AS NEEDED FORMS

P²C² STUDY

Clinical Research Nurse Survey

FORM # 85

On 3/25/96 , this survey was mailed directly to each Nurse Coordinator on the P^2C^2 study. The following instructions were included:

INSTRUCTIONS

- You are asked to complete the enclosed survey and return it by Friday, April 5, 1996 to the Nurse Coordinator at your designated clinical center where the survey data will be entered.
- 2. Enter your CCC assigned certification number on the form. Do not include your name.
- 3. When responding to the survey questions, consider your past and current activities within the P^2C^2 study.
- 4. If you have any questions about the survey as you are completing it, contact Diane Carp or Gloria Xanthos at Mount Sinai School of Medicine by E-mail or phone at (212) 241-1849.

	Form #: 8 5	
Enter	your Cert. number: NUR-CERT Date Completed: DT-FOR (Cert. # of individual completing this survey) (mm/dd/yy)	Y
Pleas	e respond to all yes/no questions using the following codes: 0 = no 1 = yes	
	<u>Demographics</u>	
1.		INST-NO
2.	How long have you been working on the P^2C^2 Study?	HOWLONG,
3.	2 = 1 - 2 years 3 = 3 - 4 years 4 = ≥ 5 years, but not before 09/01/90 5 = Since the commencement of the study a. Was the P ² C ² Study your first job in research?	FIRSTJOB
	 If no, response to 3b. b. How many years of research experience did you have prior to the P²C² Study	YASEX PP
4.	3 = 3 - 4 years $4 = \geq 5$ years How may years of nursing experience do you have since graduation from your basic nursing program (include years working on the P^2C^2 Study)	YRSEXPG

Form #	85.00	03/25/96

1 - Nurse Clinician 2 = Nurse Practitioner

3 = Staff Nurse

4 - LPN

	P ² C ² Clinical Research Nurse Survey	Page 3 of 11
8.	Document degrees held (four spaces provided): 1 - BSN	DEGREE I DEGREE 2
	2 = MSN/MS 3 = MA 4 = BA	DEGREE3
	5 = PHD 6 = Associates	<u>Beg</u> reey
	7 - Nurse Diploma 9 - Other (specify:)	A
9.	Do you have a certification for HIV counselling?	. <u>Coun</u> SEL
10.	a. During your employment with P^2C^2 , have you pursued furth education?	er FURTHED
	If yes, describe: Fur THSP	
11.	a. Did you have a written job description of your P^2C^2 posiwhen you started with P^2C^2 ?	writtenb ——
	If yes, complete 11b:	015040
	b. Do you think the job description was adequate?	. ABEQUD
	If no, please explain: ALEQUOSP	_
12.	Have you developed a job description since joining P^2C^2 ?	BEV BESCR
	If yes, explain briefly why you developed it:	
	DEVDESSP	
13.	At your center, to whom do your directly report? 1 = Principal Investigator 2 = Nurse Coordinator 3 = Cardiology department 4 = Pulmonary department 5 = Nursing department 6 = I.D. department	R <u>EPO</u> R T
	7 = Pediatric department 9 = Other (specify:	

		P ² C ² Clinical Research Nurse Survey	Page 5 of 11
19.	Do yo	ou carry a "beeper" at work?	BEFFER
20.	Are y	ou responsible for being on call for P ² C ² patients de of work hours?	
21.	Are y	you ever required to work overtime for P^2C^2 ?	OVERTIME
	* P2C	* * * * * * * * * * * * * * * * * * *	*
22.	Have	you ever performed the following activities?	
	Respo	ond to questions 22a through 22nn using the codes provided to a never to a sometimes to a large the codes provided to a never to a n	
	a.	Screen and interview prospective study employees	
	b.	Orient new study staff members	
	c.	Evaluate study staff members performances	
	d.	Supervise staff	
	e.	Organize regular meeting with PI and or subsite	
	f.	Inservice education	
	g.	Recruit study participants	. ACTRECRU
	h.	Enroll study patients	
	i.	Involved in retention of cohort	
	j.	Develop patient education materials	
	k.	Educate families regarding HIV/AIDS	ALTEDUFM
	1.	Educate clients regarding study protocols, procedures and treatment plans	
	m.	Act as resource person for families and physicians	ACTRESOR
	n.	Retrieve patient test results	
	ο.	Refer clients to other care areas when necessary	ACTREFER
	р.	Follow up on hospitalized patients	
	q.	Schedule appointment for study visits	<u>ACT</u> SCHED

22.	cor Resp o	ntinued ond to questions 22a through 22nn by using the following codes 0 = never 1 = sometimes 2 = often 3 - always	:
	r.	Schedule appointments for non-study visits	<u>ACTSCH</u> VS
	s.	Arrange patient transportation to study visits	<u>ACT T</u> RANS
	t.	Escort patients to various hospital departments	<u>ACT</u> ESCOR
	u.	Provide emotional support and caring to enrolled families	ACTEMOTI
	v.	Approach families for autopsy	ACT AUTOP
	w.	Coordinate autopsy procedures	<u>ACTC</u> ORALL
	x.	Attend funeral/memorial services of deceased clients	<u>ACT</u> FUNER
	у.	Solicit donations to give to clients	<u>ACT</u> BONA
	z.	Travel to other hospitals to collect data/perform tests	<u>ACT</u> DATA T
	aa.	Travel to other sites for P ² C ² meetings	<u>ACT</u> MEETT
	bb.	Obtain medical records for review	ACTHRREV
	cc.	Participate nursing conference calls with the CCC	<u>ACT</u> CALLS
	dd.	Conduct reviews required by CCC	ACTREVIE
	ee.	Prepare an annual report	ACT RE POR
	ff.	Manage budgets	ACT BUDG
	gg.	Perform general clerical duties (i.e. xeroxing, filing)	ACT BUTY
	hh.	Data entry	<u>ACT</u> DATAE
	ii.	Data editing	ACTEDIT
	jj.	Investigate data discrepancies	ACT DATAD
	kk.	Complete study forms	<u>ACT</u> COMPL
	11.	Respond to queries and various requests via e-mail	<u>ACT</u> EHAIL
	mm.	Run consistency checks	ACTONCH
	nn.	Review study forms completed by other departments	ACTREVFM

nn. Review study forms completed by other departments

23. Have you performed or assisted with the following procedures. Indicate frequency. If there are other study related activities that you feel are important to identify, list under other (items 23r - 23u).

