</u> <u>0</u> <u>0</u> | _1_ |
| c. | Respiratory syncytial virus | E- <u>3 3 7 0</u> | _1_ |
| d. | Pneumocystis carinii | E- <u>4 3 3 1</u> | _1_ |
| Θ. | F6 | E | |

12 - 14 Document the immediate and contributing cause of death. If only one contributing cause is entered, complete item 13 and enter "F6" in the narrative of item 14. If there were no contributing causes, enter "F6" in the narrative field in item 13 (skip item 14).

Code the cause of death using SNOMED codes. The topography should be coded when applicable. If the code for topography cannot be found in the SNOMED indices, enter "T-00001". If the topography is not applicable, enter "T-00002".

POSTMORTEM STUDIES: PART III FORM # 08

| TTEM | # | INSTRUCTIONS/NOTES |
|-----------|---|--------------------|
| I I I'I'I | # | INDIRUCTIONS/NOTES |

12 - 14 (continued)

Code morphology, function, disease, and etiology as applicable. Coding must be as complete as possible. Use as many fields as needed to accurately code the cause of death. If the SNOMED code for the cause of death cannot be found in the SNOMED Indices, enter "D-00001". If only one or two fields are needed to code the cause of death, enter "D-00002" (not applicable) for fields not needed.

Example: Immediate cause of death - Septicemia
Contributing cause of death - Streptococcus pneumonia

| 12. | Narrative: | Septicemia | _ |
|---------------------|----------------|---|------------------|
| <u>T</u> -0 | <u>x 0 0 0</u> | F - 0 1 1 2 0 D - 0 0 0 0 2 D - 0 0 0 0 2 | (not applicable) |
| 13. | Narrative: | Streptococcus pneumonia | |
| <u>T</u> - <u>2</u> | 8 0 0 0 | <u>M - 4 0 0 0 0</u> | |
| | | <u>E - 2 5 0 0 </u> | |
| | | <u>D-0 0 0 0 2</u> | (not applicable) |
| 14. | Narrative: F6 | | |
| <u>T</u> | | | |
| | | | |
| | | <u></u> | |

POSTMORTEM STUDIES: PART III LUNG STUDIES AND FINAL IMPRESSION

GROUPS I AND II PATIENTS

| | ent's ID #: | Form #: 0 8 Date Completed: | DT_ FORM // | |
|-------|--|------------------------------|-------------------|----------------|
| Code | missing data items as follows: | | | |
| | F5 = Unknown F6 = Not applie | cable F7 = Not | done | |
| ····· | LUNG | | | |
| 1. | Volume: | | RLUNG VOL | |
| | a. Right lung (ml) b. Left lung (ml) | | LLUNG VOL | |
| 2. | a. Structural Malformations0 = no1 = yes | | LUNGM. | AL |
| | If yes, complete 2b: b. Describe: | MALZ | | |
| 3. | Pneumothorax | | PN <u>EU</u> MO P | ³ M |
| 4. | Interstitial emphysema | | JEMPH — | Y |

Diffuse alveolar damage

Chronic passive congestion and/or edema

Other (Specify: PMNONO2)

PMALV

PMCHRON

PMNONOTH

е.

g.

| Patie | P ² C ² HIV | Page 3 of 6 |
|-------|--|-------------|
| | POSTMORTEM STUDIES: PART III LUNG STUDIES AND FINAL IMPRESSION | LGNON BO |
| 7. | a. Non-diagnostic abnormalities found0 = no1 = yes | LONON DO |
| | If no, skip to question 9. If yes complete 7b and item 8. | |
| | b. Describe: LG NONDG2 | |
| 8. | Assessment of non-diagnostic abnormalities - Complete 8a to 8e as needed to document pathologic changes present: | |

| Site codes | Abnormality codes | Degree codes |
|--|---|--|
| 1 = Farenchyma 2 = Vascular 3 = Pleura | 1 = Inflammation * 2 = Thrombosis 3 = Fibrosis * 4 = Hemorrhage 5 = Necrosis 6 = Desquamative change 7 = Degeneration * 8 = Deposition of calcium | 1 = slight 2 = moderate 3 = severe |

* Additional codes are required for the following abnormalities (Space is provided if more than one code is applicable.): Degeneration **Fibrosis** Inflammation (cell types) 11 = focal interstitial 12 = diffuse 21 = vacuolar 1 = cell not specified 22 = granular 2 = lymphocytes 23 = myocytolysis 13 = focal replacement 3 = neutrophils 4 = eosinophils 5 = macrophages 6 = plasma cells

| | Site Al | onormality | <u>Degree</u> | * <u>Additional Codes</u> |
|----|---------|---------------|-------------------|---------------------------|
| a. | LSITE1 | LABN1 | LDEGREET | LINFLAHI LFIBROI LDEGENI |
| | LSITE 2 | LABN2 | LDEGREEL | LINFLAM2 LEIBROZ LDEGENZ |
| c. | LSITE 3 | LABN3 | L <u>DEG</u> REE3 | |
| đ. | LSITEY | <u>LABN</u> 4 | LDEGREEY | LINFLAMY LFIBROY LDEGENY |
| e. | LSITE5 | L <u>ABN</u> | LDEBEES | LINFLAMS LEIBROS LDEGENS |

| Patient | #• | _ | _ | |
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| Patient | π. | | | |

P^2C^2 HIV

POSTMORTEM STUDIES: PART III LUNG STUDIES AND FINAL IMPRESSION

| 11. | a. Other special cultures perform 0 = no 1 = yes | cmed: | OSC |
|-----|---|--------------------------------------|---------------------------------------|
| | If yes, complete 11b - 11f as no | eeded | |
| | Site of Culture Code 1 = nasopharyngeal swab for viral culture for bacteria, myco 3 = spleen for viral culture 9 = other (Specify: | ulture obacteria, fungus, and virus) | |
| | <u>Organism</u> (narrative) | Organism Code (SNOMED) | <u>Site Code</u> (See above codes) |
| ъ. | OSCNAR1 | E- OSCORGI | OSCSIT1 |
| c. | OSC NAR2 | E- OSLORG2 | <u>ose</u> sIT2 |
| d. | OSCNAR3 | E- OSCOR63 | OSCSIT3 |
| е. | OSC NARY | E- OSCORGY | OSCSIT4 |
| f. | OSC NAR 5 | E- OSCORG5 | OSCIT5 |
| | | | |

| Patient | #• | | _ |
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P²C² HIV

POSTMORTEM STUDIES: PART III LUNG STUDIES AND FINAL IMPRESSION

FINAL IMPRESSION

Code cause of death using SNOMED codes. Topography should always be coded when appropriate and entered in the field indicated with the "T" prefix. Code morphology, function, disease and etiology as applicable. Use as many fields as needed to thoroughly code the cause of death.

| | cause of death. | PHCAUSE1 | |
|-------|----------------------------------|----------------------------|------------------------|
| 12. | Immediate cause of death - | (narrative) | |
| | I - PHTOPY _ | PMMPHI | |
| | | PMDISI | |
| | | <u>PHE TIOI</u> | |
| 13. | Contributing cause of deat | th - PHLAUSE2 (narrative) | |
| | T- PHTOP2_ | PHMPHZ_ | |
| | | PMDISZ_ | |
| | | PMETIOZ | |
| 14. | Contributing cause of dear | th - PMCAUSE 3 (narrative) | |
| | T - PHTOP3_ | <u> </u> | |
| | | PMDIS3_ | |
| | | PMETIO3 | |
| | | | |
| | | | |
| Enter | ced by: <u>CERT-NO</u> (cert. #) | Date entered: | T- FHENT (mm/dd/yy) |

P²C² STUDY

CDC P2 SYMPTOM STATUS (GROUP I AND IIa PATIENTS)

FORM # 09

INSTRUCTIONS

Complete this form for Group I and Group IIa patients at 6 month intervals. The information should be supported by the patient's medical record.

Routine Schedule:

Group I and IIa - Complete this form at month 6, 12, 18, 24, 30, 36, 42, 48, 54, 60, 66, 72 and 78.

ITEM

INSTRUCTIONS/NOTES

Code and enter the P2 symptoms for diagnoses that have occurred in the patient since the form was last completed. Once a symptom has been entered, it is not necessary to repeat the entry on subsequent forms, even if the condition is present during the interval.

(See page 2 of these instructions for a list of P2 symptoms. Refer to Appendix 6 of this Forms Manual for the CDC 1994 Revised Classification System for HIV Infection in Children.)