Parformed (Assisted Frequency)

1mpo	rtant to identify, list under or	Performed/Assisted	Frequency 1 = Sometimes
		0 = No 1 = Perform 2 = Assist	2 = Often 3 = Always
a.	Obtain lab specimens	PALAB	PALABF
	Venipuncture	PAVEN	PAVENF
ъ.	-	PAPHYS	PAPHYSF
c.	Physical assessment	PAEKG	PAEKGF
d.	EKG		PAHOLTRF
e.	Holter Monitor application	<u>PAH</u> OLT R	
f.	PFT	<u>PA P</u> FT	PAPFTF
g.	Echocardiogram	<u>PAEC</u> HO	PARCHOF
h.	Spirometry	<u>PASP</u> IRO	PASPJROF
i.	Chest x-ray	PACXR	PACXRF
	·	PACTSEN	PACTSONF
j.	CT scan	PABRON	PABRONF
k.	Bronchoscopy	 -	
1.	Lung biopsy	PALUNG	PALUNGF
m.	DHST administration	<u>PA DH</u> S T	PADHSTF
n.	DHST readings	<u>PA DH</u> STR	PADHSTRF
ο.	Immunizations	<u>PAJ</u> HMU	P <u>AI</u> HHUF
p.	Administration of patient seda	tion PAADHIN	PAADHINF
q.	Monitoring of sedated patient	PASED	PASED F
r.	Other (specify: PAOTH 1SA) <u>PAO</u> TH1	PAOTH1F
s.	Other (specify: PADTH25		<u>PAO</u> 7142 F
t.	Other (specify: PACTH351		<u>PAO</u> TH3F
u.	Other (specify: PACTH4SA		PAOTH4F

24. Have you interacted with the following departments? (Respond to each using the codes listed below.)

0 = never 2 = often1 = sometimes 3 = always

	, Jours 2 1117 1	TIPPAC
a.	Pediatric pulmonary	JNPEAS
ъ.	Pediatric cardiology	INCARD
с.	Radiology	INRAD
d.	Nuclear Medicine	INNUCH
e.	Pediatric Infectious disease	ININTOIS
f.	ACTG	INACTG
g.	WITS	INWITS
h.	WIC	INUIC
i.	ER	INER
j.	OR	INOR
k.	PICU	INPICK
1.	Inpatient	ININPT
-,		INOUTPT
m.	Outpatient	INHEDREC
n.	Medical records	
ο.	Laboratory	INTUB
p.	Pathology	INPATH
q.	Clinical Research Center (CRC)	INCLIN
r.	Dietary	INDIET
s.	Social service	INSOCIAL
t.	Pharmacy	JNPHAR
	Transport services	INTRANS
u.		INHIV
v.	Adult HIV/AIDS services	
w.	Biomedical engineering	INBIO
х.	Print shop	INPRINT
у.	Other (specify:	INOTH
у.		

25.	Did you interact with the following departments in earlier phases of the study? 0 = never 1 = sometimes 2 = often 3 = always	
	a. Prenatal clinic	INEPRE
	b. NICU	INENJCH
	c. Newborn nursery	JNENEWI
	d. Labor and delivery	INELABOR
	e. Nuclear medicine	INENUC
	f. Other (specify: $\frac{JNEO7HSP}{}$)	INEOTH
26.	Indicate off-site agencies that you have interacted with while on the P^2C^2 Study.	
	a. Nonparticipant hospitals	INOHOSP
	b. Home care agencies	INO HOME
	c. Foster care agencies	INO FOST
	d. Preschool/school centers	INO PRES
	e. Day care agencies	INO DAYC
	f. Satellite clinic	INOSATT
	on Other (specify: $INOOTHSP$)	INDOTH

27.	Indicate the number of times you have participated in the following:
	a. Annual Nurse Coordinator Workshop <u>WORKS #OP</u>
	b. Steering Committee Meeting (excluding the joint session with the Steering Committee at the Annual Nurse Coordinator Workshop)
28.	Have you participated in the following activities? 0 = no 1 = yes
	a. Study subcommittee meeting(s) (Cardiac, ID, Publications etc.) SLMEET
	(If yes, identify subcommittee(s):
	b. Study subcommittee conference call (s)
	(If yes, identify subcommittee(s):
29.	Outside of the regularly scheduled meetings and conference calls, have you had <u>direct</u> contact with the NIH or its representatives to discuss P^2C^2 related matters?
	If yes, please describe below:
	NIHCONSP

If yes, describe and ex Include soliciting of personal anecdotes etc.	xplain below. (Please cons patient incentives, organ)	ider this carefully ization of parties
	OTHACTSP	

Entered by: (cert. #)

FEEDING INTERVENTION

FORM # 86

INSTRUCTIONS

This form is to be completed for all Group I and II patients. Document the <u>first</u> <u>episode</u> of feeding intervention, which occured at any time since the patient's enrollment, and lasted for more than <u>two months</u>. (We will allow a window of three months prior to enrollment.)

Patient records must be reviewed, and data entered by April 15, 1997.

(NOTE: If the review was not completed prior to the deadline, a missing form must be entered.)

P²C² STUDY FEEDING INTERVENTIONS

GROUPS I AND II PATIENTS

	ent's ID #:	Form #: Date Comp	8 6	T- FORM (mm/dd/yy)
Code	missing data items as follows:	F5 = Unknown	F8 =	Date unknown
1.	Has the patient had feeding inte of more than two months at anyti the P^2C^2 study, administered for months?	me while enrolled a period of more	than two	
2.	Indicate the feeding intervention for a period of more than two modes of the seriod of	onths?	•••••	e F <u>ITY</u> PA DT-FISTR
3.	Start date of intervention		· · · · · · ·	_// FISTRWT
4.	Starting weight (kg)			FISTRHT
5.	Starting height (cm)			
6.	Stop date of intervention			
7.	Ending weight (kg)		• • • • • • •	FISTPWT
8.	Ending height (cm)			FISTPHT ————
9.	Comments:		FICOMM	
Ent	ered by: (CERT-NO) (cert. #)	Date e	entered:	DT- FMENT (mm/dd/yy)

Form # 86.01 03/20/97

Now Variables added 2/17/99

P²C² STUDY

PRIMARY CARETAKER EXIT INTERVIEW

FORM #87

INSTRUCTIONS

Data for Form 87 is to be obtained directly from the caretakers of Group I, IIa and IIb children currently enrolled in the P^2C^2 study. This form is to be completed by the interviewer in the presence of the primary caretaker or by telephone contact with the primary caretaker. For the purpose of this exit interview the "primary caretaker" is defined as the person living with and assuming the daily care for the child who is the participant in the P^2C^2 study. Data collected for this form is limited to information about the child and caretaker pertaining only to the period of time that the child has been followed in the P^2C^2 study. A Spanish speaking translator is required for caretakers who speak only Spanish. To control for the caretaker's inability or difficulty with reading, the interviewer will state each question and record each response. The Interview Introduction will be read to the caretaker at the beginning of the interview. It should be individualized for each child. This exit interview is to be completed between December 1, 1996 and January 31, 1997.

ITEM # INSTRUCTIONS/NOTES

(PART I)

- 1 19 Explain to the caretaker that in the first part of the interview you will ask questions that have choices for answers. The caretakers should select from the choices given. Repeat questions and choices as needed to obtain the caretaker's response.
- Examples of assisitive devices include: wheelchairs, braces, hearing aides, supplemental oxygen, feeding tubes, gastric buttons, etc. Please note devices on the form.

(PART II)

Prior to proceeding through Part II read through the list of choices that are available for items 20 a-u (choices 0 through 3). Proceed with the interview by reading each item to the caretaker to elicit a response. It may be necessary to re-state the response choices when reading each item. An index card containing the response choices may be used to help with the responses in the in-person interviews. For phone interviews you may need to read the response choices with each item. If caretakers have difficulty rating the services, you can probe for their rating by asking, "If you had to pick one of the choices, which do you think comes closest to what you think about the service?"

P²C² STUDY

PRIMARY CARETAKER EXIT INTERVIEW

FORM # 87

ITEM # INSTRUCTIONS/NOTES

(PART III)

Explain that you will ask each question for the purpose of eliciting a response. Inform the caretakers that you will be recording their responses and that there are no "right or wrong" answers. Allow sufficient time for the caretaker to reflect on the questions. Repeat the questions as needed. Interviewer silence and probing may help to elicit responses. Such probes as "How is that?", "In what ways?", or "Is there anything else?" can be used. Record only direct verbal responses.

P^2C^2 HIV

PRIMARY CARETAKER EXIT INTERVIEW

GROUPS I AND II PRIMARY CARETAKERS*

TO BE COMPLETED BY THE INTERVIEWER

IDNO	_	Form #: 8 7
Patient ID #:	- — INT-CE	ERT Date: DT- FORM
Interviewer:(print name		
	Form Instructions	
* Primary Caretaker - the personal child. It should be the personal study visits.	on living with and assuon who has usually bro	uming the daily care for the ought the child for the P ² C ²
Code missing data items as fo	11ows: F5 = Unkn F6 = Not	nown applicable
	Part I	
1. What is your relationsh followed in the P ² C ² Stu 1 = biological pa 2 = biological gr 3 = non-kinship f 4 = kinship foste 5 = adoptive pare 6 = relative (spe 9 = other (specif	idy? Trent Tandparent Toster parent Er parent	RELAT) ERSP)
2. What is your age?		$1/4.0.0 \pm .0$
<pre>3. What is your gender? . 1 = male 2 = female</pre>		YOUR GEN
	spanic spanic c Islander	CTHERRAC)

Patient's	TD	#•	_		
racienc s	ID	<i></i>		 $ P^2C^2$	HIV

PRIMARY CARETAKER EXIT INTERVIEW

	IRIVANI CAMBILLE	
5.	Use the following codes to respond to items 5a - 5c: 1 = English 2 = Spanish 9 = other (specify: LANGSPEA)	
	a. What language do you usually speakb. What language is usually spoken in the child's home?c. What language does the child usually speak?	L <u>ANG</u> HOHE L <u>ANG</u> CHLD L <u>ANG</u> OTH
6.	How would you describe your health status?	YO <u>URH</u> LTH
7.	How long have you had primary responsibility for the care of this child? (years/months) [use decimal to enter a portion of a year if needed] PRIMEYRS (years)	PRI HEMTH
8.	 a. Are you responsible for the care of other children or adults? 0 = no 1 = yes 	<u>CAR</u> EOTH
	If "1" (yes), complete items 8b - 8e (include the child on study in the count):	
	b. Number of children less than 3 years of age?	NUMLESS 3
	c. Number of children 3 to 5 years of age?	<u>NUM3</u> 705
	d. Number of children 6 to 18 years of age?	NUM 6 TO 18
	e. Number of adults?	<u>NUMA</u> BULT

Patio	ent's ID #:	e 3 of 9
1401	P^2C^2 HIV	
	PRIMARY CARETAKER EXIT INTERVIEW	
9.	 a. At any point during the time the child has been in your care (see item 7) were you employed? 0 = no 1 = yes 	E <u>M P</u> LOYED
	If "1" (yes), complete 9b - 9c:	
	b. Number of years part-time	EMPLOYPT
	c. Number of years full-time	EMPLOYFT
10.	Which of the following income sources are used to support this child?: 0 = no 1 = yes	
	a. Caretaker's employment	INCOME CA
	b. Other household resident's employment	INCOHE HR
	c. Welfare	INCOMWL
	d. SSI	INCOMESS
	e. Other	INCOMECT
	(Specify:)	
11.	What is your highest level of education?	EDULEVEL