P2 SYMPTOM CODES

Nonspecific findings

- 01 =Fever, persistent (lasting for > 1 month)
- 02 = Failure to thrive
- 03 = Hepatomegaly
- 04 = Splenomegaly
- 05 = Lymphadenopathy (≥ 0.5 cm in two or more sites)
- 06 = Parotitis
- 07 = Diarrhea (with three or more loose stools daily persistently or recurrently)
- * 08 = Recurrent or persistent URI, sinusitis, or otitis media
- * 09 = Dermatitis

Wasting Syndrome

** 10 = Wasting Syndrome (see CDC 1994 classification system)

Progressive neurologic disease (AIDS Encephalopathy)

- 11 = Loss of developmental milestones or intellectual ability
- 12 = Impaired brain growth (acquired microcephaly and/or brain atrophy on scan)
- 13 = Progressive symmetric motor deficits (with two or more of paresis, abnormal tone, pathologic reflexes, ataxia, or gait disturbance)

Lymphoid interstitial pneumonitis

21 = LIP / PLH

Secondary infectious diseases

- 31 = PCP
- 32 = Chronic cryptosporidiosis (with diarrhea persistent for > 1 month)
- 33 = Toxoplasmosis (onset <u>before</u> 1 month of age; [see code 47 for toxoplasmosis of the brain])
- 34 = Extraintestinal strongyloidiasis
- 35 = Chronic isosporiasis (with diarrhea persistent for > 1 month)
- 36 = Candidiasis (esophageal, tracheal, bronchial, or pulmonary)
- 37 = Extrapulmonary cryptococcoses
- 38 = Disseminated histoplasmosis (at site other than or in addition to the lungs or cervical/hilar lymph nodes)
- 39 = Mycobacterial infection (noncutaneous, extrapulmonary or disseminated)
- 40 = CMV infection in an organ other than liver, spleen or lymph nodes (onset after 1 month of age)
- 41 = Coccidioidomycosis (at a site other than or in addition to lungs or cervical or hilar lymph nodes)
- 42 = Nocardiosis
- 43 = Progressive multifocal leukoencephalopathy
- 44 = Herpes simplex virus causing a mucocutaneous ulcer

that persists for > 1 month; or HSV bronchitis, pneumonitis, or esophagitis for any duration affecting a child > 1 months of age

- * 45 = Varicella, disseminated (complicated chicken pox)
- * 46 = Salmonella (nontyphoid) septicemia, recurrent
- * 47 = Toxoplasmosis of the brain (onset <u>after</u> 1 month of age)

Recurrent serious bacterial infections

Two or more within 2 years:

- 51 = Bacterial sepsis
- 52 = Bacterial meningitis
- 53 = Bacterial pneumonia
- 54 = Bacterial abscess of an internal organ
- 55 = Bone or joint bacterial infection

Other infections

- 61 = Oral candidiasis (persisting two months in a child > 6 months of age)
- 62 = Herpes stomatitis (two or more episodes)
- 63 = Herpes zoster (multidermitomal/ disseminated; or two distinct episodes)

Secondary Cancers

- * 65 = Kaposi's sarcoma
- * 66 = Lymphoma, primary, in brain
- * 67 = Lymphoma, small, noncleaved cell (Burkitt's), or immunoblastic or large cell lymphoma of B-cell or unknown immunologic phenotype
- * 68 = Leiomyosarcoma

Other diseases possibly caused by HIV infection

- 71 = Hepatitis
- 72 = Arrythmia
- 73 = Cardiomyopathy
- 74 = Nephropathy
- 75 = Anemia
- 76 = Thrombocytopenia
- 77 = Eczema
- 78 = Seborrhea
- 79 = Molluscum contagiosum
- * 80 = Neutropenia
- 99 = Other
- * New codes added (02/03/96 form revision)
- ** New code added (08/26/96)

P^2C^2 STUDY

CDC P2 SYMPTOM STATUS

(GROUP I and IIa PATIENTS)

| | · | Form #: | 0 9 | DT_FORM |
|---|--|--|--------------------------------|---|
| Completed by:(print na | ame) | Date Comp | | /// |
| Visit: MonthVasMTH | | | | |
| Code missing data items as fo | ollows: F6 = Not a | applicable l | F8 = Date | unknown |
| | P2 SYMPTON | M CODES | | |
| Nonspecific findings 01 = Fever, persistent (lasting for > 1 month) 02 = Failure to thrive 03 = Hepatomegaly 04 = Splenomegaly 05 = Lymphadenopathy (> 0.5 cm in two or more sites) 06 = Parotitis 07 = Diarrhea (with three or more loose stools daily persistently or recurrently) * 08 = Recurrent or persistent URI, simusitis, or otitis media * 09 = Dermatitis Wasting Syndrome ***10 = Wasting syndrome (see CDC 1994 classification system) Progressive neurologic disease (AIDS Encephalopathy) 11 = Loss of developmental milestones or intellectual ability 12 = Impaired brain growth (acquired microcephaly and/or brain strophy on scan) 13 = Progressive symmetric motor deficits (with two or more of paresis, abnormal tone, pathologic reflexes, staxia, or gait disturbance) Lymphoid interstitial pneumonitis 21 = LIP / PLH Secondary infectious disease 31 = PCP 32 = Chronic cryptosporidiosis (with diarrhea persistent for > 1 month) | 33 = Toxoplasmosis (onset befor [see code 47 for toxoplasmos] 34 = Extraintestinal strongyloidis 35 = Chronic isosporiasis (with 2 1 month) 36 = Candidiasis (esophageal, transpulmonary) 37 = Extrapulmonary cryptococci 38 = Disseminated histoplasmosis addition to the lungs or certain infection (or extrapulmonary or dissemin 40 = CMV infection in an organ lymph nodes (onset after 1 to 1 = Coccidioidomycosis (at a sit lungs or cervical or hilar ly 42 = Nocardiosis 43 = Progressive multifocal leuk 44 = Herpes simplex virus causin persists for > 1 month; or Hor or esophagitis for any durat > 1 months of age 45 = Varicella, disseminated (or 46 = Salmonella (nontyphoid) s 47 = Toxoplasmosis of the brain recurrent serious bacterial infection Two or more within 2 years: 51 = Bacterial sepsis 52 = Bacterial meningitis 53 = Bacterial abscess of an interest of the serial pneumonia 54 = Bacterial abscess of an interest of the serial presumonia 55 = Bone or joint bacterial infection interest of the serial pneumonia inference in the serial inference in the serial pneumonia in the serial | sis of the brain] sis of the brain] sis of the brain] sis of the brain] scheel, bronchial, or coses s (at site other than or in rvical/hilar lymph nodes) meutaneous, ated) to other than liver, spleen or month of age) se other than or in addition to mph nodes) coencephalopathy g a mucocutaneous ulcer that ISV bronchitis, pneumonitis, ion affecting a child complicated chicken pox) epticomia, recurrent (onset after 1 month of age) ms | Secondary C: | candidiasis (persisting two months in a child > 6 s of age) ss of age) ss stomatitis (two or more episodes) ss zoster (multidermitomal/ sinated; or two distinct episodes) ssi's sarcoma phoma, primary, in brain phoma, small, noncleaved cell (Burkitt's), or noblastic or large cell lymphoma of B-cell smown immunologic phenotype myosarcoma ss possibly caused by HIV infection titis themia iomyopathy ropathy nia mbocytopenia ms ms refrice uscum contagiosum tropenia |
| Enter the P2 symptom codes for repeat those symptoms previous record. Use as many fields as | ly entered. (Responses t | red since this form o the following sho | was last comp uld be suppor | pleted. It is not necessary to tred by the patient's medical |
| P2 Code Date | of Diagnosis | <u>P2</u> | Code | Date of Diagnosis |
| a. COCP21 | DT-P2DI | e | CDCP25 | / |
| b. Сосргг | DT-P2.D2 | f. C | DCP24 | DT-P2DG |
| 400033 | DT-P2 D3 | g. <u>(1</u> | DCP27 | |
| d. <u>CACPA</u> 4 | DT- P2 D4 | · · | 1C P28 | DT-P258 |
| Entered by:CERT-NO | | Date e | entered: _ | DT-FMENT // |

MORTALITY REVIEW FOR PATIENT AND FETUS

FORM # 10

INSTRUCTIONS

This is an "as needed" form and must be completed if the patient/fetus expires during the study period. The form <u>must</u> be completed by the physician directly involved in the care of the patient (Exception: SNOMED coding in item 7 and 8 should be completed by the Nurse Coordinator.) If an autopsy was performed, the primary care physician should consult with the pathologist.