Patie	nt's ID #:	Page 4 of 9
12.	Have you been actively involved in an educational training program or formal academic program during the period this child was in your care	EDUTRAIN
13.	Use the following codes for items 13a and 13b: 1 = walk 2 = bus 5 = taxi 3 = subway/train 6 = personal car a. What method of transportation do you usually use to take this child to P ² C ² study appointments? b. What method of transportation do you usually use to take this child to health care appointments other than for the P ² C ² Study?	<u>TRA</u> NSUSU T <u>RA</u> NSOTH
14.	How long does it usually take via the usual method of transportation to get to the medical center where the P^2C^2 visits are done? (Note if the child has to attend more than 1 site for visits, complete the information for each site.) 1 = < 30 minutes 2 = 30 = 60 minutes 3 = 61 - 90 minutes 4 = 91 - 120 minutes 9 = Other (specify: TIME SPEC) a. Primary P^2C^2 site	T <u>IH</u> E PRII T <u>IH</u> E SECO

Does the child require assistive devices?

ASSISTSP

ASIIST

0 = no 1 = yes

If "1" (yes), describe:

15.

Patie	nt's ID #:		Page 5 of 9
	PRIMARY CARETAKER EXIT INTER	VIEW	
16.	 a. Do you have daily assistance in caring for 0 = no 1 = yes 	r the child?	D <u>al</u> ly as
	If "1" (yes), indicate which of the following assistance; and if the individual either according on study visits or takes the child to the P^2C^2	npanies you	
		Assistance with Care 0 = no 1 = yes	Assistance with Visits 0 = no 1 = accompanies on visit 2 = takes child to visit
	b. Relative	AWCREL	AWVREL
	c. Friend	0	AWVFRND
	d. Home health aide	AWCHHA	<u>AWV</u> HHA
	e. Other	<u>AWC O</u> TH	<u>AW V</u> OTH
	(Specify: NWC07HSP)	
17.	<pre>In general the P²C² visits:</pre>		<u>VIS</u> ITS

Page 6 of 9 Patient's ID #: _

P²C² HIV

PRIMARY CARETAKER EXIT INTERVIEW

PRJMHLTH Where does the child receive primary health care? 18.

1 = this P^2C^2 study site 2 = other P^2C^2 study site

3 - off site not related to P^2C^2

Did the child attended a program/school while on 19. ATTENDPR the P^2C^2 Study?

0 = no

1 = yes

If "1" yes, indicate the type of program/school, the duration, the average length of a day and the frequency of attendance (complete items 18b - 18d as needed)

2 = r 3 = 1 4 = 6	Type day care nursery school/preschool nead start program early intervention elementary, secondary or high school	<u>Duration</u> (years / months)	Length of a Typical Day 1 = half days 2 = full days	<u>Frequency</u> (no. of days per week)
b.	PRTYPEI	PRDURYRI PRDURHOI	PRLENGTH 1	PRFRQI
c.	PRTYPE2	PRDURYR2 PRDURMO2	PALENGTHZ	PRFRQ2
d.	PRTYPE3	PRDURÝR3, <u>PRDU</u> R MO3	PRLENGTH3	PRFR03

Patient's	TD #	_	-	-
Taclenc 5	<u> </u>		 	P ² C ² HIV

PRIMARY CARETAKER EXIT INTERVIEW

PART II

- 20. How helpful were the following services/items to you, that were available through the P^2C^2 Study Centers (rate each item). 0 = service not supplied
 - 1 = not helpful
 - 2 = somewhat helpful
 - 3 = very helpful

	J = Very herprur	
a.	Transportation to study visits	SERTRANS
b.	Reimbursement for travel/parking expenses	SERREIM
c.	Availability for transportation	SERAVAI
d.	Meal coupons	SERMEAL
e.	Coupons for food stores	SERCOUP
f.	Coupons for retail stores	SERRETA
g.	School supplies	SERSCH
h.	Stickers	SERSTICK
i.	Clothing	SERCLOTH
j.	Child care products	SERCLP
k.	Parties	SER PART
1.	Toys	SERTOYS
m.	Activities to occupy the child during the visit	SEROCC
n.	Coordinating child's appointments	SERCCA
ο.	Coordinating child and mother's appointments	SERCCHA
р.	Assistance with scheduling/rescheduling appointments	SER SCHED
q.	Calling to remind caretakers about appointments	SERREM
r.	Obtaining information for other forms (WIC, camp, etc.)	SERFORMS
s.	A rest place for moms during visits	SERREST
t.	Accompanying the caretaker and child during the visit	SERACCH
u.	Phone contact with the study nurses	SERPHONE

Patient's ID #:	Page	8	of	9
Patient's ID # P ² C ² HIV				

PRIMARY CARETAKER EXIT INTERVIEW

DADE TIT

SERVICES
udy did you find most difficult?
DIFFICUL
ould you make to future study participants, that a study over time?
SUBGST

NON-CARDIAC, NON-PULMONARY COMPLICATIONS

FORM # 91

INSTRUCTIONS

This form is to be completed for each new non-cardiac, non-pulmonary illness diagnosed. More than one diagnosis may be documented on the form if occurring during the same episode.

Intercurrent and Chronic Illness:

Groups I and II - Complete this form when a final diagnosis has been made.

ITEM # INSTRUCTIONS/NOTES

1 - 4 Complete as needed. Each item listed (a - d) must be completed for each diagnosis made.

Enter "F6" in the first field immediately following the last entry.

- a DATE OF DIAGNOSIS:
 - Indicate the date the diagnosis was made.
- b NARRATIVE:

The diagnosis must be written in the space provided.

c SITE CODE:

Code the site of disease. Use the SNOMED 5 digit topography codes. The prefix has been provided, enter the code number only.