ITEM # INSTRUCTIONS/NOTES

6a - 6d If the response to any of these items is yes, a copy of the certificate/report/summary must be sent to the CCC. Be sure to remove the patient name, and include the study ID number. Send to:

 P^2C^2HIV Clinical Coordinating Center The Cleveland Clinic, Desk P-19 9500 Euclid Avenue

9500 Euclid Avenue Cleveland OH 44195

- A brief narrative summary from the attending physician relating to the events surrounding the patient's demise, is required. This summary should be sent along with the documents itemized in 6a-6d.
- 7a If the response is **yes**, the corresponding investigative report must be sent to the CCC. Be sure to remove the patient name, and include the study ID number.

10 Wasting -

- 1) persistent weight loss > 10% of baseline, or
- downward crossing of at least two of the following percentile lines on the weight-for-AGE chart (ie. 95th, 75th, 50th, 25th, 5th) in a child ≥ 1 year of age, or
- 3) < 5th percentile on a weight-for-HEIGHT chart on two consecutive measurements, ≥ 30 days apart PLUS
 - a) chronic diarrhea (i.e. at least two loose stools per day for ≥ 30 days), or
 - b) documented fever (for ≥ 30 days, intermittent or constant)
- 11 <u>Failure to thrive</u> Drop from normal growth curves on the standard height and weight charts, and below the 5th percentile.

MORTALITY REVIEW FOR PATIENT AND FETUS FORM # 10

16 - 17 DEATH INFORMATION

Main Cause of Death (only one) - Enter the main cause of death. Only one condition can be the main cause.

The main cause of death is defined as "the single final disease, injury or complication directly causing the death." [Taken from the 1989 U.S. Standard Certificate of Death] In most circumstances, the main cause should be more specific than the mode of dying (i.e. cardio-pulmonary arrest should not be designated as the immediate cause of death).

<u>Contributing Cause</u> - Record the contributing causes of death (all that apply.) If there were no contributing causes of death, enter "F2" (not apply) in the narrative of the first line in this section.

The contributing cause of death are "The conditions, if any, leading to the immediate cause of death". [Taken from the 1989 U.S. Standard Certificate of Death]

<u>Conditions present but not contributing</u> - Record the conditions present but not contributing to death (all that apply.) If there were no other conditions present, enter "F2" (not apply) in the narrative of the first line in this section.

CAUSE NARRATIVE:

The condition should be written in the space provided.

CAUSE CODE:

Code the condition using the codes provided on the form. If cause of death was due to infection, code the etiology in SNOMED; if due to other, code the site and diagnosis in SNOMED; if due to drug use, enter the SNOMED drug code under etiology.

SITE CODE (SNOMED) :

Code the site codes using SNOMED topography codes. If the code for topography cannot be found in the SNOMED indices, enter "T-00001". If the topography code is not applicable, enter "T-00002".

DIAGNOSIS CODE (SNOMED):

Code the diagnosis. Use the SNOMED Function (prefix F), Disease (prefix D) or Morphology (prefix M) codes. The prefix must precede the code number. Refer to the alphabetic index, Volume II, for the complete listing of diagnoses.

Some <u>disease</u> code numbers are only four digits in length. Use as many spaces as needed. Begin the entry from the far left and enter a zero for the last digit.

MORTALITY REVIEW FOR PATIENT AND FETUS FORM # 10

| ITEM # | INSTRUCTIONS/NOTES |
|--------|--------------------|
|--------|--------------------|

16 - 17 cont.

Example: Wasting syndrome, D-4690

<u>D</u> - <u>4</u> <u>6</u> <u>9</u> <u>0</u> <u>0</u>

If the cause cannot be found in the SNOMED Indices, enter "D-00001".

ETIOLOGY:

For <u>infectious diseases</u>, <u>death due to illicit drug use and trauma</u>, enter the etiology code. The prefix has been provided. If the code cannot be found in the SNOMED Indices, enter "E-0001".

(NOTE: SNOMED Coding should be completed by the Nurse Coordinator.)

CODING EXAMPLE

Example - Main cause of death: Pneumocystis carinii pneumonia Contributing cause of death: Wasting syndrome

| a. Main cause of death:<u>Narrative</u> | Cause <u>Code</u> | Site <u>Code</u> | Diagnosis <u>Code</u> | Etiology <u>Code</u> |
|--|------------------------|---------------------------------|--------------------------------------|-------------------------------------|
| | (See Above) | (SNOMED) | (SNOMED) | (SNOMED) |
| Pneumocystis carinii pneumonia | 1 9 _ | T-2 8 0 0 0 | <u>D-7 5 0 7 1</u> | <u>E-4 3 3 1</u> |
| b. Contributing Causes: | Cause Code (See Above) | Site <u>Code</u> (SNOMED) | Diagnosis <u>Code</u> (SNOMED) | Etiology <u>Code</u> (SNOMED) |
| Wasting syndrome | 6 6 | T-0 0 0 0 2 | <u>D-4 6 9 0 0</u> | <u>E</u> |
| F2 | | <u> </u> | | <u>E</u> |