If the site is not found in the SNOMED Indices or a site cannot be assigned (see general instructions), use the following conventions:

T-00001 = Code not found/unable to code

T-00002 = Site code not applicable

NON-CARDIAC, NON-PULMONARY COMPLICATIONS

FORM # 91

ITEM # INSTRUCTIONS/NOTES

d DIAGNOSIS CODE:

Code the diagnosis. Use the SNOMED Function (prefix F), Disease (prefix D) or Morphology (prefix M) codes. The prefix must precede the code number. Refer to the alphabetic index, Volume II, for the complete listing of diagnoses.

Some <u>disease</u> code numbers are four digits in length. When entering these codes, use as many spaces as needed. Start the entry from the left and leave the last space blank.

Example: Wasting syndrome, D-4690

<u>D</u> - <u>4</u> <u>6</u> <u>9</u> <u>0</u> ___

If the diagnosis cannot be found in the SNOMED Indices, enter "D-00001".

NON-CARDIAC, NON-PULMONARY COMPLICATIONS

FORM # 91

CODING EXAMPLE

	 Urinary tract infection Lymphopenia
1. Diagnosis	·:
a. Date	of diagnosis (mm/dd/yy) 0 8/0 5/9 0
b. Narra	ative - Urinary tract infection
c. Site	code (SNOMED)
d. Diagr	nosis code (SNOMED) <u>D - 6 5 0 1</u>
(Note: Site coul site and condition	d be coded as T-70100 [urinary tract], but the code D-6501 incorporates the in is one code. Code site as T-00002 [not applicable].)
2. Diagnosis	3:
a. Date	of diagnosis (mm/dd/yy) <u>0 8/1 0/9 0</u>
b. Narra	ative - Lymphopenia
c. Site	code (SNOMED) <u>T - 0 X 2 1 0</u>
d. Diag	nosis code (SNOMED)
(Note: Site code T-0X210 [blood]	e is required in order to fully describe the diagnosis. Lymphocyte cell,NOS] + M-59100 [cytopenia] = lymphopenia)
3. Diagnosi	s:
a. Date	of diagnosis (mm/dd/yy)
b. Narr	ative - F6
c. Site	code (SNOMED) <u>T</u>
d. Diag	nosis code (SNOMED)

P^2C^2 HIV

NON-CARDIAC, NON-PULMONARY COMPLICATIONS

GROUPS I AND II PATIENTS

	ent s 1D #	orm #: 91 ate Completed:	DT-FORH (mm/dd/yy)
Code	missing data items as follows:		
	F5 - Unknown F6 - Not applicable	F8 - Date unk	mown
1.	Diagnosis: a. Date of diagnosis (mm/dd/yy)		DT-NEPI
	a. Date of diagnosis (mm/dd/yy)		_//
	b. Narrative - NCPINAR	<u>'R</u>	
	c. Site code (SNOMED)	<u>T</u> ·	SITENCPI
	d. Diagnosis code (SNOMED)		DIAGNEPI
2.	Diagnosis: a. Date of diagnosis (mm/dd/yy)		DT_NCP2
	b. Narrative - NCPANARR c. Site code (SNOMED)	<u>T</u> -	SITENCP2 DIAGNCP2
3.	Diagnosis:		DT-NEP3
	a. Date of diagnosis (mm/dd/yy)	······ <u> </u>	_//
	b. Narrative - NCP3NA	9 <i>RR</i>	
	c. Site code (SNOMED) d. Diagnosis code (SNOMED)	<u>T</u>	
	C. Diabilopio Como (Director)		

Entered by: $\frac{(ERT-\mathcal{N})}{(cert. \#)}$

PARTICIPATION IN OTHER STUDIES (PATIENT)

FORM # 92

INSTRUCTIONS

The purpose of this form is to document other study information. Enter each study into the data base one time only.

ITEM # INSTRUCTIONS/NOTES

1 - 4 Complete items 1 - 4 as needed. "Study code", "protocol number", and "treatment (no/yes)" must be completed for each study identified. All other items are to be completed only if treatment is administered as a part of the study.

Complete each line of information as needed and enter "F6" in the first field immediately following the last entry. The "F6" designates that entry is complete, and additional fields are not applicable. It is not necessary to write "F6" in each space shown. (See example)

STUDY CODE:

Use the codes provided at the top of the form. If the participant is on a study other than those listed in 1-3, enter the code "9"(other) and write in the name of the study in the "Specify other study" field.

PROTOCOL NUMBER:

Enter the protocol number if applicable. If the study does not use protocol numbers, enter "F6" (not applicable). If unknown, enter "F5". (See example)

TREATMENT (NO/YES):

Indicate if treatment is administered as a part of the study by entering "0" (no) or "1" (yes). If "0" is entered, the documentation is complete for that line. If "1" is entered, document the treatment information by completing the remaining items in the row.

PARTICIPATION IN OTHER STUDIES (PATIENT)

FORM # 92

TREATMENT IDENTIFIER: If a code is assigned to the treatment regimen, enter that code. (See example)

START DATE: Enter the date the treatment was started in the format mm/dd/yy.

SPECIFY TREATMENT:

If the treatment regimen is known, write it in the space provided. If unknown, for example if blinded, enter "F5" (unknown).

The participant is involved in following three studies: EXAMPLE :

- 1. WITS
- 2. ACTG
- 3. Study XYZ, Protocol #710, no treatment

COMPLETE THE FORM IN THE FOLLOWING MANNER:

Study Codes

- 1 = WITS (Women and Infants HIV Transmission Study)
- 2 = ACTG (Pediatric AIDS Clinical Trial Group)
- 3 = IVIG (Intravenous Immunoglobulin vs. Placebo) 9 = Other

Complete if Treatment

	Study <u>Code</u>	Protocol Number	Treatment (no/yes)	Treatment Identifier	Start <u>Date</u>	Specify Treatment (If Known)
l.					/	
2.		1 2 8 r study:		<u>F6</u>	_0 _1/_0 _1/_9 _0	Two dose AZT
3.		7 1 0 r study:				
4.		r study:			/	

Rev. 06/25/90

PARTICIPATION IN OTHER STUDIES (PATIENT)