P^2C^2 HIV

Mortality Review

| Form #: 1 0 | , |
|--|-----------------------|
| DT- FORM Mother's ID #: Date Completed:/ | 1 |
| Patient ID #: Completed by:(print name) | |
| Code no/yes questions as follows: $0 = no$ 1 = yes | |
| Code missing data items as follows: F5 = Unknown F6 = Not applicable | |
| D,T_ D.E,A | TH |
| | DCDEATH |
| <pre>1 = hospital 2 = home</pre> | |
| 3 = hospice 9 = other (Specify: | |
| 3. Was the patient DNR? | <u>DNR</u> 9U TO P |
| 4. Was an autopsy performed? | |
| 5. a. Was the patient hospitalized at the time of death. | HOSPATD DM |
| If yes, enter date of admission | |
| 6. Is the following information available? (send copy of each to the CCC b | c): EATHCRT |
| a. Death certificate | INAUTOP |
| b. Autopsy Report | |
| | <u>IOSP</u> DIS |
| preceding death (not including stay at time of death) | 40SPDISP |
| e. Attending physician's summary of events surrounding patient's demise (REQUIRED) | APSUMMRY |

Mortality Review

| | Mortality Review | 1.0-1150 |
|-------|---|--------------------------|
| 7. | a. Was a coroner's investigation performed? | CORINVES |
| | If yes, complete 7b and 7c: | |
| | b. Name and address of investigation body: | |
| | NAMEADAR | 4 |
| | c. Are those findings available now? (Send copy to CCC) | <u>AVA</u> ILABL |
| 8. | Did the patient have MAI? | MR-HAI |
| | If yes, how was it diagnosed? MR_MAISP | |
| 9. | Did the patient have encephalopathy? | MR-ENCEPH |
| | <pre>1 = yes, HIV encephalopathy 2 = yes, other encephalopathy</pre> | |
| | • | MR-WASTE |
| 10. | Did the patient have wasting? | MR-WASTE MR-THRIVE |
| 11. | Did the patient have failure to thrive? | MR-CLD |
| 12. | Did the patient have chronic lung disease? | MR-CLDC |
| | If yes, did it contribute to death? | MR-CCD |
| 13. | Did the patient have chronic cardiac disease? | |
| | If yes, did it contribute to death? | MR-CCDC |
| 14. | a. Was death in utero? | <u>BE</u> ATHUTR |
| | If yes, complete 14b - 14d. |) - 1 - 1 / O E A |
| | b. Is delivery record available? | DELIVREC |
| | c. Is placenta report available? | PLACREP 1 = 1 = 1 = 1 |
| | d. Gestational age in weeks? | GESTATIO RELHIV |
| 15. | Was death related to HIV infection? | BET HTA |
| IF DE | EATH IN UTERO, COMPLETE ITEM 16 EATH NOT IN UTERO, COMPLETE ITEM 17. | |

E- IUETI10

E- JUETIII

- IUDIAIO

IUDIA 11

P²C² HIV

Mortality Review

16. <u>Death in utero</u> - Code the main cause of death (only one), contributing causes of death (all that apply), and conditions present at death (all that apply). If cause due to infection, code the etiology; If due to other, code the site and diagnosis; If due to illicit drug use, code the drug under etiology.

Cause Codes Fetal Growth Retardation 40 = Fetal growth retardation Infection (Include SNOMED site, diagnosis and etiology codes with this category): Maternal Disease 10 = Chorioammionitis 50 = Illicit drug use (Code drug under 11 = Funisitis etiology) 12 = Villitis 51 = Diabetes 13 = Fetal infection with hydrops 59 = Other (Include SNOMED Site and diagnosis code) Malformation / Genetic disease: Other: 20 = Malformation / genetic disease 99 = Other (Include SNOMED Site and diagnosis code) Abnormality of Uteroplacental Circulation: 30 = Abruption / retoplacental hematoma 31 = Abnormal placental size Main cause of death: Etiology Diagnosis Site Cause Code Code Code Code Narrative (SNOMED) (SNOMED) (SNOMED) (See Above) JUETII IUDIHI IUS ITEI JUNARI Iucaus1 Contributing Causes: Etiology Diagnosis Site Cause <u>Code</u> Code Code Code Narrative (SNOMED) (SNOMED) (SNOMED) (See Above) E- JUETI2 JUSITEL IU NARZ IUCAUSZI-E- IUETI3 LUCAUS3 I - JUSITE3 IUNAR3 E- IUETIY JUCAUSY I - JUSITEY IUNAR4 IUETI5 IUCAUSS I - IUSITES IUETI4 JUCAUSG I - JUSITEG IU NARL Conditions present but not contributing: Etiology Diagnosis Site Cause <u>Cođe</u> Code Code Code Narrative (SNOMED) (SNOMED) (SNOMED) (See Above) E- IUFTI7 IL DIA7 JUCAUS 7 = _ JUSITE 7 ILUNAR7 E- ILETI8 IUDIA8 JUCAUS 8 T - JUSITES IUNAR ? E- JUETI 9 JUSITE 9 IU DIA Iu CAUS9 I -

JUSITEIO

IUPAUSIO I -

JUCAUSII I - JUSITE !!

ILL NAR10

IU. NARII

Mortality Review

| 17. | Death Not in Utero death (all that app to infection, code the etic code the site, diagnosis a | pry), and condi | | th (only one), contrib at death (all that app her, code the site and diagnosis drug under etiology. | uting causes of ly). If cause due ; If traumatic death, | | |
|------|--|---|--------------------------------------|---|---|--|--|
| | Cause Codes Infection (Include SNOMED etiology codes with this 15 = Bacterial 16 = Viral 17 = Fungal 18 = Sepsis (type | category): | s and | Trauma (Include SNOMED site, diagnosis and etiology | | | |
| | Organ Failure (Include SNG codes with this category) 60 = Cardiac 61 = Pulmonary 62 = Renal 63 = Neurologic 64 = Hepatic 65 = Multiple org 66 = Wasting 69 = Other |): | sis Other: | 80 = Complications of prematu 90 = Adverse drug reaction (S drug using SNOMED eti 99 = Other (Include SNOMED si diagnosis codes). | pecify the ology codes) | | |
| a. | Main cause of deat | h: Cause <u>Code</u> (See Above) | Site <u>Code</u> (SNOMED) | Diagnosis Code (SNOMED) | Etiology Code (SNOMED) | | |
| | NUNARI | NUCAUSI I - | _ NUSITE1 | <u>NUDIAI</u> _ | E-NUETII | | |
| ъ. | Contributing Cause <u>Narrative</u> | cs: Cause Code (See Above) | Site <u>Code</u> (SNOMED) | Diagnosis <u>Code</u> (SNOMED) | Etiology <u>Code</u> (SNOMED) | | |
| | NUNARZ | NUCAUSZ I - | NUSITEZ | NUSIAZ | E-NUETIZ | | |
| | NUNAR3 | | NUSITE3 | <u>NUDIA3</u> | E- NUETI3 E- NUETIY | | |
| | NUNARY | | NUSITEY | <u>Nu bi a 4</u> <u>Nu bi a 5</u> | E-NUETIS | | |
| | NUNARS | | NUSITES | <u>/\u b + // 5</u> <u>NubIA6</u> | E- NUETIG | | |
| | NUNARG | _ N <u>UCAU</u> SG I | _ NUSITEL | <u></u> | E | | |
| с. | Conditions present | but not contr Cause Code (See Above) | ibuting: Site Code (SNOMED) | Diagnosis <u>Code</u> (SNOMED) | Etiology Code (SNOMED) | | |
| | NU NAB7 | <u>Nucaus7 = -</u> | NUSITE 2 | <u>NUDIA7</u> | E-NUETI7 | | |
| | NUNAR 8 | NYCAUS8 = - | NUSITE8 | <u>NubIA8</u> | E-NUFTI8 | | |
| | NUNAR9 | <u> Nucaus9 = -</u> | NUSITE9 | NUDIA 9_ | E-NUETI9 | | |
| | NUNARIO NUNARII | | <u>NUSITE 10</u> <u>NUSITE 11</u> | <i>NUBIAIO</i> <u>_NUBIAI</u> | E- <u>NUETI</u> N E- <u>NUETIN</u> | | |
| Ente | ered by: | | | Date entered:/ (mm/dd/yy | | | |