GROUPS I AND II PATIENTS

Patient's I	D #:	_OMO_		Form #:	92 DT-FORM	
Completed b		print name)	Date Compl		
Code missin	ng data ite '5 – Unknow		ows: Not appli	cable F8 =	Date unknown	
	2 = 3 =	ACTG /ATDS C	linical Trials	V Transmission Study; Group) bulin vs. Placebo)	·	
			Complete if Treatment			
Study <u>Code</u>	Protocol Number	Treatment (0=no/1=yes)	Treatment Identifier	Start <u>Date</u>	Specify Treatment (If known)	
1 STU DYL DJ		TRIBONEI	TATCODEL	DT_TRT1 _//	TREAT1	
	ther study: _			1		
2. STUDYEDZ_	PROTO2	TATLONEZ	TRTCODE2_	DT-TRT2	TREATZ	
Specify o	ther study: _		STU DY	0T2		
3. STUDYED3_	<u> </u>	TATOONE 3		DT_TRT_3 	TREAT3	
Specify o	ther study: _		STUDY O	73		
4. STUDYEDY	PR0704	TRTDONEY	TRTCODEY	DT-TRTY	TREAT4	
Specify o	ther study: _		STU DYO			
Entered by	:	<u>///</u> #)		Date ent	bT-FHENT (mm/dd/yy)	

DISCONTINUED FOLLOW-UP/RETURN TO FOLLOW-UP

FORM # 93

INSTRUCTIONS

This is an "as needed" form and is used to document the reason for discontinued follow-up. This form applies to both the mother and the patient.

ITEM # INSTRUCTIONS

- Enter the date of the last contact with the patient, mother or guardian. The last contact may be the date of the last visit or date of the last phone contact. Documentation in a medical record of contact by another department will also apply. The date is entered in the format mm/dd/yy.
- 2 Choose the correct response to indicate the reason follow-up is discontinued. If the response is "other", specify the reason in the space provided.

Note: Noncompliance with appointments (code #2) is no longer considered an appropriate reason for discontinuation for follow-up. This code will not be accepted by the computer.

- Provide a detailed description of the measures taken to keep the patient on study.
- Indicate if authorization to discontinue follow-up was obtained from the nurse coordinator and principal investigator.

The form must be signed by the nurse coordinator and initialed by the principal investigator.

At initial entry for a discontinued follow-up, the "returned to follow-up" field will be entered as "F8". If a patient returns to the study, the original form should be pulled, and edited by entering the date returned. This should be followed by an edit to the database. If the child is discontinued a second time, a second Form 93 must be completed.

P²C² STUDY

DISCONTINUED FOLLOW-UP / RETURN TO FOLLOW-UP

GROUPS I AND II PATIENTS

	nt's ID #: Form #: 9 3 eted by: Date Completed:	DT-FCRM
	DISCONTINUED FROM FOLLOW-UP	DT-FUP
1.	Last date of contact (mm/dd/yy)	// WHYS TO P
2.	Follow-up discontinued due to: 1 = patient/mother withdrawal 2 = noncompliance with appointments (Do Not Use) 3 = child lost to follow-up 4 = moved 9 = other (Specify:	W 11/3 70/2
3.	Describe measures taken to keep the patient on study:	
4.	Authorized by Nurse Coordinator and Principal Investigator (must be signed below)	<u>NCP</u> JAUTH
5.	RETURNED TO FOLLOW-UP Patient returned to follow-up (mm/dd/yy)	DT-RETUR //
Enter		

Form # 93.04 Rev. 10/25/93

DEATH NOTIFICATION FOR PATIENT AND FETUS

FORM #94

INSTRUCTIONS

This is an "as needed" form and must be completed if the patient/fetus expires during the study period.

ITEM # INSTRUCTIONS/NOTES

- If an autopsy is performed on this participant, Form # 97 (Postmortem Studies form) must be completed in addition to this form.
- Document the immediate cause of death as well as any contributing causes. Each entry must be coded in SNOMED and will include the site, diagnosis and etiology (organism). Etiology will be coded for infectious diseases only.

NARRATIVE:

Write out the immediate cause of death.

TOPOGRAPHY:

Code the site of the disease which caused the death. Use the SNOMED 5 digit topography codes (codes with the prefix of "T"). The prefix has been provided, enter the code number only. Be a specific as possible.

If the site is not found in the SNOMED Indices or a site cannot be assigned (see general instructions), use the following conventions:

T-00001 = Code not found/unable to code

T-00002 = Site code not applicable

DEATH NOTIFICATION FOR PATIENT AND FETUS

FORM # 94

ITEM # INSTRUCTIONS/NOTES

3 - 5 **CAUSE**:

(continued)

Code the cause of death. Use the SNOMED Function (prefix F), Disease (prefix D) or Morphology (prefix M) codes. The prefix must precede the code number. Refer to the alphabetic index, Volume II, for the complete listing of diagnoses.

Some <u>disease</u> code numbers are only four digits in length. Use as many spaces as needed. Begin the entry from the far left and leave the last space blank.

Example: Wasting syndrome, D-4690

<u>D - 4 6 9 0 </u>

If the cause cannot be found in the SNOMED Indices, enter "D-00001".

ORGANISM:

For <u>infectious diseases</u> only. Enter the appropriate code for the organism which caused the disease. The prefix has been provided. If the code cannot be found in the SNOMED Indices, enter "E-0001". Enter "E-0002" if this field is not applicable.

DEATH NOTIFICATION FOR PATIENT AND FETUS FORM # 94

CODING EXAMPLE

Examp	le:	Immediate cause of death: PCP Contributing cause of death: AIDS
3.	Imm	ediate cause of death
	a.	Narrative - Pneumocystis carinii
		pneumonia
	b.	Topography (SNOMED T - codes)
	с.	Cause (SNOMED M, F or D - codes)
	d.	Organism (SNOMED E - codes) <u>E - 4 3 3 1</u>
4.	a.	Were there contributing causes
	b.	Narrative - Acquired immune deficiency
		syndrome
	c.	Topography (SNOMED T - codes)
	d.	Cause (SNOMED M, F or D - codes) <u>D - 4 6 3 5</u>
	е.	Organism (SNOMED E - codes) <u>E - 3 4 8 0</u>

DEATH NOTIFICATION FOR PATIENT AND FETUS

GROUPS I AND II PATIENTS

Patie	ent's ID #: Form #: 9 4	DT-FORH
Compl	eted by: Date Completed:	DT-FORH (mm/dd/yy)
Code	missing data items as follows:	
	F5 = Unknown F6 = Not applicable	
1.	Date of death (mm/dd/yy)	J_DT-DEATH
2.	a. Has an autopsy been performed?0 = no1 = yes	<u>AUTO</u> PS Y
	If an autopsy was not performed, complete item 2b. If an autopsy was performed, Forms 06, 07 and 08 (Postmortem S be completed in addition to this form.	
	 b. Indicate the reason the autopsy was not performed: 1 = autopsy not requested 2 = permission denied / family 	NO AU TOPSY
	3 = permission denied / custodial care 4 = expired elsewhere (P ² C ² study not notified immediately following death) 9 = other (specify:	<u>7 </u>
3.	Immediate cause of death (use SNOMED coding system)	
	a. Narrative	
	b. Topography (SNOMED T - codes)	<u> </u>
	c. Cause (SNOMED M, F or D - codes)	<u>DISEASE</u> 1
	d. Organism (SNOMED E - codes) <u>E</u>	ETIOI

DEATH NOTIFICATION FOR PATIENT AND FETUS

		DEGILITOR	CCAUSE
4.	a. V	Were there contributing causes	
	If ye	es, complete 4b - 4e. If no, stop here.	
	b. 1	Narrative - CAUSE 2	
		Cause (SNOMED M, F or D - codes)	- DISERSED
	е. (Organism (SNOMED E - codes)	<u> </u>
5.		Additional contributing cause	MANUSE
		es, complete 5b - 5e. If no, stop here. Narrative - CAUSE 3	
	b.	Narrative - Chuses	•
			TOP3
		Topography (SNOMED T - codes)	
	d. e.	Cause (SNOMED M, F or D - codes)	·
		E: In addition to this form, Form 10 [Mortaliteleted by the primary care physician for complete resth.)	ry Review] must be porting of cause of
Ente	red by	7: $\frac{(ERT - NO)}{(cert. \#)}$ Date entered	1:

DEATH NOTIFICATION FOR MOTHERS IN GROUPS I AND II FORM # 95

INSTRUCTIONS

This is an "as needed" form and must be completed if the <u>biological mother</u> expires during the study period.

ITEM # INSTRUCTIONS/NOTES

2 - 4 Document the immediate cause of death as well as any contributing causes. Each entry must be coded in SNOMED and will include the site, diagnosis and etiology (organism). Etiology will be coded for infectious diseases only.

NARRATIVE:

Write out the immediate cause of death.

TOPOGRAPHY:

Code the site of the disease which caused the death. Use the SNOMED 5 digit topography codes (codes with the prefix of "T"). The prefix has been provided, enter the code number only. Be a specific as possible.

If the site is not found in the SNOMED Indices or a site cannot be assigned (see general instructions), use the following conventions:

T-00001 - Code not found/unable to code

T-00002 = Site code not applicable

DEATH NOTIFICATION FOR MOTHERS IN GROUPS I AND II FORM # 95

ITEM # INSTRUCTIONS/NOTES

2 - 4 CAUSE:

(continued)

Code the cause of death. Use the SNOMED Function (prefix F), Disease (prefix D) or Morphology (prefix M) codes. The prefix must precede the code number. Refer to the alphabetic index, Volume II, for the complete listing of diagnoses.

Some <u>disease</u> code numbers are only four digits in length. Use as many spaces as needed. Begin the entry from the far left and leave the last space blank.

Example: Wasting syndrome, D-4690

<u>D</u> - <u>4</u> <u>6</u> <u>9</u> <u>0</u> ___

If the cause cannot be found in the SNOMED Indices, enter "D-00001".

ORGANISM:

For <u>infectious diseases</u> only. Enter the appropriate code for the organism which caused the disease. The prefix has been provided. If the code cannot be found in the SNOMED Indices, enter "E-0001". Enter "E-0002" if this field is not applicable.

DEATH NOTIFICATION FOR MOTHERS IN GROUPS I AND II FORM # 95

CODING EXAMPLES

PCP Immediate cause of death: Example: Contributing cause of death: AIDS Immediate cause of death a. Narrative - Pneumocystis carinii pneumonia c. Cause (SNOMED M, F or D - codes) M - 4 0 0 0 0 d. Organism (SNOMED E - codes) <u>E - 4 3 3 1</u> 1 a. Were there contributing causes 0 = no1 = yes b. Narrative - Acquired immune deficiency syndrome d. Cause (SNOMED M, F or D - codes) <u>D - 4 6 3 5 </u> e. Organism (SNOMED E - codes) <u>E - 3 4 8 0</u>

DEATH NOTIFICATION (MOTHER)

(Death Occuring While on Study)

Mothe	er's ID #: MI DNO Form #: 9	DT-FORM
Comp1	Leted by: Date Complet (print name)	mm/dd/yy)
Code	missing data items as follows: F5 = Unknown F6 = Not applicabl	e
		DT- MDTH
1.	Date of death (mm/dd/yy)	
2.	Immediate cause of death (use SNOMED coding system)	
	a. Narrative - MCAUSEI	
	b. Topography (SNOMED T - codes)	<u>T</u>
	c. Cause (SNOMED M, F or D - codes) d. Organism (SNOMED E - codes)	
3.	a. Were there contributing causes	WAAAUCE
	If yes, complete 3b - 3e. If no, stop here. b. Narrative - HeadsEl	
	c. Topography (SNOMED T - codes) d. Cause (SNOMED M, F or D - codes) e. Organism (SNOMED E - codes)	

Patient	# P ² C ² HIV	Page 2 of 2
	DEATH NOTIFICATION (MOTHER)	HALCAUSE
4. a	Additional contributing cause	Π <u>πττ</u> ημ υ ~
1	yes, complete 4b - 4e. If no, stop here.	
t	Narrative - MCAUSE3	
c	. Topography (SNOMED T - codes)	<u> </u>
Ċ		HHORPH3
•	. Cause (SNOMED M, F or D - codes)	<u>E</u> - 17101405
Entere	by: $\frac{(ERT - NO)}{(cert. \#)}$ Date entered:	- JAT- FMENT (mm/dd/yy)

Form # 95.01 Rev. 06/25/90

PARTICIPATION IN OTHER STUDIES (MOTHER)

FORM # 96

INSTRUCTIONS

The purpose of this form is to document other study information on the mother. Complete this form at the time of enrollment. Enter each study into the data base one time only.

ITEM # INSTRUCTIONS/NOTES

Complete items 1 - 4 as needed. "Study code", "protocol number", and "treatment (no/yes)" must be completed for each study identified. All other items are to be completed only if treatment is administered as a part of the study.

Complete each line of information as needed and enter "F6" in the first field immediately following the last entry. The "F6" designates that entry is complete, and additional fields are not applicable. It is not necessary to write "F6" in each space shown. (See example)

STUDY CODE:

Use the codes provided at the top of the form. If the participant is on a study other than those listed in 1-3, enter the code "9"(other) and write in the name of the study in the "Specify other study" field.

PROTOCOL NUMBER:

Enter the protocol number if applicable. If the study does not use protocol numbers, enter "F6" (not applicable). If unknown, enter "F5". (See example)

TREATMENT (NO/YES):

Indicate if treatment is administered as a part of the study by entering "0" (no) or "1" (yes). If "0" is entered, the documentation is complete for that line. If "1" is entered, document the treatment information by completing the remaining items in the row.

PARTICIPATION IN OTHER STUDIES (MOTHER)

FORM # 96

TREATMENT IDENTIFIER:

If a code is assigned to the treatment regimen, enter that code. (See example)

START DATE:

Enter the date the treatment was started in the format mm/dd/yy.

SPECIFY TREATMENT:

If the treatment regimen is known, write it in the space provided. If unknown, for example if blinded, enter "F5" (unknown).

EXAMPLE: The participant is involved in following two studies:

- 1. WITS
- 2. Study ABC, Protocol #015, Treatment AZT

COMPLETE THE FORM IN THE FOLLOWING MANNER:

Study Codes

- 1 = WITS (Women and Infants HIV Transmission Study)
- 2 = ACTG (Pediatric AIDS Clinical Trial Group)
- 9 = Other

Complete if Treatment

Study <u>Code</u>	Protocol Number	Treatment (no/yes)	Treatment <u>Identifier</u>	Start <u>Date</u>	Specify Treatment (If Known)
	ner study:				
	0 1 5 ner study:		_ <u>F6</u>	0 1/0 1/9 0	AZT
	ner study:			/	
	er study:			//	

PARTICIPATION IN OTHER STUDIES (MOTHER)

GROUPS I & II MOTHERS

Patient's ID #:	(print name	e)	Form #: Date Compl	9 6 DT-FORM eted:/_//
Code missing data F5 - Un		lows: - Not appl:	icable F8 -	Date unknown
	1 = WITS (Women 2 = ACTG (Aids (9 = Other	Study Co and Infants H Clinical Trial	IV Transmission Study	
Study Protoco Number 1. MSTuDCAI NPROT	01 MTRTDM1		Start <u>Date</u> 407-787.1	Specify Treatment (If known) MTREAT 1
2. HSTUDED2 MPROT	102 MIRTONZ	MTR7662		MTREATZ
3. NSTUDED3 MPRO Specify other stud	<u>TO3</u> MTRT DW3		MBT-TRT3 '' - SOT3	HTREAT 3
4. MSTuDOD4 MPROT			MDT-TRTY ''- 074	MTREATY
Entered by: (cer	T- NO		Date ent	ered:

Form # 96.01 Rev. 06/25/90

CONSENT REFUSAL

FORM # 99

INSTRUCTIONS

Complete this form for all eligible candidates who were approached for informed consent, but who refused. Not more than one form should be completed per candidate. If the individual is approached multiple times, the data is to be collected at the initial refusal, only.

(NOTE: If the candidate enrolls at a later date, the initial refusal data will remain in the database. The original refusal will not be voided. Also, there will be no mechanism to cross check the original refusal with the enrolled patient.)

ITEM #	INSTRUCTIONS/NOTES
1a	Indicate the group for which the candidate was eligible.
1b & 1c	Indicate sex and race for Group I patients and Group II postnatally enrolled patients.
5a - 5c	Indicate the reasons why the guardian refused informed consent. Respond to each item.

P^2C^2 HIV

CONSENT REFUSAL

Computer	assigned number: Form #: 9 9	DT-FORM
Complete	l by: Date completed:	_//
1. a.	Candidate considered for	CRGROUP
If	response for la is "1" or "3", complete 1b and 1c:	CRSEX
b.	Sex	CRDOB
c.	Date of birth — —	
2. Ra	ce	CRRACE
	4 = Asian/Pacific Islander 5 = American Indian/Alaskan Native CRRACESP 9 = other (Specify:)	
3. Le	gal guardian approached for the study: 1 = child's biological mother 2 = child's biological father 9 = other (Specify:	CRGUARD
		CRRE F
4. R€	ferred to study group by 1 = OB/GYN department 9 = other (Specify:)	- <u> </u>

CONSENT REFUSAL

Guardian refused informed consent due to (respond to each item listed): 0 = no	
1 = yes	CRREAS
a. Approached for too many studies	
	CRREA
b. Uneasy about invasive procedures	CRREAS
c. Study of no value to the participants	
d. No one available to provide informed consent	CRREAS
	CRREASS
e. Patient expired	
f. Other	CRREAS
_	
(Specify: (RREASOT)	

Entered by: $\frac{(ERTAO)}{(cert. \#)}$ Date entered $\frac{DT_FHENT}{(mm/dd/yy)}$

Form 99.00 Rev. 12/01/90